THE UNIVERSITY OF CALGARY

NON REPETITIVE WALKS IN GRAPHS AND DIGRAPHS

by

JAMES D. CURRIE

A THESIS

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The undersigned certify that they have read, and recommend to the Faculty of Graduate Studies for acceptance, a thesis entitled, "Non Repetitive Walks in Graphs and Digraphs" submitted by James D. Currie in partial fulfillment of the requirements for the degree of Doctor of Philosophy.

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Abstract

A word w over alphabet Σ is <u>non-repetitive</u> if we cannot write w = abbc; a, b, c $\in \Sigma^*$, b $\neq \epsilon$. That is, no subword of w appears twice in a row in w. In 1906, Axel Thue, the Norwegian number theorist, showed that arbitrarily long non-repetitive words exist on a three letter alphabet.

Call graph or digraph G <u>versatile</u> if arbitrarily long non-repetitive words can be walked on G. This work deals with two questions:

(1) Which graphs are versatile?

(2) Which digraphs are versacile? Our results concerning versatility of digraphs may be considered to give information about the structure of non-repetitive words on finite alphabets.

We attack these questions as follows:

(I) We introduce a partial ordering of digraphs called <u>mimicking</u>. We show that if digraph G mimics digraph H, then if H is versatile, so is G.

(II) We then produce two sets of digraphs MIN and MAX, and show that every digraph of MIN is versatile (These digraphs are intended to be minimal in the mimicking partial order with respect to being versatile.) and no digraph of MAX is versatile. (The digraphs of MAX are

intended to be maximal with respect to not being versatile.)

(III) In a lengthy classification, we show that every digraph either mimics a digraph of MIN, and hence is versatile, or "reduces" to some digraph mimicked by a digraph of MAX, and hence is not versatile.

We conclude that a digraph is versatile exactly when it mimics one of the digraphs in the finite set MIN. The set MIN contains eighty-nine (89) digraphs, and the set MAX contains twenty-five (25) individual digraphs, and one infinite family of digraphs.

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Chapter 1: Introduction

Definitions and Preliminaries:

A word is a finite sequence of elements of some finite set Σ . We call the set Σ an <u>alphabet</u>, the elements of Σ <u>letters</u>. The set of all words over Σ is denoted by Σ^* , the set of words of positive length over Σ by Σ^+ . We take a naive view of words as strings of letters; thus the concatenation of two words w and v, written wv, is simply the string consisting of the letters of w followed by the letters of v. Say that v is a <u>subword</u> of w if we can write w = uvz; u, v, $z \in \Sigma^*$. We say v is a <u>prefix</u> (<u>suffix</u>) of w if we can write w = vz (zv); v, $z \in \Sigma^*$. The <u>empty word</u>, denoted ϵ , is the word with no letters in it. Denote by |w| the <u>length</u> of w, equal to the number of letters in w.

Let Σ , τ be alphabets. A <u>substitution</u> h: $\Sigma^* \rightarrow \tau^*$ is a function generated by its values on Σ . That is, if w is a word on Σ , w = $a_1 a_2 \dots a_m$, $a_i \in \Sigma$, $1 \leq i \leq m$ then h(w) = h(a_1)h(a_2)\dots h(a_m).

Define a word of type ω , to be a countable sequence of letters over some alphabet Σ . If $h:\Sigma^* \to \Sigma^*$ is some substitution with b a prefix of h(b) for some b $\in \Sigma$, and h(b) longer than b, then denote by $h^{\omega}(b)$ be the word of

type ω having initial segment $h^n(b)$ for every n. This limit makes sense, as $h^n(b)$ will be a prefix of $h^{n+1}(b)$ for each n.

A word w over alphabet Σ is <u>non-repetitive</u> if we cannot write w = abbc; a, b, c e z^* , b \neq e. That is, no subword of w appears twice in a row in w. The term <u>square-free</u> is also used for such words in the literature.

For the purposes of this thesis, a graph (digraph) $G = \langle V, E \rangle$ consists of a finite set V of vertices, together with a set E of unordered (ordered) pairs of vertices. If G is a graph, denote by vert(G) the set of vertices of G. If a, b \in V, and (a, b) \in E, then we say the edge ab is in G. An edge of the form aa, a \in V, is called a <u>loop</u>. For technical reasons to become apparent later (See Lemma 3.5), we allow digraphs to contain loops. However, we only consider graphs not containing loops.

If G is a graph or digraph we may consider V = vert(G) to be an alphabet. We say that the word $w \in V^*$ is a <u>walk</u> on G if whenever ab is a two letter subword of w, then ab is an edge of G. We say that w can be walked on G, or G allows walk w. A graph or digraph G is called <u>versatile</u> if arbitrarily long non-repetitive

words can be walked on G. This work deals with two questions:

- (1) Which graphs are versatile?
- (2) Which digraphs are versatile?

Background: In 1906, Axel Thue, the Norwegian number theorist, showed that arbitrarily long non-repetitive words exist on a three letter alphabet. (See [19].) This result has been rediscovered many times, by Arshon [1], Morse and Hedlund [12] and Hawkins and Mientka [10], for example.

This result of Thue is counter-intuitive, and interesting for its own sake. It is also useful for the construction of pathological objects and counterexamples. An important example of a use of Thue's result is in the solution of the Burnside problem by Novikov and Adjan [13].

There is a large literature concerning non-repetitive words (See the bibliography of Bean, Ehrenfeucht and McNulty [3].) By Konig's lemma, the existence of arbitrarily long non-repetitive words on a finite alphabet is equivalent to the existence of a non-repetitive word of type ω on that alphabet. Shelton

and Soni [16], [17], [18] investigate the structure of the set of non-repetitive ω words on a three letter alphabet, showing the set to be perfect with respect to a natural metric.

Call a word w over alphabet z strongly cube-free if we cannot write $w = abb\beta c$, where a, b, $c \in z^*$, $\beta \in z$, and β is the first letter of b. If z is a two letter alphabet and τ a three letter alphabet, then a strongly cube-free word of type ω over z gives rise to a non-repetitive word of type ω over τ in a natural way, and vice versa. (See Braunholtz [5].) Fife [9] shows that the strongly cube-free words of type ω over a two letter alphabet form a Cantor set under a natural metric.

The study of words which are non-repetitive or strongly cube-free is generalized in Bean, Ehrenfeucht and McNulty [3]. Here the question of words avoiding an arbitrary pattern is considered. A word $w \in \Sigma^*$ <u>avoids</u> the word $v = b_1 b_2 \dots b_m$ if we cannot write $w = ah(b_1 b_2 \dots b_m)c$ where $a, c \in \Sigma^*$, and h is a substitution not mapping any of the b_i to the empty word. An algorithm is given to determine whether, given v, there exists a natural number n, so that there exist arbitrarily long words avoiding von an n letter alphabet. If such an n exists, v is said to be <u>avoidable</u>. If v is avoidable, it is natural to

attempt to bound the n mentioned above. This problem is attacked in the paper Baker, McNulty, Taylor [2]. From [2], the follwing question naturally arises: On which directed graphs can arbitrarily long non-repetitive words be walked?

5

As mentioned, this question is the subject of the present thesis. In a different light, one may consider this question to be in the spirit of the investigations of Shelton, Soni and Fife: What can we say about the structure of non-repetitive words?

Let w be a word of type ω over alphabet Σ . Baker, McNulty and Taylor define the <u>transition digraph</u> of w to be that digraph having vertex set Σ , and an edge $a_i a_j$, a_i , $a_j \in \Sigma$, exactly when $a_i a_j$ is a subword of w. It is shown in [2] that if w is a non-repetitive word of type ω on the three letter alphabet { a, b, c }, then w must have a transition digraph with edges ab, ba, ac, ca, bc, cb. Equivalently, a digraph on vertices a, b, c is versatile only if it contains the six edges ab, ac, ba, bc, ca, cb. Our results concerning versatility of digraphs may thus be considered to give information about the structure of non-repetitive words on finite alphabets.

Choffrut and Culik [7] consider the following

problem: Let Σ be a finite alphabet, w_1 , w_2 ,..., w_m words over Σ . Do there exist arbitrarily long words over Σ not including any of the w_i as subwords? Thus while Bean, Ehrenfeucht and McNulty consider the problem of avoiding <u>patterns</u>, Choffrut and Culik wish to avoid <u>specific</u> words. The present work may be considered a hybrid of these two approaches: If D is a digraph with vertices v_1 , v_2 , ..., v_n , we wish if possible to find arbitrarily long words on { v_1 , v_2 ,..., v_n } avoiding the pattern xx, and simultaneously avoiding the specific words $v_i v_j$, where $v_i v_i$ is any non-edge of D.

<u>Outline</u>: Having motivated our work in the previous section, we make some remarks concerning our attack:

(I) We introduce a partial ordering of digraphs ealled <u>mimicking</u>. We show that if digraph G mimics digraph H, then if H is versatile, so is G.

(II) We then produce two sets of digraphs MIN and MAX, and show that every digraph of MIN is versatile (These digraphs are intended to be minimal in the mimicking partial order with respect to being versatile.) and every digraph of MAX is not versatile. (The digraphs of MAX are intended to be maximal with respect to not being versatile.)

(III) In a lengthy classification, we show that

every digraph either mimics a digraph of MIN, and hence is versatile, or "reduces" to some digraph mimicked by a digraph of MAX, and hence is not versatile.

We conclude that a digraph is versatile exactly when it mimics one of the digraphs in the finite set MIN.

Step (II) naturally presupposes the construction of certain non-repetitive words. From Axel Thue on down, those wishing to construct squarefree words have used substitutions. A substitution h: $\Sigma^* \rightarrow \tau^*$ is called <u>square-free</u> if whenever $w \in \Sigma^*$ is non-repetitive, so is h(w). Axel Thue showed that the substitution h: { a, b, c } * \rightarrow { a, b, c } * given by

> h(a) = abcabh(b) = acabcb

h(c) = acbcacb

is squarefree. It follows that $h^{\omega}(a)$ is a non-repetitive word of type ω on Σ . On the other hand, the substitution g: { a, b, c } $\stackrel{*}{\rightarrow}$ { a, b, c } $\stackrel{*}{\rightarrow}$ given by

g(a) = c g(b) = bca g(c) = ba

is not square-free. In fact g(bcb) = bcababca, which contains the repetition abab. Nonetheless, the fact that $g^{\omega}(b)$ is non-repetitive was proved by Arshon [1] in the

1930's. Crochemore [8], defines a concept of <u>weak</u> <u>square-freeness</u> for substitutions. Let Σ be an alphabet. Then h: $\Sigma^* \rightarrow \Sigma^*$ is weakly square-free if there exist x, w, where $x \in \Sigma$, $w \in \Sigma^+$, such that h(x) = xw, and $h^{\omega}(x)$ is non-repetitive. Although g is not square-free, g is weakly square-free.

Let f: $(1, 2, 3)^* \rightarrow \Sigma^*$ be a substitution. In the body of this thesis (see herma 2.4.), we prove that under certain conditions on f, $f(g^{\omega}b)$) is non-repetitive, with g given as above. These conditions do not force f to be square-free, in fact f(bcb), f(aca)are explicitly allowed to contain repetitions. This result is used to produce non-repetitive words of type ω . Except in one case, all of the many non-repetitive walks used in this thesis are of the form $f(g^{\omega}(a))$ for such an f. In the other case we generate a non-repetitive word using weakly squarefree substitutions on a five element alphabet.

Much work has been done on square-free substitutions, and cube-free substitutions, which are defined analogously. References may be found in the bibliographies of Berstel [4] and Crochemore [8]. We give an example of a particularly beautiful result of Karhumaki [11]:

<u>Theorem</u>: Let h: $\{a, b\}^* \rightarrow \{a, b\}^*$ be a substitution such that h(a) begins with an a. Then the word h^{ω}(a) is cube-free if and only if the word h¹⁰(a) is cube-free.

One last remark is in order, of interest to those following the work of Robertson, Seymour [15]: One might ask why we consider graphs separately from digraphs, since a graph G may be considered to be simply a symmetric digraph. It turns out that the solution of the graph case of our problem allows us to find a nice classification scheme for digraphs. Moreover, it follows from the work of Robertson, Seymour on graph minors that the graph case will have a nice solution: From the weaving lemma of chapter 2 one may deduce that if G does not allow arbitrarily long non-repetitive walks, then neither does any minor of G. Thus [15] implies that there is an excluded minor characterization of those graphs not allowing arbitrarily long non-repetitive walks. We know of no generalization of the work of[15] to digraphs.

<u>Open Problems:</u> (1) It was remarked above that of the digraphs on three vertices a, b, c, only a digraph including edges ab, ba, bc, cb, ca, ac allows arbitrarily long non-repetitive walks. We can show that if w is a

non-repetitive word of type \cong on three letters a, b, c, then w must contain as subwords all of the words in one of the following sets (up to a permutation of letters): $H_1 = \{ aba, abc, acb, bab, bac, bca, cab, cac, cba, cbc \}$ $H_2 = \{ abc, aca, acb, bac, bca, bcb, cac, cab, cba, cbc \}$

A non-repetitive word of type ω all of whose three letter subwords are in H₁ is $g^{\omega}(b)$ where g is Arshon's substitution, given above.

A non-repetitive word of type ω all of whose three letter subwords are in H₂ is $g(f^{\omega}(1))$ where f,g are given by

f(1) = 142 f(2) = 1435 f(3) = 143532 f(4) = 1532f(5) = 1535

g(1) = ac g(2) = acb g(3) = acbc g(4) = abc g(5) = abcb

That $g(f^{\omega}(1))$ is non-repetitive may be proved using

the methods of Chapter 7 although this fact is not used in this thesis. In general, if w is a non-repetitive word of type ω on n letters, what k-letter subwords must w contain? (This question could be phrased in the language of hypergraphs.)

(2) Call a word w strongly <u>non-repetitive</u> if we cannot write w = abcd, a, b, c, d $\in \Sigma^*$, b $\neq \epsilon$, c a permutation of b. There exists a strongly non-repetitive word of type ω on a five letter alphabet. Whether such a word exists on four letters is an open problem. (See [6], [14]) On which digraphs can arbitrarily long strongly non-repetitive words be walked?

Chapter 2: Graphs,

We start this chapter with some definitions concerning graphs and digraphs.

Let G be a graph (digraph) with vertex set V, a, b \in V. We say that the word $p \in (V \setminus \{a, b\})^*$ is a (<u>directed</u>) <u>path in G from a to b</u> if the word apb is a walk in G, and no vertex of G appears in p twice. The graph P_i whose vertex set is { 1, 2, ..., i } and whose edges are 12, 23, ..., (i) i, is called <u>the path on i</u> <u>vertices</u>.

A graph or digraph G is <u>connected</u> if for every a, b \in V, a \neq b, there is either a path in G from a to b, or a path in G from b to a. A digraph G is <u>strongly</u> <u>connected</u> if for every a, b \in V, there is a path in G from a to b and a path in G from b to a.

Let G be a graph (digraph) with vertex set V, a \in V. Let p \in (V \ {a})^{*} be a word, p \neq ϵ . If no vertex of V appears twice in p, and both ap and pa are walks in G, then we say that the word ap is a <u>cycle of G</u> <u>based at a</u>, or simply, a <u>cycle of G</u>. (Various terms exist in the literature. Others are <u>circuit</u>, and <u>simple</u> <u>cycle</u>.) A graph C whose vertices are { c₁, c₂, ..., c_m) and whose edges are c₁c₂, c₂c₃, ..., c_{m-1}c_m, c_mc₁ is called a <u>cycle</u>.

If G is a graph (digraph), a, $b \in V$, then if ab is an edge of G, say that <u>b is a neighbour of a</u> (<u>b is a</u> <u>successor of a</u>, <u>a is a predecessor of b</u>). The degree (indegree, outdegree) of a is the number of neighbours (predecessors, successors) of a in G.

If G_1 , G_2 are graphs (digraphs) with vertex sets V_1 , V_2 and edge sets E_1 , E_2 then denote by $G_1 \cap G_2$ the graph with vertex set $V_1 \cap V_2$ and edge set $E_1 \cap E_2$. Analogously define $G_1 \cup G_2$.

In this chapter, we answer the question: Which graphs are versatile? We restrict our attention to connected graphs, since a word v can be walked on a graph G if and only if v can be walked on a connected component of G. We prove the following theorem:

<u>Theorem 2.1:</u> A connected graph G is versatile unless G is a path on four or fewer vertices.

The following observation proves useful.

Lemma 2.1 (a) (Weaving Lemma): Let $v = a_1 a_2 \dots a_r$ be a non-repetitive word, $a_1, a_2, \dots, a_r \in S$, some alphabet. Let b_1, b_2, \dots, b_{r+1} be non-repetitive words on alphabet T, where S and T are disjoint. We permit some or all of the b_i to be empty. Then $w = b_1 a_1 b_2 a_2 \dots b_r a_r b_{r+1}$ is a
non-repetitive word.

<u>Proof:</u> Suppose w contains a repetition, say w = uyyz, y# ϵ . Then yy contains some a_j , for otherwise yy is a subword of one of the b_i , contradicting the fact that the b_i are non-repetitive.

Now if p is a word on $S \cup T$, denote by $p_{|S}$ the word formed by deleting from p all the letters of T. Thus the above paragraph remarks that $y_{|S} \neq \epsilon$; however, $a_1a_2\cdots a_r = w_{|S} = u_{|S}y_{|S}y_{|S}z_{|S}$ and therefore $v = a_1a_2\cdots a_r$ contains a repetition, namely $y_{|S}y_{|S}$, which is a contradiction.

We thus conclude that w is a non-repetitive word. D

Let v be a word of type ω on some alphabet S, S = { a_1, a_2, \ldots, a_n }. Let G be a graph (digraph) including S among its vertex set. Suppose that whenever $a_i a_j \in S^*$ is a subword of v there is a path $P(a_i, a_j)$ in G from a_i to a_j such that no vertex of $P(a_i, a_j)$ is in S. We say that <u>v can be walked in G modulo paths</u>. The weaving lemma will often be applied in the following way:

Lemma 2.1 (b) (Second Weaving Lemma): Let v be a non-repetitive word of type ω , G a graph (digraph). If v can be walked on G modulo paths, then G is versatile.

<u>Proof:</u> Pick n > 0. Let $b_1 b_2 \dots b_n$ be the initial segment of v of length n. The word w where $w = b_1 P(b_1, b_2) b_2 P(b_2, b_3) b_3 \dots b_{n-1} P(b_{n-1}, b_n) b_n$ will be a non-repetitive word by the weaving lemma. By construction, w is a non-repetitive walk on G of length n or more. Thus G allows arbitrarily long non-repetitive walks.0

We now commence the proof of Theorem 2.1, proving a series of lemmas.

Lemma 2.2: Let G be a graph with a vertex v with degree(v) \geq 3. Then G is versatile.

<u>Proof:</u> Let three neighbours of v be a, b, c. Let w be any non-repetitive word of type ω on { a, b, c }. Then w can be walked on G modulo paths, with P(a,b) = P(b,a) = P(b,c) = P(c,b) = P(c,a) = P(a,c) = v(See Figure 2.1) Thus, by the second weaving lemma, G is versatile.D

Restating Lemma 2.2, any graph which is not versatile must have the degree of every vertex being 2 or less. In the case of connected graphs, we are left with paths and cycles.

Lemma 2.3: Let $C = c_1 c_2 \dots c_m \pmod{m \ge 3}$ be a cycle. Then C is versatile.

<u>Proof:</u> Again we use the second weaving lemma. Here let v be any non-repetitive word of type ω on { c_1, c_2, c_3 }. Then v can be walked on C modulo paths,



where

 $P(c_{1}c_{2}) = P(c_{2}c_{1}) = P(c_{2}c_{3}) = \epsilon P(c_{3}c_{2}) = \epsilon$ $P(c_{3}c_{1}) = c_{4}c_{5}...c_{m}$ $P(c_{1}c_{3}) = c_{m}c_{m-1}...c_{4}$

(See Figure 2.2) Thus G is versatile.

We have seen that every connected graph which is not a path is versatile. To conclude our examination of graphs we consider paths. Paths on four or fewer vertices do not allow arbitrarily long non-repetitive walks. It suffices to show this for P_4 , since P_4 contains shorter paths as subgraphs.

Suppose that P_4 allows arbitrarily long non-repetitive walks. Then let v be a non-repetitive word of type w which can be walked on P_4 . We chop v up into blocks starting with 1. That is, consider the possible subwords of v commencing with 1, ending with 2, and containing exactly one 1. (See Figure 2.3). Clearly these are a = 12, b = 1232, c = 123432. However a moment's thought shows that block a cannot appear in v since the words aa, ab, ac all contain the repetition aa, and if v contains block a, then it must contain one of these longer words.

Thus v must be composed entirely of the two blocks b and c. However any non-repetitive word on two letters is





finite, hence v must be a finite word. This is a contradiction. Thus P_4 does not allow arbitrarily long non-repetitive walks.

<u>Definition:</u> Let $S = \{x_1, x_2, x_3\}$, T be alphabets and let h: $S^* \rightarrow T^*$ be a substitution. Say that h is <u>suitable</u> if

1) $|h(x_i)| \leq |h(x_j)| + |h(x_k)|$ for 1 \leq i, j, k \leq 3, i, j, k distinct. 2) For 1 \leq i \leq 3 one cannot write $h(x_i) = uw = wz$, $u,w,z \in T^*$, $u,w,z \neq \epsilon$. 3) If $w \in S^*$ is a non-repetitive word with |w| = 3 and $w \neq x_2x_3x_2$, $x_1x_3x_1$, then h(w) is non-repetitive.

To show that P₅ allows arbitrarily long non-repetitive walks, we introduce another lemma for producing new mon-repetitive words from old. In fact this lemma will be one of the main tools of this thesis.

Lemma 2.4 (Substitution Lemma): Let S be the alphabet { x_1 , x_2 , x_3 }. Let $v \in S^*$ be a non-repetitive word, such that $x_2x_3x_2$, $x_1x_3x_1$ are not subwords of v. If h is suitable, then h(v) is non-repetitive.

<u>Proof:</u> Suppose v fulfills the conditions of the lemma and h is suitable. Let $v = a_1 a_2 \dots a_m$. For each i, $1 \le i \le m$, say h(a_i) = e_i . For the sake of a contradiction,

suppose h (v) = $e_1 e_2 \dots e_m$ = abbc, some a, b, c ϵT^* , b $\neq \epsilon$.

Without loss of generality, shortening v if necessary, write

e",e',e' ≠ €.

Since h (v) is repetitive, m > 3. Otherwise, by condition 3) of the definition of suitability, $e_1e_2e_3$ is $x_1x_3x_1$ or $x_2x_3x_2$, contrary to our assumptions on v. Also j > 1, otherwise

 $|e_1| > |e_2| + |e_3|$ by line (*) and the fact that m > 3. Similarly, j < m.

<u>Claim</u>: The two expressions $e_1^{"e_2} \cdots e_j^{'}$ and $e_j^{"e_j+1} \cdots e_m^{"match}$ "match up " in the natural way, i.e.

> $e'_{j} = e'_{m},$ $e''_{1} = e''_{j},$ m = 2j - 1 and

 $e_{1+i} = e_{j+i}$ for i = 1 to j-2.

<u>Proof of Claim</u>: If $e'_j \neq e'_m$ suppose that

 $|e'_{m}| > |e'_{j}|$. Say that $e'_{m} = e''_{k}e_{k+1}\cdots e'_{j}$ for some k < j, and $e_{k} = e'_{k}e''_{k}$, $e''_{k} \neq \epsilon$. Then $h(a_{k}a_{m}) = e_{k}e_{m} =$ $e'_{k}e''_{k}e_{k+1}e_{k+2}\cdots e'_{j}e''_{m}$ which contains the repetition $e''_{k}e''_{k}$. By condition 3) on h we must have $a_{k} = a_{m}$. However now

 $e'_{k}e''_{k} = e_{k} = e_{m} = e'_{m}e''_{m} = e''_{k}e_{k+1}\dots e'_{j}e''_{m}$. Note that $e'_{j} \neq \epsilon$, so that condition 2) is contradicted for $h(a_{k})$, which commences and ends with e''_{k} .

We get a similar contradiction if $|e'_m| < |e'_j|$. Thus $e'_m = e'_j$ and $e''_1 \dots e_{j-1} = e''_j \dots e_{m-1}$. Repeating this argument we show that

 $e_{1+i} = e_{j+i}$ for i = 1 to j-2. $e_j^{"} = e_1^{"}$, and 2j - 1 = m, as desired.

Note that $e_{1+i} = e_{j+i}$ implies that $a_{1+i} = a_{j+i}$, since h is suitable. From the claim,

 $h(\mathbf{a}_1\mathbf{a}_j\mathbf{a}_m) = e_1e_je_m = e_1'e_1'e_j'e_j'e_m'e_m'$

= $e_1'e_1'e_j'e_1'e_j'e_m'$ which repeats $e_1'e_j'a_j'a_j$ Since $|a_1a_ja_m| = 3$, one of the

following cases must arise:

A: $a_1 = a_j$ B: $a_j = a_m$ C: $a_1 = x_1, a_j = x_3, a_m = x_1$ D: $a_1 = x_2, a_j = x_3, a_m = x_2$.

In case A, v contains the subword

 $a_1 a_2 \cdots a_{j-1} a_1 a_2 \cdots a_{j-1}$, which is a contradiction, as v is non-repetitive. Similarly case B cannot occur, as v would contain a repetition.

Suppose case C occurs. (Case D is similar.) Since

 $m \ge 4$, and m is odd, $m \ge 5$. Therefore $j \ge 3$.

Now $a_2 = a_{j+1}$. But since v is non-repetitive, $a_2 \neq a_1 = x_1$ and $a_{j+1} \neq a_j = x_3$. Thus $a_2 = a_{j+1} = x_2$. Also $a_{j-1} = a_{m-1}$ so that $x_3 = a_j \neq a_{j-1}$ and $a_{m-1} \neq a_m = x_1$. We conclude that $a_{j-1} = a_{m-1} = x_2$. Therefore $a_{j-1}a_ja_{j+1} = x_2x_3x_2$, contradicting our assumptions on v. 23

The assumption that h(v) repeats leads to a contradiction. Therefore h(v) contains no repetition.

<u>Remarks</u>: Several variations have been proved of a lemma with stronger conditions than the above, and a stronger conclusion. For example, in Bean, Ehrenfeucht and McNulty [3], the following lemma is proved.

12.

Lemma 2.5: Let Σ , τ be alphabets. Suppose that h: $\Sigma^* \rightarrow \tau^*$ is a substitution such that

1') If x, $y \in \Sigma$ and h(y) is a subword of h(x), then y = x.

3') If $w \in \Sigma^*$ is a non-repetitive word with |w| = 3 then h(w) is non-repetitive.

Then if $v \in \Sigma^*$ is non-repetitive, so is h(v).

The proof is essentially that of Lemma 2.4, with

condition 1') sufficing to prove the claim. In fact our claim, with slight renaming, comes from [3]. We have stated this result of [3] as a lemma, as we will refer to it later.

Lemma 2.4, in comparison with Lemma 2.5, restricts h less, and v more. When Σ = S, dondition 3') necessitates the checking of h(w) for twelve three letter words w, whereas condition (3 only requires good behaviour from h on ten of these twelve triples.

Next we show how to produce arbitrarily long words v on S, S = { x_1 , x_2 , x_3 } satisfying the conditions of the substitution lemma. Consider the substitution

h: $S^* \rightarrow S^*$ where

 $\begin{array}{c} h(x_{1}) = x_{3} \\ h(x_{2}) = x_{2}x_{3}x_{1} \\ h(x_{3}) = x_{2}x_{1} \end{array}$ (Sub 2.1)

Clearly h meets conditions 1) and 2) of the definition of suitability. (We point out that h does <u>not</u> meet condition 1' above.) That h also meets condition 3) of suitability is verified by checking the action of h on triples of S.

 $h(x_{1}x_{3}x_{1}) = x_{3}x_{2}x_{1}x_{3}$ $h(x_{1}x_{3}x_{2}) = x_{3}x_{2}x_{1}x_{2}x_{3}x_{1}$ $h(x_{2}x_{1}x_{2}) = x_{2}x_{3}x_{1}x_{3}x_{2}x_{3}x_{1}$ $h(x_{2}x_{1}x_{3}) = x_{2}x_{3}x_{1}x_{3}x_{2}x_{1}x_{3}$ $h(x_{2}x_{3}x_{1}) = x_{2}x_{3}x_{1}x_{2}x_{1}x_{3}$ $h(x_{2}x_{3}x_{2}) = x_{2}x_{3}x_{1}x_{2}x_{1}x_{2}x_{3}x_{1}$ $h(x_{3}x_{1}x_{2}) = x_{2}x_{1}x_{3}x_{2}x_{3}x_{1}$ $h(x_{3}x_{2}x_{1}) = x_{2}x_{1}x_{3}x_{2}x_{1}$ $h(x_{3}x_{2}x_{1}) = x_{2}x_{1}x_{2}x_{3}x_{1}x_{3}$ $h(x_{3}x_{2}x_{1}) = x_{2}x_{1}x_{2}x_{3}x_{1}x_{3}$ $h(x_{3}x_{2}x_{3}) = x_{2}x_{1}x_{2}x_{3}x_{1}x_{2}x_{1}$

Only $h(x_2x_3x_2)$ contains a repetition: $x_1x_2x_1x_2$.

Let v be any non-repetitive word on S. Any x_3 appearing internally in h(v) either comes from h(x_2) and appears in the context $x_2x_3x_1$, or comes from h(x_1) and appears in the context $x_1x_3x_2$. Thus the words $x_1x_3x_1$ and $x_2x_3x_2$ are not subwords of h(v).

Now suppose $v \in S^*$ has no repetition and doesn't contain $x_1x_3x_1$ or $x_2x_3x_2$ as subwords. By the substitution lemma, h(v) contains no repetition. By our last observation, h(v) contains neither $x_1x_3x_1$ nor $x_2x_3x_2$. Thus by induction $h^n(x_2)$ has no repetitions, and does not contain $x_1x_3x_1$ or $x_2x_3x_2$. We therefore see that the word $h^n(x_2)$ fulfills the substitution lemma's conditions on v, and can be made arbitrarily long.

We are now ready to show that P₅ allows arbitrarily long non-repetitive walks. Consider the following substitution.

- $g: S^* \rightarrow T^*$
- $g(x_1) = 12345432$

 $g(x_2) = 123432345432123454323432$ (Sub 2.2)

 $g(x_3) = 1234323454323432$

Clearly g(v) is a walk on P₅ whenever v ϵ S^{*}. (See Figure 2.4)

Further, g is suitable. The only condition difficult to check is condition 3). One must check these words for non-repetitiveness:

 $g(x_1x_2x_1) = 12345432123432345432123454323432343212345432$ $g(x_1x_2x_3) = 12345432123432345432123454323432-$

1234323454323432

 $g(x_1x_3x_1) = 12345432123432345432343212345432$ $g(x_1x_3x_2) = 123454321234323454323432123432345432-$

123454323432

 $g(x_2x_1x_2) = 12343234543212345432343212345432-$ 123432345432123454323432

g(x2x1x3) = 12343234543212345432343212345432-

1234323454323432

 $g(x_2x_3x_1) = 123432345432123454323432 -$



 $g(x_3x_2x_1) = 1234323454323432123432345432 - 12345432343212345432$

 $g(x_3x_2x_3) = 1234323454323432123432345432-$

1234543234321234323454323432

As an example, we show that $w = g(x_1x_2x_1)$ is non-repetitive. Suppose not. Then w must contain a repetition vv. Being a repetition, vv contains the symbol 1 exactly four, two or no times. We can rule out vv containing no 1's, since then vv would be entirely contained in one of $g(x_1)$, $g(x_2)$, $g(x_3)$, which can each be checked to be non-repetitive.

If vv contains exactly four 1's, then the first and third 1's of w are " matched " by vv:

<u>12345432</u>123432345432<u>12345432</u>343212345432 However, as indicated in the above scheme, this cannot happen, as the subwords of w commencing at the first and third 1's don't agree for long enough. (The extent of their agreement is underlined.)

Suppose vv contains then exactly two l's. If the

first 1 of w is contained in vv, it must be matched with the second 1 of w:

1234543212343234543212345432343212345432We see that this is impossible.

Suppose that vv matches the second and third 1's of w.

 $1\underline{23454321234}3\underline{23454321234}5432343212345432$

Again we see that this is impossible; the underlined "zones" of agreement for these two 1's do not meet.

The second 1 of w cannot be matched with the fourth 1, since then vv would also contain the third 1. However then vv would contain all four 1's, which is impossible, as mentioned.

The final possibility is that the third and fourth 1's of w should match. However, we note that w1 is a palindrome. Since the second and third 1's could not match, neither can the third and fourth.

By arguments of this type, all the listed words except for $g(x_2x_3x_2)$ can be shown to be non-repetitive. Alternatively, g can be shown to be suitable by invoking the Long/Short Lemma of Chapter 7.

As g is suitable, g($h^n(x_2)$) gives an arbitrarily

long non-repetitive walk on P_5 by choosing n as large as desired. Thus P_5 is versatile. Since any path on more than five vertices contains P_5 as a subgraph, such paths are also versatile. We have thus proved Theorem 2.5.

<u>Versatility of MIN.1 - MIN.4</u>: Since we have the substitutions h and g handy, this is a convenient point in the thesis at which to show that MIN.1 - MIN.4 are versatile digraphs. Let $v = h^{\omega}(x_2)$, $w = g(h^{\omega}(x_2))$.

Recall from Chapter 1 the concept of a <u>transition</u> <u>digraph</u>: given a word u of type ω over a finite alphabet Σ , the transition digraph of u has as vertices those letters of Σ appearing in u, and a directed edge from letter x to letter y exactly when xy is a subword of u. Thus MIN.1 is isomorphic to the transition digraph of v, and MIN.3 is precisely the transition digraph of w. It follows that MIN.1 and MIN.3 are versatile digraphs.

As we remarked earlier, v does not contain subwords $x_2x_3x_2$ or $x_1x_3x_1$. Whenever x_3 occurs in v it is either in the context $x_2x_3x_1$ or $x_1x_3x_2$. Let v' be the word of type ω arising from v by replacing x_3 by x_4 whenever x_3 occurs in context $x_1x_3x_2$. Clearly v' will be a non-repetitive

word of type ω . One checks that MIN.2 is isomorphic to the transition digraph of v', and thus is versatile.

Similarly, one checks that w does not contain subwords 232 or 434. Whenever 3 occurs in w it is either in the context 234 or 432. Let w' be the word of type ω arising from w by replacing 3 by 3' whenever 3 occurs in context 432. Again w' will be a non-repetitive word of type ω . One checks that MIN.4 is the transition digraph of w', and thus is versatile.

Chapter 3: Digraph Classification

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In this chapter we ask the following question: Which digraphs are versatile? In analogy to chapter 2, we are only interested in strongly connected digraphs.

Lemma 3.1: Let G be a digraph. Then G is versatile if and only if one of G's strongly connected components is versatile.

<u>Proof</u>: Clearly if a component of G is versatile, so is G. Suppose that G is versatile. Let v be a non-repetitive word of type ω which can be walked on G.

We show that whenever x and y are vertices in different components of G then a final segment of v can be walked in one of $G \setminus \{x\}$ or $G \setminus \{y\}$. It will follow by induction on the size of G that a non-repetitive walk of type ω exists in one of G's components.

Suppose then that x and y are vertices of G and there is no directed xy path in G. If v contains no x, then v can be walked in $G \setminus \{x\}$ and we are done. If v contains an x, then a final segment v' of v contains no y, and v' can be walked in $G \setminus \{y\}$.

A strongly connected digraph can be written as a union of cycles. In the following lemma we relate the intersection of these cycles to the existence of

non-repetitive walks.

Lemma 3.2 (Intersection Lemma): Let X, Y be directed cycles in the digraph G so that $vert(X) \cap$ $vert(Y) \neq \phi$. Then either

1) $X \cap Y$ is connected or 2) $X \cup Y$ is versatile.

<u>Proof:</u> In fact if 1) does not hold, then X U Y "contains" one of the versatile digraphs MIN 1 or MIN 2, in a sense to be made precise later. We show that -1) \rightarrow 2). First note that X (similarly Y) gives a circular order to the vertices of vert(X) \cap vert(Y).

<u>Case A:</u> The circular orders given to $vert(X) \cap vert(Y)$ by X and Y are different.

In this case there are vertices x_1 , x_2 , x_3 of $X \cap Y$ occurring in the order x_1 , x_2 , x_3 in the cycle X, and in the order x_1 , x_3 , x_2 in the cycle Y. Now we use the Second Weaving Lemma, Lemma 2.1(b). As in the last part of Chapter 2, let v be $h^{\omega}(x_2)$. The Second Weaving Lemma requires us to walk v on $X \cap Y$ modulo paths. We let the paths P(x_1 , x_2), P(x_2 , x_3), P(x_3 , x_1) be arcs in cycle X. We require that none of these paths contain x_1 , x_2 or x_3 . However, this is fulfilled because of the assumed circular order of these vertices in X. For example, the vertex x_3 cannot be on the arc of X between x_1 and x_2 . The required paths P(x_1 , x_3), P(x_3 , x_2), P(x_2 , x_1) are chosen in Y. Then v can be walked on X \cap Y modulo these paths, and by the Second Weaving Lemma, X \cap Y is versatile.

<u>Case B:</u> The circular orders on vert(X) \cap vert(Y) given by X and Y are the same. Suppose that X \cap Y is not connected. Then choose vertices x_1 , x_2 which are in different components of X \cap Y. Let $P_X(x_1, x_2)$ be the x_1x_2 path in X, $P_Y(x_1, x_2)$ the x_1x_2 path in Y. Since these two paths are not equal, we have

 $\begin{array}{c} \operatorname{vert}(\ \operatorname{P}_X(\ \operatorname{x}_1, \ \operatorname{x}_2 \) \ \neq \ \operatorname{vert}(\ \operatorname{P}_Y(\ \operatorname{x}_1, \ \operatorname{x}_2 \) \). \\ \mbox{Let } \operatorname{x}_4 \ \equal vert}(\ \operatorname{P}_X(\ \operatorname{x}_1, \ \operatorname{x}_2 \) \) \ \equal vert}(\ \operatorname{P}_Y(\ \operatorname{x}_1, \ \operatorname{x}_2 \) \). \\ \mbox{Using similar definitions, let } \operatorname{x}_3 \ \equal vert}(\ \operatorname{P}_X(\ \operatorname{x}_2, \ \operatorname{x}_1 \) \) \\ \equal vert}(\ \operatorname{P}_Y(\ \operatorname{x}_2, \ \operatorname{x}_1 \) \). \end{array}$

We again wish to apply the Second Weaving Lemma, Lemma 2.1(b), with S = { x_1 , x_2 , x_3 , x_4 }. Instead of v, we use v', the word arising from v by replacing x_3 by x_4 wherever x_3 occurs in context $x_1x_3x_2$.

As remarked at the end of Chapter 2 v' is non-repetitive. Also the only two letter subwords of v' are x_1x_2 , x_2x_1 , x_1x_4 , x_2x_3 , x_4x_2 , x_3x_1 . We must now show that we can walk v' in X U Y modulo paths. There exists an x_1x_2 path in X U Y not through x_4 , since x_4 is not on both $P_X(x_1, x_2)$ and $P_Y(x_1, x_2)$. Also x_3 is not on

 $P_X(x_1, x_2)$ or $P_Y(x_1, x_2)$, because x_3 is between x_2 and x_1 on one of X and Y. We may thus choose one of $P_X(x_1, x_2)$ or $P_Y(x_1, x_2)$ to serve as a path $P(x_1, x_2)$ having no vertex in S.

Preparing to use the second weaving lemma, with $S = \{x_1, x_2, x_3, x_4\}$, we have shown that the required path P(x_1, x_2) exists. Further, since x_4 is between x_1 and x_2 on one of X and Y, there is an x_1x_4 path in X U Y not through x_2 . Again x_3 is not on this path, for otherwise x_3 is between x_1 and x_4 , hence x_1 and x_2 . Arguing similarly, the existence of paths P(x_1, x_2), P(x_1, x_4), P(x_4, x_2), P(x_2, d), P(x_2, x_1), P(x_3, x_1) may be shown. We may thus walk v' on X U Y modulo paths and therefore X U Y is versatile.

We have shown that certain digraphs are versatile. We use this intersection lemma to delineate the digraphs requiring further investigation.

Lemma 3.3 (Classification Lemma): Let G be a strongly connected digraph . Then G is of one of the following types:

(1) vert(G) = vert(X) for some directed cycle Xof G. In this case, say G is a <u>one hump</u> digraph.

(2) G is not of type (1), but vert(G) =

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vert ($X \cup Y$) where X and Y are directed cycles, and X \cap Y is connected and non-empty. In this case, say G is a <u>two hump</u> digraph.

(3) G is not of types (1) or (2), but vert(G) = vert(X \cup Y \cup Z) where X, Y, Z are directed cycles, X \cap Y and Y \cap Z are connected and non-empty, and X \cap Z = ϕ . In this case, say G is a <u>three hump</u> digraph.

(4) G is versatile.

<u>Remark</u>: In fact, unless G falls under one of cases (1), (2) or (3), G "contains", in a sense to be made precise later, one of the versatile digraphs MIN.1, MIN.2 or MIN.3.

<u>Proof</u>: If G is versatile, then G falls under case (4) and we are finished. Thus suppose that G is not versatile. Since G is strongly connected, write vert(G) = $\bigcup_{i=1}^{m}$ vert(C_i) where the C_i are directed cycles of G, and for each j, 2 \leq j \leq m,

there exists i < j such that $C_j \cap C_i \neq \phi$. Do this so that m is as small as possible.

If m = 1, then G is of type (1) and we are done. If m = 2 then G is of type (2), for by the intersection lemma, since G is not versatile, $C_1 \cap C_2$ must be connected.

If m = 3, $C_1 \cap C_2 \neq \bullet$. Suppose without loss of

generality that $C_2 \cap C_3 \neq \phi$. Otherwise $C_2 \cap C_3 = \phi$ so that $C_3 \cap C_1 \neq \phi$, and we interchange the roles of C_1 and C_2 .

Because G is not versatile, by the intersection lemma, $C_1 \cap C_2$, $C_2 \cap C_3$ are connected. It remains to show that $C_3 \cap C_1 = \phi$. Suppose not.

Let $x_1 \in vert(C_1) \setminus vert(C_2 \cup C_3)$. Such an x_1 exists, for otherwise we could write vert(G) = vert(C_2 \cup C_3) where $C_2 \cap C_3$ is non-empty. This contradicts the minimality of m.

Similarly we can choose $x_2 \in vert(C_2) \setminus vert(C_1 \cup C_3)$ and $x_3 \in vert(C_3) \setminus vert(C_2 \cup C_1)$.

Now we use the second weaving lemma. Let $S = \{x_1, x_2, x_3\}$, and $v = h^{\omega}(x_2)$ as before. The required path $P(x_1, x_2)$ follows C_1 from x_1 to $C_1 \cap C_2$, then C_2 to x_2 . We see that x_3 is not on P(x, y) because $x_3 \notin C_1 \cup C_2$. Similarly we can find $P(x_2, x_1)$, $P(x_2, x_3)$, $P(x_3, x_2)$, $P(x_3, x_1)$, $P(x_1, x_3)$. We can walk v on G modulo the $P(x_1, x_j)$, contradicting our assumption that G is not versatile. Here G is cognate, in some sense, to the triangle, MIN.1.

We conclude that if m = 3, then $C_1 \cap C_3 = 4$, and G is a three hump digraph

If $m \ge 4$, we must get a contradiction. We will

consider the cycles C_1 , C_2 , C_3 , C_4 . As in the previous case, we may assume $C_1 \cap C_2 \neq \phi$, $C_2 \cap C_3 \neq \phi$, and $C_3 \cap C_1 = \phi$.

Case A: $C_4 \cap C_2 \neq \phi$. Then pick $x_1 \in vert(C_4) \setminus vert(C_1 \cup C_2 \cup C_3)$. We can do this by minimality of m. Pick $x_2 \in vert(C_3) \setminus vert(C_1 \cup C_2 \cup C_4)$. Such a x_2 exists, otherwise m could be reduced by discarding C_3 . Again, pick $x_3 \in vert(C_1)$ $\setminus vert(C_2 \cup C_3 \cup C_4)$

Again use the second weaving lemma with $S = \{x_1, x_2, x_3\}$ and $v = h^{\omega}(x_2)$. We can let $P(x_1, x_2)$ be a path from x_1 through C_4 to $C_4 \cap C_2$, through C_2 to $C_2 \cap C_3$, through C_3 to x_2 . Clearly x_3 is not on this path. Similarly we choose $P(x_1, x_3)$, $P(x_2, x_1)$, * $P(x_2, x_3)$, $P(x_3, x_1)$, $P(x_3, x_2)$.

By the second weaving lemma, G is versatile, which is a contradiction. (This case is cognate to the undirected graph case where G has a vertex v of degree 3 or greater. Here, C_2 plays the role of vertex v.)

<u>Case B:</u> $C_4 \cap C_2 = \phi$.

Suppose without loss of generality that $C_4 \cap C_3 \neq \phi$. Otherwise interchange the roles of C_1 and C_3 . Now pick a vertex 1, with 1 ϵ vert(C_1) \ vert($C_2 \cup C_3 \cup C_4$). Such a vertex exists because m is minimal. Pick vertex

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2 \in vert($C_1 \cap C_2$), vertex 3 \in vert($C_2 \cap C_3$), vertex 4 \in vert($C_3 \cap C_4$), and vertex 5 \in vert(C_4) vert($C_1 \cup C_2 \cup C_3$). Let S = { 1, 2, 3, 4, 5 }, and walk w = g(h^w(x₂)) on G modulo paths, where h, g are substitutions 2.1 and 2.2 from chapter 2.

The two letter subwords of v are 12, 23, 34, 45, 54, 43, 32, 21. Choose the paths P(1, 2), P(2, 1) in C_1 . Since $C_1 \cap C_3 = 4$, 3 and 4 are not on P(1, 2) or P(2, 1). Also $5 \notin C_1$ so that 5 is not on P(1, 2) or P(2, 1). Let P(2, 3) and P(3, 2) be paths in C_2 . These paths avoid 1 and 2 which are not on C_2 , and 4 and 5 which are on C_4 , as $C_2 \cap C_4 = 4$. Choose P(3, 4), P(4, 3) in C_3 and P(4, 5), P(5, 4) in C_4 . By arguments symmetrical to those used with the first four paths, these last four paths satisfy the conditions of the second weaving lemma. Thus G is versatile, which is a contradiction. The reader will perceive that we treat G as though it were a five element path. (MIN.3) \Box

The intersection and classification lemmas can be invoked to show that certain classes of digraphs are versatile. To show that an individual digraph is not versatile, it suffices to exhaust the non-repetitive walks on that particular digraph. Next, we provide ways

to show that <u>classes</u> of digraphs do not allow arbitrarily long non-repetitive walks.

Lemma 3.4 (Compressible Paths Lemma): Let $a_1a_2...a_n$, n > 2, be a directed path in a digraph G with outdegree(a_1) = 1,

degree(a_i) = 2, i = 2 to n-1,

indegree(a_n) = 1.

Then G is versatile if and only if G' is, where G' is obtained from G by removing a_2, a_3, \ldots, a_n , and adding an edge in G'from a_1 to every successor of a_n . (i. e. We identify the vertices of the path.)

<u>Proof:</u> The result will follow by induction if we prove the lemma for n = 2. Suppose then, that n = 2.

Clearly if G' is versatile then G is, by the weaving lemma.

Suppose G is versatile. Let w be any non-repetitive walk in G with the sole restriction that w does not start with a_2 or end with a_1 . Consider w' = w |vert(G) \{ a_2 }' the word obtained from w by deleting all occurrences of a_2 . Clearly w' will be a walk on G'. If we can show that w' is non-repetitive, we shall be done, for

 $|w'| \ge |w| / 2$, which can be made arbitrarily large.

If v is any word on vert(G) \ { a_2 }, then let p(v) be the word obtained from v by replacing each occurrence of a_1 in v by a_1a_2 . Then clearly, p(w') = w. Now suppose for the sake of contradiction that w' is repetitive, say that w' = abbc for some a, b, c \in vert(G) \ { a₂ }, b $\neq \epsilon$. But then p(w') = p(a)p(b)p(c), and w contains a repetition, which is a contradiction.

<u>Definition:</u> Let G be a digraph so that all the vertices of G lie on a directed path P of G. Let ij be a directed edge of G not on P. If j precedes i in P, then the edge ij is a <u>back edge</u> (with respect to P). Otherwise, the edge ij is a <u>forward edge</u> (with respect to P).

<u>Definition:</u> Let G be a digraph with all its vertices on a directed path P so that vert(G) is ordered. Let ij be a back edge of G. We say that edge ij is <u>useful</u> if one of the following cases arises:

(i) A forward edge kl of G has a vertex between j and i; $j \leq l \leq i$ or $j \leq k \leq i$ (or both).

(ii) There are two back edges of G, i'j' and i"j", such that

 $j \leq j' \leq j'' \leq i' \leq i'' \leq i$, but not both j = j' and i'' = i. We say that <u>i'j' and i''j'' form an M under ij</u>.

(iii) A back edge kl of G intersects ij; that is, $l < j \leq k < i \text{ or } j < l \leq i < k$. We say that <u>kl and ij</u> form an M.

Otherwise say that ij is useless.

Lemma 3.5 (M lemma): Let G be a digraph with all its vertices on a directed path P. Let ij be a useless edge of G. Then G is versatile if and only if $G \setminus ij$ is, where $G \setminus ij$ is the graph obtained from G by removing the edge ij.

<u>Proof:</u> First note that removing an edge from G never makes another edge useful.

Next let Q be the set of back edges of G with partial order > : i"j" > i'j' if j" \leq j' \leq i' \leq i", viz. the ends of the smaller edge are between those of the larger.

It suffices to prove the lemma in the case that ij is minimal with respect to this order. Suppose that the lemma has been proved in this case and kl is any useless edge of G. Let the set of useless edges of G less than or equal to kl be $S = \{i_1j_1, i_2j_2, \dots, i_nj_n, kl\}$. Then $G \setminus S$ is versatile if and only if G is; we simply remove the edges of S from G one at a time, at each step removing a minimal edge. To get $G \setminus kl$, we add the edges of $S \setminus \{kl\}$ to $G \setminus S$, starting with maximals.

Suppose then that ij is a useless edge of G, minimal in the order given. Let v b a non-repetitive word of type



 ω walkable on G. If ij appears only finitely often in v, then a final segment of v can be walked on G \ ij, and we are done. Thus assume that ij appears infinitely often as a subword in v. We can then find arbitrarily long subwords w of v such that w has i as a suffix.

<u>Claim</u>: Any long enough subword w of v having i as a suffix must have suffix j(j+1)(j+2)...(i-1)i. (Here j+1 is the successor of j on P etc.)

<u>Proof of Claim</u>: The indegree of i is 1: Any forward edge ending at i satisfies (i) of the definition of useful edges, making ij useful. Any back edge ending at i satisfies (iii) of the definition, making ij useful.

Thus w ends in (i-1)i.

Now suppose that long enough w ending in i must end

(i-k)(i-k+1)...(i-1)i, j < i-k(* We show that w ends in (i-k-1)(i-k)...(i-1)i.

Suppose not. Then some edge e = l(i-k), $l \neq i-k-1$ exists in G, and w ends in l(i-k)...i. If l < i-k, then e is a forward edge satisfying (i) of the definition of useful edges, a contradiction.

Thus we must assume that e is a back edge. Because of (iii) of the definition of useful edges, we must have $1 \leq i$. Since e is not a useless edge, by the minimality

of ij, there are two possibilities:

I) There is an M under e. Such an M is also under ij, a contradiction, as per (ii) of the definition of • useful edges.

II) Some edge f = rs forms an M with e where

 $s < i-k \leq r < l$

or i-k < s ≤ l < r.

Because of (ii), (iii) of the definition of useful edges, we insist that $j = s < i-k \le r < l = i$

or $j = i - k < s \leq l < r = i$.

However by assumption, j < i-k, so we must have

 $j = s < i - k \leq r < l = i$.

Thus w ends in l(i-k)...i = i(i-k)i. But then, if w is long enough, our induction hypothesis (* says that w ends in (i-k)...i(i-k)...i, and v contains a repetition, which is a contradiction.

Thus w ends in (i-k-1)(i-k)...i. By induction, w ends in j(j+1)...(i-1)i.D

A second claim has a similar proof.

<u>Claim</u>: Any long enough subword z of v having j as a prefix must have prefix j(j+1)(j+2)...(i-1)i.

However v contains ij infinitely often, so that we can find a long subword wijz of v with w = w'j(j+1)(j+2)...(i-1)i, z = j(j+1)(j+2)...(i-1)iz'. But

then v contains the repetitive subword

w'j(j+1)(j+2)...(i-1)ij(j+1)(j+2)...(i-1)iz', a contradiction. We conclude that v contains ij only finitely often, and thus $G \setminus ij$ is versatile if and only if G is.

Clearly the existence of a loop in a digraph does not help to make it versatile. We may therefore modify Lemma 3.4 slightly:

Lemma 3.6 (Compressible Paths Lemma): Let $a_1a_2...a_n$ be a directed path in a digraph G with

outdegree(a_1) = 1,

degree(a_i) = 2, i = 2 to n-1,

indegree(a_n) = 1.

Then G is versatile if and only if G' is, where G' as obtained from G by removing a_2, a_3, \ldots, a_n , and adding an edge in G' from a_1 to every successor of a_n other than a_1 .

We say that digraph G <u>reduces</u> to digraph H (H is a <u>reduction</u> of G) if H is obtained from G by repeated applications of the compressible paths lemma and removal of loops and useless edges. Thus if G reduces to H, G is versatile if and only if H is versatile.

The purpose of this thesis is to characterize versatile digraphs. We make this characterization by producing two sets of digraphs, MIN (shown in Appendix 1) and MAX (shown in Appendix 2). In Chapters 7 and 8 we show that the digraphs of MIN are versatile. In "Chapter 9, we show that the digraphs of MAX are not versatile. In Chapter 4, Chapter 5 and Chapter 6, the heart of the thesis, we give a case by case breakdown of all digraphs to show that every digraph either can be reduced to some digraph "contained" in a digraph of MAX, and hence is non-versatile, or else "contains" some digraph of MIN, and hence is versatile. The intersection lemma, the classification lemma, the M lemma, and the definitions of useless edges, forward edges and back edges will be used to give this case breakdown of digraphs. The next section of this chapter introduces the concept of mimicking, by which we make precise what it means for a digraph G tom "contain" a digraph H .

<u>Definition:</u> Let H, G be digraphs so that there is an injection m: vert H \rightarrow vert G, such that whenever ij is an edge of H, then there is a path in G \ m(vert H) from m(i) to m(j). We say that G <u>imitates</u> H.

We can put this another way: We fix a labelling of G. Whenever v is a walk on H then v can be walked on G

modulo paths with respect to this labelling. It follows that if G imitates H, then if H is versatile, so is G.

Example: The graph of Figure 3.1 imitates the triangle with the given labelling.

Not every versatile digraph imitates P₅ or the triangle. (Otherwise we would be finished, by Chapter 2.) The digraph G of Figure 3.2 is a counterexample. This graph is indeed versatile, because the following substitution is suitable.

g: $x_1 \rightarrow 1232$ $x_2 \rightarrow 123454$ $x_3 \rightarrow 123456$

This is easy to check, or refer to the Different Endings Lemma of Chapter 7. However, an argument could be given to show that G can imitate neither the triangle nor the five element path.

If G is a digraph, then G^R , the <u>reverse</u> of G, is the digraph with the same vertex set as G, and a directed edge ij exactly when ji is a directed edge of G. Clearly G^R is versatile if and only if G is. To reduce the size of MIN, we have sought to include at most one of G and G^R for any digraph G. Let us extend the idea of imitation to take advantage of this:

Definition: Let H, G be digraphs. Say that G mimics



H if G imitates at least one of H, H^R.

Now that we have introduced the concept of <u>mimicking</u>, we remark that the proofs of Lemmas 3.2 and 3.3 prove the following stronger results:

Lemma 3.2' (Intersection Lemma): Let X, Y be directed cycles in the digraph G so that $vert(X) \cap$ $vert(Y) \neq \phi$. Then either

1) $X \cap Y$ is connected

or 2) X \cup Y mimics one of MIN.1 or MIN.2, and hence is versatile

Lemma 3.3' (Classification Lemma): Let G be a strongly connected digraph . Then G is of one of the following types:

(1) vert(G) = vert(X) for some directed cycle X of G. In this case, say G is a <u>one hump</u> digraph.

(2) G is not of type (1), but vert(G) = vert ($X \cup Y$) where X and Y are directed cycles, and X \cap Y is connected and non-empty. In this case, say G is a <u>two hump</u> digraph.

(3) G is not of types (1) or (2), but vert(G) = vert(XUYUZ) where X, Y, Z are directed cycles, $X \cap Y$ and $Y \cap Z$ are connected and non-empty, and
X $n Z = \bullet$. In this case, Say G is a <u>three hump</u> digraph. (4) G mimics one of MIN.1, MIN.2 or MIN.3, and therefore is versatile.

We now have the tools necessary to state and prove our main result. The main theorem of this work is proved in three pieces, appearing in Chapter 4, Chapter 5 and Chapter 6, respectively.

<u>Theorem 3.8:</u> Let G be a three hump digraph. Either G mimics a graph H where H is in MIN, or a reduction of G is mimicked by some digraph K, where K is in MAX.

<u>Theorem 3.9:</u> Let G be a two hump digraph. Either G mimics a graph H where H is in MIN, or a reduction of G is mimicked by some digraph K, where K is in MAX.

Theorem 3.10: Let G be a one hump digraph. Either G mimics a graph H where H is in MIN, or a reduction of G is mimicked by some digraph K, where K is in MAX.

The Main Theorem (Theorem 3.11): Let G be a digraph. Either G mimics a graph H where H is in MIN, or a reduction of G is mimicked by some digraph K, where K is in MAX.

<u>Corollary 3.12:</u> Let G be any digraph. Either G is non-versatile, or else G mimics a graph H in MIN.

Chapter 4: Three Hump Digraphs

In this chapter we prove Theorem 3.8. <u>Theorem 3.8</u>: Let G be a three hump digraph. Either G mimics a graph H where H is in MIN, or a reduction of G is mimicked by some digraph K, where K is in MAX.

We begin by proving a refinement of the classification lemma.

Lemma 4.1 (Refining the Classification Lemma): Let G be a three hump digraph . Then either

(1) vert(G) = vert ($X \cup Y \cup Z$) where X, Y, Z are cycles of G,

 $X \cap Y$, $Y \cap Z$ are connected and non-empty, $X \cap Z = *\phi$, and

 $Y \setminus (X \cup Z)$ is connected.

or (2) G is versatile. In fact G mimics MIN.4.

<u>Proof:</u> Suppose that $Y \setminus (X \cup Z)$ is not connected. Then choose vertices $1 \in X \setminus Y$, $2 \in X \cap Y$, $4 \in Y \cap Z$ and $5 \in Z \setminus Y$. Pick two vertices 3, 3' from different components of $Y \setminus (X \cup Z)$. Without loss of generality we may assume that vertices 2, 3, 3', 4 appear in cyclical order 2, 3, 4, 3' in Y. (Recall that $X \cap Y$, $Z \cap$ Y are connected.) With this labelling, G mimics MIN.4. (See Figure 4.1.) \Box

This refinement of the classification lemma allows us to





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introduce a certain structure to three hump digraphs.

<u>Definition:</u> Let G be a three hump digraph. We say that G <u>has a skeleton</u> if

(1) We can write vert(G) = vert(P) where P is a directed Hamiltonian path in G. Path P gives an order to the vertices of G.

(2) With respect to this order, G has at least three additional edges a_2a_1 , b_2b_1 , c_2c_1 where $a_1 < b_1 \le a_2 < c_1 \le b_2 < c_2$, a_1 is the initial vertex of P, c_2 the final vertex.

We call the digraph made up of P together with the edges a_2a_1 , b_2b_1 , c_2c_1 the <u>skeleton</u> of G. (See Fig. 4.2.) Other edges of G are called <u>extra-skeletal</u> edges.

Lemma 4,2 (The Skeleton): Let G be a three hump digraph which does not mimic MIN.4. Then G has a skeleton.

Proof: We may assume by Lemma 4.1 that $Y \setminus (X \cup Z)$ is a directed path. Let m be the source of this directed path and M the sink. Let a_2 be the predecessor of m in Y. Either $a_2 \in X$ or $a_2 \in Z$, but not both. Suppose without loss of generality (up to renaming) that $a_2 \in X$. Let a_1 be the successor of a_2 in X.



Let c_1 be the successor of M in Y. Then $c_1 \in Z$. Otherwise $c_1 \in X$, and Y is the union of two directed paths: the segment of Y from m through M, and the segment of X from c_1 through a_2 . (Recall that $Y \cap X$ is connected.) But then $Y \cap Z = \phi$, since $X \cap Z = \phi$ and ($Y \setminus (X \cup Z)$) $\cap Z = \phi$. This is a contradiction. Thus indeed $c_1 \in Z$. Let the predecessor of c_1 in Z be c_2 .

Now X \cap Y is a directed path with a_2 as sink. Let b_1 be the source. Let b_2 be the sink of the directed path Y \cap Z , which has c_1 as source. (See Figure 4.3.)

Now X is the cycle $a_1 - a_2$, $Y \setminus (X \cup Z)$ is the path m-M, and Z is the cycle $c_1 - c_2$. We may therefore let P = $a_1 - a_2 m - Mc_1 - c_2$. Clearly we have vert(G) = vert(P),

 $\mathbf{a}_1 \leq \mathbf{b}_1 \leq \mathbf{a}_2 \leq \mathbf{c}_1 \leq \mathbf{b}_2 \leq \mathbf{c}_2,$

 a_1 is the initial vertex of P, c_2 the final vertex of P.

We show that $a_1 < b_1$, $a_2 < c_1$, $b_2 < c_2$.

If $a_1 = b_1$, then $X = a_1 - a_2 = b_1 - a_2 \subset X \cap Y$, so that $X \subset Y$. Then vert(G) = vert (Y \cup Z), a contradiction.

Similarly b₂ ≠ c₂.

Finally, $a_2 \neq c_1$, as $X \cap Z = \phi$.

The edges a₂a₁, c₂c₁ exist by definition. The edge



b₂b₁ exists because Y is a cyclest

<u>Proof of Theorem 3.8:</u> We assume that G has a skeleton, since otherwise, by Lemma 4.2 above, G mimics MIN.4. Also, we may assume that G has no useless edges, as such edges may be removed without affecting whether G is versatile or not. The proof of the theorem involves a lengthy enumeration of cases. To make this case breakdown we refer to the skeleton of G (Figure 4.2). Let the extra-skeletal edges of G be i_1j_1 , i_2j_2 ,..., i_mj_m . We make cases based on m.

To reduce work, we often invoke symmetry. Now G^R , the reverse of G is a three hump digraph. Again, vert(G^R) = vert(P^R) where P^R is the reverse of P. Renaming a_1 as c_2' , a_2 as c_1' , b_1 as b_2' , b_2 as b_1' , c_1 as a_2' and c_2 as a_1' , we see that G^R is a three hump digraph with skeleton $P^R \cup \{ c_2'c_1', b_2'b_1', a_2'a_1'' \}$. This symmetry under reversal reduces the number of required cases. For example, suppose G has an edge i_1j_1 with $i_1 > b_2$. Then, renaming i_1 as j_1' and j_1 as i_1' , the reversal of G has $j_1' < b_1'$ and will later fall under our case Al. Keeping this use of symmetry in mind, we procede to our case division.

<u>m = 0</u>: If G is its own skeleton then a reduction of G can be mimicked on MAX.1 and we are done. (See Figure

• 4.2. We apply the Compressible Paths Lemma, Lemma 3.6, to the paths in G

from a₁ to the predecessor in P of b₁

from b₁ to a₂

from the successor of a_2 to the predecessor of c_1

from c1 to b2

and from b_2 to c_2 .

The result is isomorphic to a graph in one of Figure 4.4 or Figure 4.5, depending on whether there is a vertex between a_2 and c_1 in G. These graphs are mimicked by MAX.1 with the given labellings.

 $\underline{m} = 1$: Depending on i_1 , j_1 we have several subcases.

<u>Case A:</u> The edge $i_1 j_1$ is a back edge; i.e. With respect to the order given to vert(G) by P, $i_1 > j_1$.

<u>Case B:</u> The edge $i_1 j_1$ is a forward edge; i.e.

i₁ < j₁.

Case A breaks down as follows:

Case A1 $a_1 \leq j_1 < b_1$ (or symmetrically, $a_1 > b_2$).

Case A2 $b_1 \leq j_1 \leq a_2$ (and $i_1 \leq b_2$). Case A3 $a_2 \leq j_1 \leq c_1$ (and $i_1 \leq c_1$). In this third case, the edge $i_1 j_1$ is useless, $a_1 \sim c_1$ contradiction. (See Figure 4.6)



Cases Al and A2 are further subdivided.

A1: Case A1 (a) $a_1 \leq j_1 \leq i_1$ Here the edge $i_1 j_1$ is useless, a contradiction. (See Figure 4.7.)

(ase Al (b) $a_1 \leq j_1 \leq b_1 \leq i_1 \leq a_2$, Here G mimics MIN.5. (See Figure 4.8.) The labelling of vertices of G required by the definition of mimicking is shown explicitly in the figure.

Case Al (c) $a_1 \leq j_1 \leq b_1 \leq a_2 \leq i_1 \leq c_1$. If $a_2 = i_1$, then $a_1 \leq j_1$ so that G mimics MIN.6. (See Figure 4.9.)

If $a_2 < i_1$, then G mimics MIN.5. (See Figure 4.10.)

Case A1 (d) $a_1 \leq j_1 \leq b_1 \leq c_1 \leq i_1$

Note that $i_1 < c_2$ and $a_1 < j_1$ or vert(G) could be written as the union of two cycles.

Here G mimics MIN.7. (See Figure 4.11.)

A2: Case A2 (a) $b_1 \leq j_1 \leq i_1 \leq a_2$. Here the edge $i_1 j_1$ is useless, a contradiction. (See Figure 4.12.)





Figure 4.10







Figure 4.12

Case A2 (b) $a_2 < i_1 < c_1$.

If $j_1 = b_1$ then the reduction of G can be mimicked on MAX.1 (See Figure 4.13.) and we are done.

If $j_1 > b_1$ then G mimics MIN.8. (See Figure 4.14.)

Case A2 (c) $c_1 \leq i_1 \leq b_2$.

Either $j_1 \neq b_1$ or $i_1 \neq b_2$, since $i_1j_1 \neq b_2b_1$. Since $b_1 \leq j_1 \leq a_2$ and $c_1 \leq i_1 \leq b_2$, the roles of i_1 and j_1 are reversed when G is reversed. (See Figure 4.15.) Therefore, without loss of generality, suppose that $j_1 \neq b_1$. This takes us from Figure 4.15 to Figure 4.16.

But now $a_1 < j_1 \leq a_2 < c_1 \leq i_1 < c_2$, and $a_1 < b_1 < j_1$. Thus j_1 and i_1 can play the roles of b_1 and b_2 in the skeleton of G. Switching the roles of i_1j_1 and b_2b_1 gives case A1 (d) which has already been dealt with.

This concludes case A. Case B is divided as follows:

Case B1: $i_1 < b_1$

Case B2: $b_1 \leq i_1 \leq a_2 \quad (j_1 \leq b_2)$. Case B3: $a_2 \leq i_1 \leq j_1 \leq c_1$.

In Case B3, let x be a vertex between i_1 and j_1 on



P. Then G mimics MIN.2. (See Figure 4.17.)

Cases B1 and B2 are further subdivided.

B1: Case B1 (a): $j_1 < b_1$ Let x be a vertex between i_1 and j_1 on R. Here G mimics MIN.2. (See Figure 4.18.)

Case B1 (b): $j_1 = b_1$. Here G mimics MIN.9. (See Figure 4.19.)

Case B1(c): $b_1 < j_1 \le a_2$. Here G mimics MIN.10. (See Figure 4.20.)

Case B1 (d): $a_2 < j_1 \leq b_2$. Consider the cycle C following P from a_1 to i_1 , edge i_1j_1 , P from j_1 to b_2 , edge b_2b_1 , P from b_1 to a_2 , then edge a_2a_1 . Recall the cycle Z from the proof of Lemma 4.2: Z is the cycle consisting of the path in P from c_1 to c_2 , together with the edge c_2c_1 . We see that $C \cap Z$ is connected. Therefore, vert(G) \neq vert(CUZ), as G is a three hump digraph. We have two possibilities:

(i) There is a vertex x between i_1 and b_1 Here G mimics MIN.14. (See Figure 4.21.)



Figure 4.18







Figure 4.20



Figure 4.21

(ii) There is a vertex x between a_2 and the lesser of j_1 , c_1 .

Here G mimics MIN.12. (See Figure 4.22.)

Case B1 (e): $b_2 < j_1$

Consider the cycle C following P from a_1 to i_1 , then edge i_1j_1 , then P from j_1 to c_2 , then edge c_2c_1 , then P from c_1 to b_2 , then edge b_2b_1 , then P from b_1 to a_2 , then edge a_2a_1 . Now vert(G) cannot equal vert(CUX), vert(CUY) or vert(CUZ). This forces one of two cases:

(i) There is a vertex x of P between a_2 and c_1 . Here G mimics MIN.1. (See Figure 4.23.)

(ii) There are vertices of P between i_1 and b_1 and between b_2 and j_1 . Here G mimics MIN.13. (See Figure 4.24.)

B2: Case B2 (a) $j_1 < c_1$.

Here G mimics MIN.2. (See Figure 4.25. Let x be any vertex between i_1 and j_1 ...).

Case B2 (b) $c_1 \leq j_1 \leq b_2$.

We make two cases:

(i) There is some vertex x of G, $a_2 < x < c_1$. Here G







mimics MIN.1. (See Figure 4.26.)

(ii) There is no vertex of G between a_2 and c_1 on P. Then we cannot have $i_1 = a_2$ and $j_1 = c_1$, as $i_1 j_1$ was chosen to be an extra-skeletal edge. By the symmetry of this case under reversal, we may assume that $i_1 \neq a_2$. Replace P by the hamiltonian path H. H starts with the successor of i_1 , follows P to a_2 , then follows edge a_2a_1 to get to a_1 . Then H follows P from a_1 to i_1 , then $i_1 j_1$ to j_1 . Next, H follows P from j_1 to c_2 . If $c_1 = j_1$, then H stops at c_2 . Otherwise, H follows edge c_2c_1 to c_1 , then P to the predecessor of j_1 . (See Figure 4.27.) With respect to the new skeleton, G falls under case B1(e), which has already been dealt with.

This completes the case when m = 1.

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<u>m > 1:</u>

Without loss of generality we can assume that edge i_1j_1 falls (up to reversal of G) under one of cases A1(a), A2(a), A2(b) or A3 of the classification for m = 1. This is true because we have shown that if G contains an edge i_1j_1 falling under one of the other cases, G mimics a graph of MIN. Likewise assume that every other extra-skeletal edge of G falls under one of these cases.



(Under the appropriate renaming, of course.) We thus use these cases for the breakdown of the present case.

Case A1 (a) $a_1 \leq j_1 < i_1 < b_1$. Suppose that edge i_1j_1 falls under case A1 (a). Since i_1j_1 is not a useless edge, and G has no forward edges, either there is an M under edge i_1j_1 , or an edge forms an M with edge i_1j_1 . However, any edge under i_1j_1 is an edge of case A1 (a). Likewise, of the four types of edges remaining, only those falling under case A1 (a) could form an M with i_1j_1 . Thus without loss of generality (up to renaming), say that edges i_1j_1 and i_2j_2 form an M, with $a_1 \leq j_1 < j_2 \leq i_1 < i_2 < b_1$. Here G mimics MIN.14. (See Figure 4.28.)

Case A2 (a) $b_1 \leq j_1 \leq i_1 \leq a_2$. Without loss of generality (up to renaming), edges $i_1 j_1$ and $i_2 j_2$ form an M, $b_1 \leq j_1 \leq j_2 \leq i_1 \leq i_2 \leq a_2$. Here G mimics MIN.15. (See Figure 4.29.)

Case A3 $a_2 \leq j_1 \leq i_1 \leq c_1$. Without loss of generality (up to remaming), edges $i_1 j_1$ and $i_2 j_2$ form an M. However, we now have two possibilities:



(i) Edge i₂j₂ falls under case A3 (after appropriate renaming.) Without loss of generality (up to renaming),

 $a_2 < j_1 < j_2 \leq i_1 < i_2 < c_1$. Here G mimics MIN.15. (See Figure 4.30.)

(ii) Edge i_2j_2 falls under case A2 (b) (after appropriate renaming.) Without loss of generality (up to renaming),

 $b_1 = j_2 \leq a_2 \langle j_1 \leq i_2 \langle i_1 \langle c_1.$ Here G mimics MIN.16. (See Figure 4.31.)

Case A2 (b) $b_1 = j_1 \leq a_2 \leq i_1 \leq c_1$. Without loss of generality, we may now assume that every extra-skeletal edge of G falls under case A2(b). However with reversals, this allows three possibilities.:

(i) We have $b_1 = j_2$, $i_1 < i_2 < c_1$. Here G mimics MIN.5.

(See Figure 4.32;).

(ii) We have $b_2 = i_2$, $j_2 \leq i_1$. Here G mimics MIN.3. (See Figure 4.33.)

(iii) We have $b_2 = i_2, j_2 > i_1$. Here G mimics

7.4



Figure 4.31







Figure 4.33



Figure 4.34

MIN.17. (See Figure 4.34.)

We have now proved the theorem.

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Chapter 5: Two Hump Digraphs

In this chapter we will consider two hump digraphs. We prove

Theorem 3.9: Let G be a two hump digraph. Then either G mimics a digraph H in MIN, or a reduction of G is mimicked by a digraph K in MAX.

In analogy to the previous chapter, we introduce skeletons.

Definition: Let G be a two hump digraph. Then we say that G has a skeleton if

(1) We can write vert(G) = vert(P) where P is a directed Hamiltonian path in G.

(2) G has at least two additional edges a_2a_1 , b_2b_1 where

 $a_1 < b_1 \leq a_2 < b_2$ with respect to the order P induces on vert(G), a_1 is the initial vertex of P, b_2 the terminal vertex of P.

We call the digraph made up of P together with the edges a_2a_1 , b_2b_1 the <u>skeleton</u> of G . (See Figure 5.1) Other edges of G are called <u>extra-skeletal</u> edges.

Lemma 5.1 (The Skeleton): Let G be a two hump digraph. Then G has a skeleton.



<u>Proof:</u> We know that $Y \cap X$ is a directed path. Let b_1 be the source of this directed path and a_2 the sink. Let b_2 be the predecessor of b_1 in Y. Let a_1 be the successor of a_2 in X. (See Figure 5.2)

Then the vertices of G all lie on the directed path $a_1 _ b_1 _ a_2 _ b_2$. The edges a_2a_1 , b_2b_1 exist by definition. Finally, in the case that $a_1 = b_1$ or $a_2 = b_2$, vert(G) lies on a cycle, X or Y respectively.

<u>Remark:</u> The roles of X and Y in the previous proof are interchangeable.

<u>Proof of Theorem 3.9:</u> This proof involves a very long enumeration of cases, classifying the two hump digraphs. Assume again that G has no useless edges. Again the case breakdown refers to the skeleton of G. Label the extra-skeletal edges of G by i_1j_1 , i_2j_2 , ..., i_mj_m . We make cases based on m.

<u>m = 0:</u> If G is its own skeleton we are done. Here a reduction of G can be mimicked by MAX.1. (See Figure 5.1)

<u>**m** = 1</u>: We have two branches to our case division: <u>Case I</u>: The edge i_1j_1 is a back edge; i.e. $i_1 > j_1$ with respect to the order given by P.

<u>Case II:</u> The edge $i_1 j_1$ is a forward edge; i.e.



$i_1 < j_1$.

CASE I (ONE BACK EDGE)

Case I gives rise to several subcases. If $a_1 \leq j_1 \leq b_1$, then we form cases based on i_1 .

Case A $a_1 \leq j_1 \leq b_1$ and $i_1 \leq b_1$. Here the edge $i_1 j_1$ is useless, a contradiction. (See Figure 5.3)

Note: Later on in the proof, when we consider the possibility that m > 1, it will be useful to have names for the various types of back edges occurring in G. When m = 1, we have 5 subcases of case I, viz. cases A, B, C, D and E. We call an edge $i_r j_r$ of G a <u>type A</u> (B, C, D, E) edge if the graph G', formed by removing from G all extra-skeletal edges other than $i_r j_r$, falls under case A (B, C, D, E) of the present discussion.

Case B $a_1 = j_1$ and $b_1 \leq i_1 \leq a_2$. (Therefore $i_1 \neq a_2$.) A reduction of G can be mimicked by MAX.12. (See Figure 5.4)



Case C $a_1 < j_1 < b_1$ and $b_1 \leq i_1 \leq a_2$. A reduction of G can be mimicked by MAX.2. (See Figure 5.5)

In the next case we will want to invoke symmetry. In preparation, we note that a digraph G is versatile if and only if G^R , the reverse of G, is versatile. It is useful now to extend our concept of type A, B, C, D, E edges to reverse edges. An edge $i_r j_r$ is a reverse type A (B, C, D, E) edge if G^{R} falls under case A (B, C, D, E) of the present discussion, where G' is again the graph formed from G by removing all extra-skeletal edges other than $i_r j_r$.

Case D $a_1 \leq j_1 < b_1$ and $a_2 < i_1$. If $a_1 = j_1$, then $i_1 < b_2$, otherwise the edge i_1j_1 taken with the path P forms a cycle through all the vertices of G, a contradiction. However now i_1j_1 and a_2a_1 can interchange roles, and we are in case B. Thus in the present case, we assume without loss of generality that $a_1 < j_1$. Symmetrically, we assume that $i_1 < b_2$, or G is the reverse of a graph falling under case B. A reduction of G can be mimicked by MAX.15. (See Figure 5.6)



This concludes an enumeration of the subcases when $a_1 \leq j_1 \leq b_1$.

To reduce work, we again invoke symmetry. Let G have an edge $i_1 j_1$ with $a_2 < i_1 \leq b_2$. Then G^R , the reverse of G, is clearly a two hump digraph. Again, vert(G^R) = vert(P^R) where P^R is the reverse of P. Renaming a_1 as b'_2 , a_2 as b'_1 , b_1 as a'_2 , b_2 as a'_1 , i_1 as j'_1 and j_1 as i'_1 , we see that G^R is a two hump digraph with skeleton $P^R \cup \{b_2'b_1', a_2'a_1'\}$ and an additional edge $i'_1j'_1$ with $a'_1 \leq j_1 < b'_1$. We see that the case when $a_2 < i_1 \leq b_2$ and the case when $a_1 \leq j_1 < b_1$ are symmetric and may be regarded as equivalent. For m > 1, however, it will occasionally be necessary to distinguish between "normal" type B or C edges, and " reversed " type B or C edges.

Case E $b_1 \leq j_1 \leq a_2$ (and $i_1 \leq a_2$). Here the edge i_1j_1 is useless, a contradiction. (See Figure 5.7)

Thus when m = 1, and $i_1 j_1$ is a back edge, G is mimicked by one of the graphs of MAX. We also draw attention to the 5 basic types of back edge which G can have. These 5 types of edges figure in later case


divisions.

CASE II (ONE FORWARD EDGE)

Case II also gives rise to several subcases; however, this case may be dealt with very simply once we have made the following observation: For most forward edges ij, a skeleton for G can be chosen so that ij becomes a back edge with respect to that skeleton.

As we remarked earlier, there is a skeleton for G in which the roles of X and Y are reversed. Now the vertices of G may be divided into three sets:

vert($X \setminus Y$), vert($X \cap Y$), vert($Y \setminus X$). With respect to the skeleton we have given for G, these sets occur in this order. However, if the roles of X and Y are reversed, then the order of these sets reverses. Thus if i_1 and j_1 are not both in the same one of these sets, a forward edge i_1j_1 becomes a back edge when X and Y are interchanged. Therefore in the present case we assume without loss of generality that i_1 and j_1 are both in the same one of the listed sets.

Case II.1: We have $i_1, j_1 \in X \setminus Y$, i.e. $a_1 \leq i_1 \leq j_1 \leq b_1$. Some vertex x of P must lie between i_1 and j_1 . Here G

mimics MIN.2 (See Figure 5.8)

Case II.2: We have i_1 , $j_1 \in X \cap Y$, i.e., $b_1 \leq i_1 < j_1 \leq a_2$. Consider the cycle C differing from X in that the path from i_1 to j_1 in X is replaced in C by the edge i_1j_1 . (See Figure 5.9.) Then cycles C and Y have an intersection which is not connected, and by the proof of

the Intersection Lemma, Lemma 3.2, G mimics MIN.1 or MIN.2

Case II.3: We have i_1 , $j_1 \notin Y \setminus X$. Interchanging the roles of X and Y, this case is equivalent to case II.1.

This concludes CASE II, and hence the case when m = 1.

m > 1:

In light of the foregoing, we may now assume that any <u>individual</u> forward edge of G may be turned into a back edge by interchanging cycles X and Y. We will now show that in fact <u>all</u> extra-skeletal edges of G may simultaneously be assumed to be back edges. Suppose that i_1j_1 is a back edge and i_2j_2 is a forward edge. By Case II, we may assume that reversing the roles of X and Y



Figure 5.9

would make i2j2 a back edge. We make cases as follows.

Case II+A: Edge i_1j_1 falls under case A. By assumption, edge i_2j_2 becomes a back edge when the roles of X and Y are interchanged. However, in the present case, when X and Y are switched, i_1j_1 remains a back edge (since i_1 , $j_1 \in X$). We may thus assume that both i_1j_1 and i_2j_2 are back edges.

Case II+B: Edge i_1j_1 falls under case B. Case II+C: Edge i_1j_1 falls under case C. Case II+D: Edge i_1j_1 falls under case D. Case II+E: Edge i_1j_1 falls under case E. In this case, if the roles of X and Y are interchanged, i_1j_1 and i_2j_2 are both back edges and we are finished. (Both i_1 , $j_1 \in X \cap Y$.)

In case II+B we make the following subcases based on i_2j_2 :

Case II+B 1: $a_1 \leq i_2 \leq b_1$.

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Case II+B 1 (a) $b_1 \leq j_2 \leq i_1$. Consider the cycle C differing from X in that the path from i_2 to j_2 in X is replaced in C by the edge i_2j_2 and the path from i_1 to a_1 in X is replaced by the edge i_1a_1 . (See Figure 5.10) Then cycles C and X have disconnected intersection, and by the proof of the intersection lemma,



×.

G mimics MIN.1 or MIN.2.

Case II+B 1 (b): $i_1 < j_2$. Consider the cycle C following P from a_1 to i_2 , then edge i_2j_2 , then P to b_2 , then b_2b_1 , then P from b_1 to i_1 , finally i_1a_1 . (See Figure 5.11) Then cycles C and X have disconnected intersection, and by the intersection lemma, G mimics. MIN.1 or MIN.2.

Case II+B 2: $b_1 \leq i_2 < a_2$. Since $i_2 \in X \cap Y$, assume that $j_2 \in Y \setminus X$, viz. $a_2^{\neq} < j_2$. Then G mimics the triangle, MIN.1. (See Figure 5.12)

In case II+C we make the following subcases based on $i_2 j_2$:

Case II+C 1: $a_1 \leq i_2 \leq j_1$. (Thus $b_1 \leq j_2$.) Again G mimics the triangle. (See Figure 5.13.)

Case II+C 2: $j_1 \leq i_2 < b_1$. Again, $b_1 \leq j_2$. Case II+C 2 (a): $b_1 \leq j_2 \leq i_1$. Consider the cycle C following P from j_1 to i_2 , then edge i_2j_2 , then P to i_1 , finally i_1j_1 . (See Figure 5.14). Then cycles C and X have disconnected intersection, and by the intersection lemma, G mimics MIN.1 or MIN.2.



Figure 5.11



Figure 5.12



Figure 5.13



Figure 5.14

Case II+C 2 (b) $i_1 < j_2$. Consider the cycle C following P from j_1 to i_2 , then edge i_2j_2 , then P to b_2 , then b_2b_1 , then P from b_1 to i_1 , finally i_1j_1 . (See Figure 5.15). Then cycles C and X have disconnected intersection, and by the intersection lemma, G mimics MIN.1 or MIN.2.

. Case II+C 3: $b_1 \leq i_2 < a_2$. (Thus $a_2 \leq j_2$.). We have two cases:

(i) $i_1 < a_2$. Here G mimics the triangle. (See Figure 5.16)

(ii) $i_1 = a_2$. Here G mimics MIN.22. (See Figure 5.17.)

Case II+C 4: $i_2 = a_2$. Here G-mimics MIN.2 (See Figure 5.18)

We can use case II+C to attack case II+D. Suppose that G has an edge ij of type D. Then G can mimic a digraph H which falls under case C. (See Figure 5.19) One walks 32 modulo paths on G by following P from a_2 to i, then edge ij. Similarly, one walks 43 by following edge b_2b_1 , then P from b_1 to a_2 .



Figure 5.15



Figure 5.16



Figure 5.17





Figure 5.18

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Figure 5.19

Suppose that G also has a forward edge kl. Then usually, G can mimic a digraph H' derived from H by adding a forward edge. Digraph H' will fall under case II + C, and hence be versatile. An example is shown in Figure 5.20. Difficulties only arise if $b_1 \leq k$, $1 \leq i$. In such a case, each of k and l lies on one of the paths on which we would walk edges 32 and 43 of H.

Suppose then that $b_1 \leq k$, $1 \leq i$. By the symmetry of type D edges under reflection, we assume that $b_1 \leq k$, $1 \leq a_2$. However this means that both k and 1 lie in X \cap Y, and this case was dealt with under II.1.

We have now shown that i_1j_1 and i_2j_2 may both be assumed to be back edges. A simple induction on the number of forward edges in G shows that we may assume that every extraskeletal edge of G is a back edge.

For economy of cases in the rest of this chapter, we will use the following case divisions:

(1) Every extraskeletal edge of G is a back edge of case E.

(2) Graph G has a back edge of case A and (up to reversal) every back edge of G is a back edge of either case A or E.

(3) Graph G has a back edge of case B and (up to



reversal) every back edge of G is a back edge of either case B, A, or E.

 (4) Graph G has a back edge of case C and (up to reversal) every back edge of G is a back edge of either case C, B, A or E.

(5) Graph G has a back edge of case D.

<u>Case (1)</u>: Every extraskeletal edge of G is a back edge of case E.

If every edge of G is of type E then without loss of generality $i_1 j_1$ and $i_2 j_2$ form an M where $a_1 < b_1 \leq j_1 < j_2 \leq i_1 < i_2 \leq a_2 < b_2$, Here G mimics MIN.15. (See Figure 5.21)

<u>Case (2)</u>: Graph G has a back edge of case A and (up to reversal) every back edge of G is a back edge of either case A or E.

Note that an edge of type A can never form an M with an edge of type E. Thus if G has an edge of type E, it will have two type E edges forming an M as in the previous case. Therefore we may assume in this case that G has only type A back edges.

If m = 2, then without loss of generality (invoking



the M lemma, and renaming if necessary) $a_1 \leq j_1 \leq j_2 \leq i_1 \leq i_2 \leq b_1$.

If $a_1 = j_1$, then a reduction of G is mimicked by MAX.7. (See Figure 5.22)

If b_1 is the successor of i_2 on P, then a reduction of G is mimicked by MAX.15. (See Figure 5.23)

If $a_1 < j_1$ and there is a vertex of G between i_2 and b_1 , then G mimics MIN.18. (See Figure 5.24.)

For the remainder of Case (2), assume that m > 2. Suppose that G has edges i_1j_1 , a type A edge, and i_2j_2 , a type A edge after reversal, i.e. $a_1 \leq j_1 < i_1 < b_1$ and $a_2 < j_2 < i_2 \leq b_2$. Then without loss of generality, G also has edges i_3j_3 and i_4j_4 where $a_1 \leq j_3 < j_1 \leq i_3 < i_1 < b_1 \leq a_2 < j_4 < j_2 \leq i_4 < i_2 \leq b_2$. Here G mimics MIN.19, (See Ffgure 5.25)

We may thus assume that every extra-skeletal edge $i_s j_s$ of G is a true type A edge, viz. $a_1 \leq j_s \leq i_s \leq b_1$. We now introduce a "stripping" method of classification, that will serve us again in the next chapter. Since G has only type A edges, and these only in the first "half" of G, we strip away other edges of G, and use these type A edges for our classification:

Let G' be the graph obtained from G by removing the



Figure 5.22



Figure 5.23







Figure 5.25

edges a_2a_1 and b_2b_1 . Consider the strongly connected components of G' consisting of more than one vertex. At least one such component exists, since G has back edges. If more than one such component exists, then without loss of generality G has edges i_1j_1 , i_2j_2 , i_3j_3 , i_4j_4 where

 $a_1 \leq j_1 \leq j_2 \leq i_1 \leq i_2 \leq j_3 \leq j_4 \leq i_3 \leq i_4 \leq b_1$, since each of these components contains an M. Here G mimics MIN.20. (See Figure 5.26)

Thus, without loss of generality, we may speak of <u>the</u> strongly connected component G" of G' containing more than one vertex. Since G" is a strongly connected digraph, we may invoke our previous classification results to say things about the structure of G". This is our "stripping" method.

<u>Case- G" is a graph of type (3) of the</u> <u>Classification Lemma (Lemma 3.3):</u>

Without loss of generality G has three back edges $i_1 j_1$, $i_2 j_2$, $i_3 j_3$, with $a_1 \leq j_1 \leq j_2 \leq i_1 \leq j_3 \leq i_2 \leq i_3 \leq b_1$. Here G mimics MIN.21.

(See Figure 5.27)

<u>Case- G" is a graph of type (2) of the</u> classification lemma:

Subcase- G" has edges of type E only: As we



have seen previously, G" , hence G, mimics MIN.15.

Subcase- G" has edges of type A only: Here

without loss of generality (up to reversing the roles of X and Y and reversal) G has edges i_1j_1 , i_2j_2 , i_3j_3 , i_4j_4 with

 $a_1 \leq j_1 \leq j_2 \leq j_3 \leq i_2 \leq i_3 \leq j_4 \leq i_1 \leq i_4 \leq b_1$. Here G mimics MIN.66.(See Figure 5.28)

Subcase- G" has an edge of type B: Here without loss of generality (up to reversing the roles of X and Y and reversal) G has edges i_1j_1 , i_2j_2 , i_3j_3 with $a_1 \leq j_1 = j_3 < j_2 \leq i_3 < i_1 < i_2 < b_1$. Here G mimics MIN.23. (See Figure 5.29)

Subcase- G" has an edge of type C: Here without loss of generality (up to reversing the roles of X and Y and reversal) G has edges i_1j_1 , i_2j_2 , i_3j_3 with $a_1 \leq j_1 < j_3 < j_2 \leq i_3 \leq i_1 < i_2 < b_1$. Here G mimics MIN.24.(See Figure 5.30)

<u>Subcase- G" has an edge of type D:</u> Here without Ioss of generality (up to reversing the roles of X and Y) G has edges i_1j_1 , i_2j_2 , i_3j_3 with $a_1 \leq j_1 < j_3 < j_2 \leq i_1 < i_3 < i_2 < b_1$. Here G mimics







MIN.24.(See Figure 5.31)

Thus if G" falls under type (2) of the classification lemma, G mimics a digraph of MIN.

<u>Case- G" is a graph of type (1) of the</u> <u>classification lemma:</u>

Here without loss of generality G has edges i_1j_1 , i_2j_2 , i_3j_3 with $a_1 \leq j_3 \leq j_2 < j_1 \leq i_2 < i_1 < i_3 < b_1$. (The edge i_3j_3 is the back edge of the skeleton of G". Since i_3j_3 can be assumed to be a useful edge, we pick i_1j_1 , i_2j_2 to form an M under i_3j_3 . Thus either $j_3 \neq j_2$, or $i_1 \neq i_3$. We may assume that $i_1 \neq i_3$ without loss of generality, up to reversal of G, or the interchanging of cycles X and Y.)

We form subcases:

Subcase- $a_1 < j_2$: Here G mimics MIN.18. (See Figure 5.32)

<u>Subcase-j₂ = $a_1 = j_3$, m = 3</u>: In this case a reduction of G can be mimicked by MAX.14.(See Figure 5.33)

Subcase-<u>m > 3</u>: Either repeating our stripping process on G" will lead to a graph of type (3) of the











classification lemma, or a graph of type (2) of the classification lemma with a back edge, or a graph of type (1) of the classification lemma.

We may assume, without loss of generality that the first two cases do not occur. Assume without loss of generality that $a_1 = j_2 = j_3$. Otherwise G mimics a digraph of MIN as already shown above. Without loss of generality, up to reversal of G, or the interchanging of cycles X and Y, G contains an edge i_4j_4 with $j_4 = a_1$ and $i_1 < i_4 < i_3$. Thus G mimics MIN.25. (See Figure 5.34)

This completes our examination of Case (2).

<u>Case (3):</u> Graph G has a back edge of type B and (up to reversal) every back edge of G is a back edge of either type B, A, or E.

In analogy to the previous case, we first dismiss the cases where not every edge of G is a type B edge.

Suppose that G has an edge i_2j_2 of type E. If the edge of G intersecting i_2j_2 to form an M is a type E edge, then we are done, as in case (1). Thus without loss of generality we may assume that

 $\mathbf{a}_1 = \mathbf{j}_1 \langle \mathbf{b}_1 \leq \mathbf{j}_2 \leq \mathbf{i}_1 \langle \mathbf{i}_2 \leq \mathbf{a}_2 \langle \mathbf{b}_2.$





If we now interchange the roles of edges i_1j_1 and a_2a_1 , then with respect to the new skeleton for G, i_2j_2 is an edge of type C. (See Figure 5.35). We may thus delay discussion of this possibility until case (4).

From now on, let us assume that G has no edges of type E.

Suppose that G has a type A edge i_2j_2 . Then without loss of generality, i_2j_2 forms an M with a type A edge i_3j_3 . We have two possibilities:

(i) $\mathbf{a}_1 = \mathbf{j}_1 \leq \mathbf{j}_2 \leq \mathbf{j}_3 \leq \mathbf{i}_2 \leq \mathbf{i}_3 \leq \mathbf{b}_1 \leq \mathbf{i}_1 \leq \mathbf{a}_2$. Here G mimics MIN.26. (See Figure 5.36)

(ii) $a_1 \leq j_2 \leq j_3 \leq i_2 \leq i_3 \leq b_1 \leq j_1 \leq a_2 \leq i_1 = b_2$. Here i_1j_1 is a reversed type B edge. Here G mimics MIN.27. (See Figure 5.37)

For the remainder of this case we assume that G has (up to reversal) only type B edges. For convenience, we rename edges here:

Let i_1j_1 , i_2j_2 , ..., i_rj_r be the (normal) type B edges of G

 $j_1 = j_2 = \dots = j_r = a_1 < b_1 \leq i_1 < i_2 < \dots < i_r < a_2$. Let k_1l_1 , k_2l_2 , \dots , k_sl_s be the (reversed) type B











edges of G

 $\mathbf{b}_1 < \mathbf{1}_1 < \mathbf{1}_2 < \ldots < \mathbf{1}_s \leq \mathbf{a}_2 < \mathbf{k}_1 = \mathbf{k}_2 = \ldots = \mathbf{k}_s = \mathbf{b}_2.$

If s = 0 then for large enough q, a reduction of G is mimicked by MAX.13. (See Figure 5.38)

If s > 0 and for some t and u, $l_t \leq i_u$, then G mimics MIN.28. (See Figure 5.39)

We may thus assume from now on that $r \ge s > 0$, and $l_t > i_u$ for all t, u where $1 \le u \le r$, $1 \le t \le s$.

Our remaining subcases are based on the values of s and r.

Subcase r < 3, s = 1: Here a reduction of G is mimicked by MAX.12. (See Figure 5.40)

See Figure 5.41.). See Figure 5.41.

Subcase r > 2: Here G mimics MIN.30.

(See Figure 5.42.)

This concludes our examination of case (3).

It will prove economical to deal with case (5) before



Figure 5.38







Figure 5.40





Figure 5.41



case (4).

Case (5): Graph G has a back edge of case D.

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Note that case (5) shows mirror symmetry; If G is reversed, then switching the roles of a_1 , a_2 , b_1 , b_2 , i_1 , j_1 with b_2 , b_1 , a_2 , a_1 , j_1 , i_1 respectively again gives us case (5). This symmetry allows us to reduce our number of cases.

m = 2:

We make the following case divisions based on j_2 : Case Da: $j_2 < j_1$. Case D β : $j_1 \leq j_2 < b_1$ ($i_2 \leq i_1$ by symmetry). Case D γ : $b_1 \leq j_2 \leq a_2$ ($i_2 \leq a_2$). In this case the edge i_2j_2 is useless, a contradiction. (See Figure 5.43)

Case Da is subdivided as follows depending on i2:

Case Dal: $i_2 < j_1$. In this case the edge $i_2 j_2$ is useless, a contradiction. (See Figure 5.44)

Case Da2: $j_1 \leq i_2 \leq i_1$. We have two possibilities:

(i) $i_2 \neq a_2$. Here G mimics MIN.31.

(See Figure 5.45. Here the greater of a2, i2 is labelled



(ii) $i_2 = a_2$. Here <u>G</u> mimics MIN.32. (See Figure 5.46)

3.)

Case Da3: $i_1 \leq i_2$. (Thus either $i_1 < i_2$, or $i_2 < b_2$. Note that if $j_2 = a_1$ and $i_2 = b_2$, then G is a one hump digraph. By symmetry, assume that $i_2 < b_2$.) Here G mimics MIN.33. (See Figure 5.47.)

In Case D β , we may assume that $i_2 < i_1$, otherwise interchanging the roles of $i_1 j_1$, $i_2 j_2$ gives Case D α . Case D β is subdivided as follows depending on i_2 :

Case Døl: $i_2 < b_1$. In this case the edge $i_2 j_2$. is useless, a contradiction. (See Figure 5.48)

Case D β 2: $b_1 \leq i_2$. Here G mimics. MIN.32. (-See Figure 5.49)

This concludes the subcase when m = 2. <u>m > 2:</u>

By the foregoing analysis we may assume that any extra-skeletal edge of G falls (up to reversal) under cases Dal, Døl or Dr.



<u>Case- Edges of type Dal:</u> Without loss of generality, invoking the M lemma, G has edges i_2j_2 , i_3j_3 , with $a_1 \leq j_2 \leq j_3 \leq i_2 \leq i_3 \leq j_1$. In this case, G mimics MIN.14. (See Figure 5.50)

<u>Case-Edges of type D\$1:</u> Without loss of generality, G has edges i_2j_2 , i_3j_3 , with $j_1 \leq j_2 < j_3 \leq i_2 < i_3 < b_1$. In this case, G mimics MIN.15. (See Figure 5.51)

<u>Case- Edges of type Dr:</u> Without loss of generality, G has edges i_2j_2 , i_3j_3 , with $b_1 \leq j_2 \leq j_3 \leq i_2 \leq i_3 \leq a_2$. In this case, G mimics MIN.15, as in case (1). (See Figure 5.52)

This concludes our examination of case (5). <u>Case (4):</u> Graph G has a back edge of case C and (up to reversal) every back edge of G is a back edge of either case C, B, A or E.

<u>m = 2:</u>

We form cases based on j2.

Case CA: $j_2 < j_1 (j_2 = a_1, \text{ or not } a_2 < i_2 < b_2)$ Case CA: $j_1 \leq j_2 < b_1 \cdot (\text{ not } a_2 < i_2 < b_2)$







Case C7: $b_1 \leq j_2 \leq i_1$.

Case C5: $i_1 < j_2 \leq a_2$.

We form subcases of case Ca based on i2.

Case Ca1: $i_2 < j_1$. In this case the edge $i_2 j_2$ is useless, a contradiction. (See Figure 5.54)

Case Ca2: $j_1 \leq i_2 < b_1$. Here make three further divisions: .(i) $j_2 \neq a_1$. In this case, G mimics MIN.16. (See Figure 5.55)

(ii) $j_2 = a_1$, $i_1 < a_2$. In this case, G mimics MIN.34. (See Figure 5.56)

(iii) $i_1 = a_2$, $j_2 = a_1$. In this case, a reduction of G is mimicked by MAX.11. (See Figure 5.57)

Case Ca3: $b_1 \leq i_2 \leq i_1$ Here make two further divisions:

(i) $i_2 < i_1$. In this case, G mimics MIN.33. (See Figure 5.58)




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Figure 5.56





Figure 5.58

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(ii) $i_2 = i_1$. In this case, a reduction of G is mimicked by MAX.10. (See Figure 5.59)

Case Ca4: $i_1 < i_2 \leq a_2$. Here make two further divisions:

(i) $a_1 = j_2$. In this case, G mimics MIN.36. (See Figure 5.60)

(ii) $a_1 < j_2$. In this case, G mimics MIN.37. (See Figure 5.61)

Case Ca5: $a_2 < i_2 < b_2$. Here $a_1 = j_2$, or else G has a type D edge. Interchanging the roles of a_2a_1 and i_2j_2 gives case Ca4 or Ca3 (ii) when $i_1 = a_2$. (See Figure 5.62)

Case Ca6: $i_2 = b_2$. In this case, a reduction of G is mimicked by MAX.9. (See Figure 5.63)

Case Cp is subdivided as follows depending on i2:

Case C β 1: $i_2 < b_1$. In this case the edge $i_2 j_2$ is useless, a contradiction. (See Figure 5.64)











Case C $\beta 2$: $b_1 \leq i_2 \leq a_2$. Here we assume $j_1 = j_2$. Otherwise, reversing the roles of $i_1 j_1$ and $i_2 j_2$ gives rise to case Ca3 or Ca4. In this case, a reduction of G is mimicked by MAX.2. (See Figure 5.65)

Case C β 3: $a_2 < i_2$. (Thus assume $i_2 = b_2$, since G has no edges of type D.). Here make two further divisions:

(i) $j_1 = j_2$. In this case, a reduction of G is mimicked by MAX.4.

(See Figure 5.66)

(ii) $j_1 < j_2$. In this case, G mimics MIN.38. (See Figure 5.67)

Case Cr is subdivided as follows depending on i2:

Case C71: $i_2 \leq i_1$. In this case the edge $i_2 j_2$ is useless, a contradiction. (See Figure 5.68)

Case Cv2: $i_1 < i_2 < b_2$. In this case, if $i_1 < a_2$ then G mimics MIN.55. (See Figure 5.69. Here 4 labels the lesser of a_2 , i_2 w.r.t. P.) If $i_1 = a_2$, then G mimics MIN.77. (See Figure 5.70.)

Case Cr3: $i_2 = b_2$. Here interchanging names





of $i_2 j_2$ and $b_2 b_1$ puts us in case $C\beta 3(ii)$ (See Figure 5.71.)

Case C5 is subdivided as follows depending on i2:

Case Col: $i_2 \leq a_2$. In this case the edge $i_2 j_2$ is useless, a contradiction. (See Figure 5.72)

Case C52: $a_2 < i_2 < b_2$. If G has no vertex between i_1 and j_2 on P, then a reduction of G is mimicked by MAX.8.

(See Figure 5.73)

However, if there is a vertex x of G between i_1 and j_2 , then G mimics MIN.40. (See Figure 5.74.)

Case C53 $i_2 = b_2$. In this case, a reduction of G is mimicked by MAX.5. (See Figure 5.75)

This concludes the subcase when m = 2. In most cases we showed that G mimicked a graph of MIN. In cases Cal, C β 1, C γ 1, C δ 1, C ϵ the edge $i_2 j_2$ was useless. The other cases were:

Ca3 (ii) with $a_1 < j_2$, C $\beta 2$ Ca6, C $\beta 3$ (i) C52, C53 Ca2 (iii)



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Ca3 (ii) with $a_1 = j_2$

We have grouped these cases according to similarities which are evident in the figures given for these cases. To aid the memory (not wishing the reader to have to recall what case $C\alpha 3$ (ii) edges look like and so forth), we reflect these similarities in a renaming of cases; refer to edges falling under cases

Ca3 (iii), C β 2 as type C1 (a), C1 (b) edges respectively,

Ca6, C β 3 (i) as type C2 (a), C2 (b) edges respectively,

C52, C53 as type C3 (a), C3 (b) edges respectively,

Ca2 (iii) as type C4 edges, Ca3 (ii) as type C5 edges.

Since these terms will be used in further breakdowns, the reader is advised to review the named cases so as to have at his finger tips what the edges of these various types look like.

Useless Edges:

Next we consider the cases when $m \ge 3$, and $i_2 j_2$ satisfies the conditions given in one of cases Cal, C β 1, C γ 1, C δ 1,

Ce.

<u>Edge</u> i_2j_2 falls under Cal: Without loss of generality, edge i_2j_2 forms an M with some edge i_3j_3 where $j_3 < b_1$. This gives three subcases:

Subcase- $i_3 j_3$ is an edge of type Cl(a): Without loss of generality, $a_1 \leq j_2 < j_3 \leq i_2 < j_1 < b_1 \leq i_3 =$ i_1 . In this case, G mimics MIN.39. (See Figure 5.76)

Subcase- $i_3 j_3$ is an edge of type C2(a): Without loss of generality, $a_1 \leq j_2 \leq j_3 \leq i_2 \leq j_1 \leq i_3 = b_2$. In this case, G mimics MIN.41. (See Figure 5.77)

Subcase- $i_3 j_3$ is an edge of type Cal: Without loss of generality, $a_1 \leq j_2 < j_3 \leq i_2 < i_3 < j_1$. We make a further subdivision:

(i) $a_1 < j_2$. In this case, G mimics MIN.18. (See Figure 5.78)

(ii) $a_1 = j_2$, $i_1 < a_2$. In this case, G mimics MIN.27. (See Figure 5.79)

(iii) $a_1 = j_2$, $i_1 = a_2$ and m = 3. In this case, a reduction of G is mimicked by MAX.7. (See Figure 5.80)



Figure 5.76



Figure 5.77



Figure 5.78

Figure 5.79





Figure 5.80

Notes St.

What happens if i_2j_2 and i_3j_3 are Cal edges forming an M, $a_1 = j_2$, $i_1 = a_2$ and m > 3? We shall see in a moment that if $m \ge 3$ and G has an edge of type C β 1, C γ 1, C δ 1, or C ϵ , then G mimics a graph of MIN. We shall thus assume here that G has no such edges. We thus assume that edge i_4j_4 falls under one of cases Ca1, C1, C2, C3, C4, C5.

Since $i_1 = a_2$, it follows that G has no edges of types C1(b), C3 or C5. It also follows from previous discussion concerning the edges of type Cal that we may assume that the only edges forming M's with Cal edges are themselves Cal edges. Only a few cases are left:

G has an edge of type Cl(a): Here G mimics MIN.27. (See Figure 5.81.)

G has an edge of type C2: Here G mimics MIN.27.

G has an edge of type C4: Here G mimics MIN.14. (See Figure 5.83.)

Every extraskeletal edge of G other than $i_1 j_1$ is a Cal edge: By our previous breakdown of the case when every extraskeletal edge of G is a type A edge, we may assume that G has an edge $i_4 j_4$ where $a_1 = j_4 = j_2 < j_3 \leq i_2 < i_3 < i_4 < j_1 < b_1 \leq i_1 = a_2$. In



Figure 5.81





this case, G mimics MIN.42. (See Figure 5.84.)

This concludes the case where G has an edge of type Cal.

Edge $i_2 j_2$ falls under Cp1: Without loss of generality, edge $i_2 j_2$ forms an M with some edge $i_3 j_3$ where $j_1 < j_3 < b_1$ and/or $j_1 \le i_3 < b_1$. The candidate subcases are:

Subcase- $i_3 j_3$ is an edge of type C β 1: Without loss of generality, $j_1 \leq j_2 < j_3 \leq i_2 < i_3 < b_1$. In this case, G mimics MIN.15. (See Figure 5.85)

Subcase- $i_3 j_3$ is an edge of type Cl(a).

(Recall that in case Ca3 we assumed that $j_2 < j_1$, and dismissed most of case C β 2 (where $j_1 < j_2$) as being the same case under renaming. However, with the presence of an additional edge, we must allow the possibility of $j_1 < j_2$ as separate.): Without loss of generality, $j_1 \leq j_2 < j_3 \leq i_2 < i_3 = i_1$. In this case, G mimics MIN.16. (See Figure 5.86)

Subcase- $i_3 j_3$ is an edge of type C4: Without loss of generality, $a_1 = j_3 < j_1 \leq j_2 \leq i_3 < i_2$. In this case, G mimics MIN.43. (See Figure 5.87)



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Figure 5.85



Figure 5.86

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Edge $i_2 j_2$ falls under C71: Without loss of generality, edge $i_2 j_2$ forms an M with some edge $i_3 j_3$ where $b_1 < j_3 \le i_1$ and/or $b_1 \le i_3 < i_1$. The candidate subcases are:

Subcase- $i_3 j_3$ is an edge of type C71: In this case G has two type E edges forming an M, and we are done as in case (1).

Subcase- $i_3 j_3$ is an edge of type C1(b). Without loss of generality, $j_1 = j_3 \leq b_1 \leq j_2 = i_3 \leq i_2 \leq i_1$. In this case, G mimics MIN.55. (See Figure 5.88)

Edge i_2j_2 falls under Co1: Without loss of generality, edge i_2j_2 forms an M with some edge i_3j_3 where $i_1 < j_3 \le a_2$ and/or $i_1 < i_3 < a_2$. The candidate subcases are:

Subcase- $i_3 j_3$ is an edge of type C51: In this case G has two type E edges forming an M, and we are done as in case (1).

Subcase- $i_3 j_3$ is an edge of type C1(b). Without loss of generality, $j_1 = j_3 < b_1 \le i_1 < j_2 \le i_3 < i_2 \le a_2$. In this case, G mimics MIN.15. (See Figure 5.89)

Subcase- $i_3 j_3$ is an edge of type C3. Actually



in C3(a), there is no vertex between i_1 and j_2' (= j_3 here) so only C3(b) is possible. Without loss of generality, $b_1 \leq i_1 < j_2 < j_3 \leq i_2 \leq a_2 < i_3$. In this case, G mimics MIN.41. (See Figure 5.90)

Edge $i_2 j_2$ falls under C₆: Without loss of generality, edge $i_2 j_2$ forms an M with some edge $i_3 j_3$ where $a_2 < j_3$ and/or $a_2 < i_3$. The candidate subcases are:

Subcase $i_3 j_3$ is an edge of type C4. Without loss of generality, $a_2 < j_3 < j_2 \leq i_3 < i_2$. In this case, G mimics MIN.56.

(See Figure 5.91)

Subcase- $i_3 j_3$ is an edge of type C3(a). Without loss of generality, $i_1 < j_3 \leq a_2 < j_2 \leq i_3 < i_2$. In this case, G mimics MIN.34. (See Figure 5.92)

This completes the cases where $m \ge 3$, and $i_2 j_2$ satisfies the conditions given in one of cases Cal, C β 1, C γ 1, C δ 1, C ϵ .

No Useless Edges

From now on we assume that G does not have edges of types Cal, C β 1, C γ 1, C51, C ϵ . Thus every extraskeletal edge of G is of one of types C1, C2, C3, C4, C5.



<u>m = 3:</u>

Another level of cases, will prove useful. We create five new cases:

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(5.1) G contains a C5 edge i_2j_2 . (5.2) G contains a C4 edge i_2j_2 , but no C5 edge. (5.3) G contains a C3 edge i_2j_2 , but no C4 or C5 edges. (5.4) G contains a C2 edge i_2j_2 , but no C3, C4 or C5 edges.

(5.5) G contains only C1 edges.

Case (5.1) is subdivided according to i_3j_3 : Note that i_3j_3 cannot be a C4 edge, since $i_1 \neq a_2$. Also i_3j_3 cannot be a C5-edge, since then i_2j_2 and i_3j_3 would be equal.

Subcase C5 + C3(a): Edge $i_{3}j_{3}$ is a C3(a) edge. In this case, G mimics MIN.44. (See Figure 5.93)

<u>Subcase C5 + C3(b):</u> Edge i₃j₃ is a C3(b) edge. We have two possibilities:

(i) There is a vertex x of P between i_1 and j_3 . In this case, G mimics MIN.45. (See Figure 5.94)

(ii) There is no vertex of P between i_1 and j_3 . In this case, a reduction of G is mimicked by MAX.6. (See Figure 5.95)



Subcase C5 + C2: Edge $i_3 j_3$ is a C2 edge. In this case, G mimics MIN.28. (See Figure 5.96)

<u>Subcase C5 + C1:</u> Edge i_3j_3 is a C1 edge. Then i_3j_3 may be assumed to be a C1(a) edge, or else by considering edges i_3j_3 and i_2j_2 , G falls under one of case Ca3(i), or case Ca4.

In this case, G mimics MIN.46. (See Figure 5.97)

Case (5.2) is subdivided according to $i_3 j_3$: Note that $i_3 j_3$ cannot be a C3 edge, since $i_1 = a_2$. <u>Subcase C4 + C4:</u> Edge $i_3 j_3$ is a C4 edge. In this case, G mimics MIN.5. (See Figure 5.98)

Subcase C4 + $(3j_3)_3$ is a C2 edge. In this case, G mimics MIN.47. (See Figure 5.99)

Subcase C4 + C1(a): Edge i_3j_3 is a C1(a) edge. In case C1(a) (nee Ca3) we assumed without loss of generality that $j_2 < j_1$. With an additional C4 edge and relabelling this assumption gives way to two possibilities:

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(i) $j_1 \leq i_2 < j_3$. In this case, G mimics



Figure 5.99

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a₁ 1 a2 3

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MIN,39.

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(See Figure 5.100)

(ii) $j_3 \leq i_2$. In this case, G mimics MIN.7. (See Figure 5.101)

Subcase C4 + C1(b): Edge $i_{3}j_{3}$ is a C1(b) edge. In this case, G mimics MIN.7. (See Figure 5.102)

Case (5.3) is subdivided according to $i_3 j_3$:

Subcase C3 + C3: Edge i_3j_3 is a C3 edge. We have two possibilities:

(i) At least one of i_2j_2 , i_3j_3 is a C3(a) edge. In this case, G mimics MIN.44. (See Figure 5.103.)

(ii) Both i_2j_2 , i_3j_3 are C3(b) edges. In this case a reduction of G is mimicked by MAX.5. (See Figure 5.104)

Subcase C3 + C2: Edge i_3j_3 is a C2 edge. We have three possibilities:

(i) Edge $i_{3}j_{3}$ is a C2(a) edge. In this case G mimics MIN.48. (See Figure 5.105)









(i) Edge i_2j_2 is a C3(a) edge. In this case G mimics MIN.49. (See Figure 5.106)

(iii) Edge i_2j_2 is a C3(b) edge and edge i_3j_3 is a C2(b) edge. In this case a reduction of G is mimicked by MAX.4. (See Figure 5.107)

Subcase C3 + C1(a): Edge $i_{3}j_{3}$ is a C1(a) edge. In this case, G mimics MIN.50. (See Figure 5.108)

Subcase C3 + C1(b): Edge $i_{3}j_{3}$ is a C1(b) edge. In this case, G mimics MIN.51. (See Figure 5.109)

Case (5.4) is subdivided according to $i_3 j_3$: <u>Subcase C2 + C2:</u> Edge $i_3 j_3$ is a C2 edge. In this case, G mimics MIN.52. (See Figure 5.110)

Subcase C2 + C1: Edge i_3j_3 is a C1 edge. We have three possibilities:

(i) Edge i_3j_3 is a C1(a) edge. Without loss of generality, $j_2 \leq j_3$, otherwise we get case C β 3(ii). In this case G mimics MIN.53. (See Figure 5.111)









(i) Edge i_3j_3 is a C1(b) edge, but edge i_2j_2 is a C2(a) edge. In this case G mimics MIN.81. (See Figure 5.112)

(iii) Edge i_2j_2 is a C2(b) edge and edge i_3j_3 is a C1(b) edge. In this case a reduction of G is mimicked by MAX.3. (See Figure 5.113)

Case (5.5) is subdivided. Every edge here will be a type C1 edge. We may assume that these edges do not cross, for otherwise suppose that $j_2 < j_3 < i_2 < i_3$. Then , G mimics MIN.33.

(See Figure 5.114)

(i) Edges i_2j_2 , i_3j_3 are C1(a) edges. In this case G mimics MIN.54. (See Figure 5.115)

(ii) Edge $i_2 j_2$ is a C1(a) edge and edge $i_3 j_3$ is a C1(b) edge. We make a distinction:

 $j_3 < j_2 = j_1 < i_1 < i_2 = i_3$. In this case G mimics MIN.37. (See Figure 5.116)

 $j_3 = j_2 < j_1 < i_1 = i_2 < i_3$. In this



Figure 5.112



Figure 5.113





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Figure 5.116

case G mimics MIN.37. (See Figure 5.117)

(iii) Edges $i_3 j_3$ and $i_2 j_2$ are C1(b) edges, viz $j_1 = j_2 = j_3$. In this case a reduction of G is mimicked by MAX.2.

(See Figure 5.118)

This completes the case where m = 3.

<u>m > 3:</u>

In view of the previous section, we may assume that $G \setminus i_4 j_4$ falls under one of cases C5 + C3(b) (ii), C3(b) + C3(b), C3(b) + C2(b), C2(b) + C1(b) or C1(b)+ C1(b). We consider these cases one by one: <u>G \ i_4 j_4 falls under C5 + C3(b) (ii):</u>

We may also assume that $G \setminus i_3 j_3$ falls under case C5 + C3(b), so that $i_4 j_4$ is also a C3(b) edge. Say without loss that $i_1 < j_3 < j_4 < i_3 = i_4$. However, now j_3 is a vertex of P between i_1 and j_4 , and $G \setminus i_3 j_3$ falls under case C5 + C3(b) (i).

From now on assume that G has no edge of type C5.

 $G \setminus i_4 j_4$ falls under C3(b) + C3(b):

We may assume one of two alternatives:

 $G \setminus i_3 j_3$ falls under C3(b) + C3(b). In this case G mimics MIN.57. (See Figure 5.119)



Figure 5.118

 $G \setminus i_3 j_3$ falls under C3(b) + C2(b). In this case G mimics MIN.58. (See Figure 5.120)

We assume from now on that G contains no type C3 edges.

$G \setminus i_4 j_4$ falls under C2(b) + C1(b):

Here, if $i_4 j_4$ falls into types C2 or C1(a), then G $i_3 j_3$ falls under a case previously disposed of. We may therefore assume that $i_4 j_4$ is an edge of type C1(b). Without loss of generality, say that $j_1 = j_3 = j_4 < i_4 < i_3 < i_1$. In this case G mimics MIN.59. (See Figure 5.121)

$G \setminus i_4 j_4$ falls under C1(b) + C1(b):

Assume without loss of generality that i_4j_4 is a C1(b) edge, and

 $j_4 = j_3 = j_2 = j_1 < i_1 < i_2 < i_3 < i_4$. In this case G mimics MIN.60. (See Figure 5.122)

This concludes our classification, and the proof of this chapter's theorem.



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Chapter 6: One Hump Digraphs

<u>Definition</u>: Let G be a one hump digraph. Then by the definition of one hump digraphs, we can write vert(G) = vert(P) where P is a directed Hamiltonian path in G. Also G has at least one additional edge c_1d_1 where c_1 is the terminal vertex of P, d_1 the initial vertex of P. Call P U c_1d_1 the <u>skeleton</u> of G. Any edge of G which is not in the skeleton is called an <u>extra-skeletal</u> edge of G.

Lemma 6.1: Let G be a one hump digraph with no useless edges, not mimicking a digraph in MIN. We may choose a skeleton P U c_1d_1 for G so that with respect to P, every extra-skeletal edge of G is a back edge.

<u>Proof</u>: Let Q be the subgraph of $G \setminus c_1d_1$ induced by P. Let J be the strongly connected component of Q containing c_1 . Let us suppose that we have chosen P to make |J| as large as possible. We will show that in this case, every extra-skeletal edge of G is a back edge with respect to P.

Suppose that G has an edge kl which is a forward edge with respect to P.

<u>Case 1</u>: We have k, $l \in vert(J)$. Then there is a cycle C in J containing the edge kl. But the intersection of cycles P U c_1d_1 and C is not connected, so G mimics MIN.1 or MIN.2 by the Intersection

Lemma. (See Figure 6.1)

<u>Case 2</u>: We have $k \in vert(Q \setminus J)$, $l \in vert(J)$. Then we find a new skeleton for Q replacing c_1d_1 by the edge km, where m is the successor of k in P, and replacing P by the path P' in P U c_1d_1 from m to k. Then the strongly connected component of G \ km contains 1, hence all of J U { k }, contradicting our choice of P. (See Figure 6.2)

<u>Case 3</u>: We have k, $1 \in vert(Q \setminus J)$. By the last two cases, we may suppose that every forward edge of G with respect to P has both ends in $Q \setminus J$. However, by the maximality of J, we may assume that J contains more than one vertex, for if we pick P to end at k, then J contains { k, 1 }. Thus J has a back edge, and contains some M, since G has no useless edges. Then G mimics MIN.18. (See Figure 6.3.)

We therefore conclude that every edge of G is a back edge with respect to $P.\Box$

<u>Proof of Theorem 3.10</u>: The proof of this theorem, which takes up the body of this chapter, procedes by classifying the one hump digraphs. As usual, assume that











G is without useless edge. We can assume that every extra-skeletal edge of G is a back edge.

It is in this chapter that the "stripping" procedure introduced in the last chapter comes into its own. "Stripping" away edge c_1d_1 from G, we form cases based on the strongly connected components of $G \setminus c_1d_1$. A strongly connected component of $G \setminus c_1d_1$ which contains more than one vertex is called a <u>bubble</u>. We can assume that G has at least one bubble, since G contains an M. Our first level of subdivisions in the one hump case depends on the number of bubbles in G.

G has three or more bubbles

G has two bubbles

or G has only one bubble.

We look at these possibilities one by one:

Subcase: G contains three or more bubbles: Without loss of generality, we may assume that G has back edges i_1j_1 , i_2j_2 , i_3j_3 , i_4j_4 , i_5j_5 , i_6j_6 where $j_1 < j_2 \leq i_1 < i_2 < j_3 < j_4 \leq i_3 < i_4 < j_5 < j_6 \leq i_5 < i_6$. This is because each bubble of G must contain an M, as in Case 3 of Lemma 6.1. In this case G mimics MIN.61. (See Figure 6.4.)

Subcase: G contains two bubbles. Refer to the bubbles of G as C_1 and C_2 respectively. Without loss of



generality we may assume that each of C_1 , C_2 is a one hump, two hump or three hump digraph, by the classification lemma. For the sake of definiteness, say that the vertices of C_1 precede those of C_2 on P. However, note that if we so desire, we can reverse the order of C_1 and C_2 on P by putting G into normal form in a different way: Simply rotate the skeleton of G. (See Figure 6.5.) Thus C_1 and C_2 are interchangeable. We now form cases based on C_1 and C_2 .

Subcase α : One of C_1 , C_2 is a three hump digraph. Assume without loss of generality that C_1 is a three hump digraph. In any case, C_2 contains an M. Therefore G has back edges i_1j_1 , i_2j_2 , i_3j_3 , i_4j_4 , i_5j_5 where $j_1 < j_2 \leq i_1 < j_3 \leq i_2 < i_3 < j_4 < j_5 \leq i_4 < i_5$: In this case G mimics MIN.62. (See Figure 6.6.)

Subcase β : Both of C₁, C₂ are two hump digraphs.

Subcase γ : One of C₁, C₂ is a two hump digraph, and the other is a one hump digraph.

Subcase δ : Both of C₁, C₂ are one hump digraphs.

The point of our "stripping" classification is to



make use here of work done in the previous chapters. Consider the situation in subcase β . Here G has at least four extra-skeletal edges a_2a_1 , b_2b_1 , $-a_2a_1$, b_2b_1 where the skeleton of C_1 consists of the edges a_2a_1 , b_2b_1 and the path in P from a_1 to b_2 , and the skeleton of C_2 consists of the edges a_2a_1' , $b_2'b_1'$ and the path in P from a_1' to b_2' . Any further extra-skeletal edges of G appear as extra-skeletal edges in C_1 or C_2 , and this leads to the following subdivision of subcase β :

Subcase $\beta.1$: G has exactly four extra-skeletal edges

Recall that the skeleton of G is a cycle. This cycle gives a circular order to the vertices of G. We have two possibilities:

(i) No vertex of C_1 is a predecessor of a vertex of C_2 in the circular order, and no vertex of C_2 is a predecessor of a vertex of C_1 in the circular order. In this case, without loss of generality up to rotation of the skeleton, we may assume that G has a vertex x where $b_2 < x < a_1'$, and that $d_1 < a_1$. Then G mimics MIN.63. (See Figure 6.7.)

(ii) Case (i) does not occur. Thus if G has a vertex x where $b_2 < x < a'_1$ then $d_1 = a_1$ and $b'_2 = c_1$. In this case, a reduction of G is mimicked by MAX.26. (See



Figure 6.8.)

6.9.)

Subcase $\beta.2$: G has a fifth

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extra-skeletal edge.

Without loss of generality, (up to rotation and reversal), C₁ has one or more extra-skeletal edges falling into the categories of the previous chapter. This gives five possibilities:

(i) C₁ has an edge of type B of the previous
chapter. In this case G mimics MIN.64. (See Figure ,

(ii) C_1 has an edge of type C of the previous chapter. In this case G mimics MIN.65. (See Figure 6.10.)

(iii) C_1 has an edge of type D of the previous chapter. In this case G mimics MIN.65. (See Figure 6.11.)

(iv) C_1 has no edges of types B, C, D, however, C_1 does have an edge of type E of the previous chapter. We may thus assume that C_1 has two type E edges forming an M, and, as in the previous chapter, C_1 mimics MIN.15. (See Figure 6.12)







(v) C_1 has no edges of types B, C, D, however, C_1 does have an edge of type A of the previous chapter. We may thus assume that C_1 has two type A edges forming an M. In this case, G mimics MIN.66. (See Figure 6.13.)

This concludes our consideration of subcase β . We next consider subcase γ . Without loss of generality, assume that C_1 is a one hump digraph, and C_2 is a two hump digraph. Repeatedly apply our stripping procedure to C_1 . Eventually we arrive at a digraph C'_1 which is a two hump or three hump digraph. If C'_1 is a three hump digraph, then G mimics a digraph of MIN as in subcase α . Therefore assume without loss of generality that C'_1 is a two hump digraph.

By our examination of subcase β , we may assume that neither C₁ nor C₂ has extra-skeletal edges. Then without loss of generality, using rotations and reflections, the structure of G is as follows: G has extra-skeletal edges

 $a_2a_1, b_2b_1, a_2'a_1', b_2'b_1', i_1j_1, i_2j_2, \dots, i_sj_s$ with $a_1 < b_1 \le a_2 < b_2 < a_1' < b_1' \le a_2' < b_2'$

 $j_s \leq j_s \leq \cdots \leq j_1 \leq a_1 < b_2 \leq i_1 \leq \cdots$

 $\leq i_{s-1} \leq i_s \langle a'_1.$

and not both $j_1 = a_1$ and $i_1 = b_2$ (since otherwise edge

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 i_1j_1 would be useless, not properly containing the M formed by a_2a_1 , b_2b_1). Without loss of generality, (again up to reversal and rotation of G), say that $j_1 \neq a_1$.

We make the following subcases.

Subcase γ .1: We have s = 1.

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There are two possibilities.

(i) $i_1 \neq b_2$. In this case G mimics MIN.18. (See Figure 6.14.)

(ii) $i_1 = b_2$. If G has a vertex x between b_2 and a'_1 , then G mimics MIN.63. (See Figure 6.15.)

If G has no vertex between b_2 and a'_1 , then a reduction of G is mimicked by MAX.26. (See Figure 6.16.)

Subcase γ .2: We have s > 1.

By subcase 7.1, we may assume that $i_2 = i_1 = b_2$, and thus that $j_2 < j_1$. In this case G mimics MIN.67. (See Figure 6.17.)

This concludes our examination of subcase v.

We next consider subcase 5. We may assume without



loss of generality that after iterated stripping C_1 and C_2 are two hump digraphs. Using rotation and reflection and subcase γ , we may say without loss of generality that one of the following two cases occurs:

Subcase 0.1: G has extra-skeletal edges a_2a_1 , b_2b_1 , $a'_2a'_1$, $b'_2b'_1$, $i_1j'_1$, i_2j_2 where $j_1 < a_1 < b_1 \leq a_2 < b_2 = i_1 < j_2 < a'_1 < b'_1 \leq a'_2 < b'_2 = i_2$ In this case G mimics MIN.63. (See Figure 6.18.)

Subcase 5.2: G has extra-skeletal

edges a_2a_1 , b_2b_1 , $a'_2a'_1$, $b'_2b'_1$, i_1j_1 , i_2j_2 where $j_1 = a_1 < b_1 \le a_2 < b_2 < i_1 < j_2 < a'_1 < b'_1 \le a'_2 < b'_2 = i_2$ In this case G mimics MIN.68. (See Figure 6.19.)

This concludes our examination of subcase δ , and hence our consideration of the case when G has exactly two bubbles.

Subcase: G has exactly one bubble:

Let the bubble be called C_1 . If C_1 is a one hump digraph, then suppose that under C_1 we have two disjoint M's: viz. G has edges a_2a_1 , b_2b_1 , $a'_2a'_1$, $b'_2b'_1$, i_1j_1 , i_2j_2 where $j_2 \leq j_1 \leq a_1 < b_1 \leq a_2 < b_2 < a'_1 < b'_1 \leq a'_2 < b'_2 \leq i_1 \leq i_2$ and $d_1 = j_2$, $c_1 = i_2$. By rotation, assume that $d_1 = j_1$.









In this case, G mimics MIN.69. (See Figure 6.20.)

We may thus suppose without loss of generality that whenever G is a digraph with only one bubble, by repeated iteration of the stripping procedure on C_1 we will eventually arrive at a digraph C_1 which is a two or three hump digraph. This justifies the following case breakdown:

<u>Subcase α </u>: C'₁ is a three hump digraph. <u>Subcase β </u>: C'₁ is a two hump digraph.

In pursuing subcase α , by our classification of the three hump case, we may assume without loss of generality that either (i) C' falls under case A2(b), $j = b_1$ of the classification of the three hump digraphs

(ii) C'_1 is its own skeleton.

or

In subcase α (i), G mimics MIN.70. (See Figure 6.21.)

In subcase α (ii), we make a distinction, depending on whether vert(G) = vert(C₁').

If $vert(G) = vert(C'_1)$ then a reduction of G is mimicked by MAX.25. (See Figure 6.22.)





Otherwise, G mimics MIN.71. (See Figure 6.23.)

We now turn to subcase β .

In this case, G has (at least) certain edges a_2a_1 , b_2b_1 , i_1j_1 , i_2j_2 , ..., i_sj_s where $d_1 = j_s \leq j_{s-1} \leq ... \leq j_1 \leq a_1 < b_1 \leq a_2 < b_2 \leq i_1 \leq ...$ $\leq i_s = c_1$. Here a_2a_1 , b_2b_1 , along with the piece of P from a_1 to b_2 form the skeleton of C'_1 . The edges i_rj_r are those that were stripped from G to arrive at C'_1 . We now make cases depending on the form of C'_1 .

<u>Subcase- C' is its own skeleton</u>: In this case, since edge i_1j_1 is not useless, we cannot have both $j_1 = a_1$ and $i_1 = b_2$. Without loss of generality (up to reflection), say that $i_1 \neq b_2$. We make further subdivisions based on the ij edges.

Subcase- s = 1: In this case, a reduction of G is mimicked by MAX.26. (See Figure 6.24.)

Subcase- s = 2: We have two possibilities. (i) $j_1 \neq a_1$. Without loss of generality, $i_1 \neq i_2$. In this case G mimics MIN.18. (See Figure 6.25.)

(ii) $j_1 = a_1$. In this case (without loss of generality

up to a rotation), $j_2 = a_1$ also. Then a reduction of G is mimicked by MAX.24. (See Figure 6.26.)

<u>Subcase-s = 3</u>: Because of our observations in the s = 2 case, we may now without loss of generality assume that $j_3 = j_2 = j_1 = a_1$. Then a reduction of G is mimicked by MAX.24. (See Figure 6.27.)

Subcase- s > 3: Here G mimics MIN.72. (See Figure 6.28.)

This finishes the case where C_1' is its own skeleton. Returning to the theme of our stripping procedure, we use the two hump classification of the previous chapter on C_1' .

Subcase- C' has an edge of type D: Here G mimics MIN.35. (See Figure 6.29.)

From now on assume that G has no edges of type D.

Subcase- C_1' has edges of type E only: Here C_1' must have two type E edges forming an M, and C_1' mimics MIN.15 as we have already seen.





Subcase- C! has edges of types A and E

only: We may assume by the foregoing subcase that C_1' has in no edge of type E. Thus C_1' has two type A edges k_1l_1 , k_2l_2 forming an M. By the case where C_1' is its own skeleton and $j_1 \neq a_1$, assume that

<u>Subcase-s > 1</u>: In this case, G mimics MIN.66. (See Figure 6.30.)

<u>Subcase-s = 1</u>: If $i_1 \neq b_2$, then G mimics MIN.66. (See Figure 6.31.)

We assume from here on that $i_1 = b_2$. If C'_1 has only two extra-skeletal edges, then a reduction of G is mimicked by MAX.23. (See Figure 6.32.)

If C_1' has a third extra-skeletal edge k_3l_3 , we may assume without loss of generality, by the classification done in the previous chapter, that $l_3 = a_1$, $k_3 > k_2$. (See Figure 5.33.) In this case, G mimics MIN.73. (See Figure 6.33.)



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This completes those cases where all edges of C'_1 are of types A, E or D of the previous chapter.

Subcase- C_1' has an edge of type B, but no type C edges: From our experience in the previous chapter, we may assume that <u>every</u> extra-skeletal edge of C_1' is either a normal type B edge, or a reversed type B edge where the normal and reversed edges never cross to form any M. Let the extra-skeletal edges of C_1' be

 $\mathbf{q} = \mathbf{0}$:

<u>s = 1</u>:

Assume (by rotation) that $a_1 = j_1$. If $i_1 = b_2$, then the edge $i_1 j_1$ is useless, a contradiction. (See Figure 6.34.)

In fact, for the case where every edge of C_1' is a type B edge, we may assume that $a_1 = j_1$, $i_1 \neq b_2$. If $i_1 \neq b_2$, then if $p \ge 3$, G mimics MIN.74. (See Figure 6.35.)

If $i_1 \neq b_2$ and if p < 3, then a reduction of G is mimicked by MAX.22. (See Figure 6.36.)



<u>s > 1</u>:

Again assume that $j_1 = j_2 = a_1$.

As $i_1 \neq b_2$, G mimics MIN.75. (See Figure 6.37.)

q > 0:

Assume that $j_1 = a_1$.

As $i_1 \neq b_2$, G mimics MIN.76. (See Figure 6.38.)

This finishes the case when C'_1 has no type C edges.

Subcase- C' has an edge of type C: Call

the type C edge kl. (Recall Figure 5.5.)

Subcase- C' has only one

extra-skeletal edge:

<u>s > 2</u>: Here G mimics one of MIN.18 or MIN.78, depending on whether kl is a normal or reversed type C edge in C_1^{\prime} . (See Figures 6.39 and 6.40.) Note that even if $j_1 = a_1$ and $i_1 = b_2$, $i_1 j_1$ contains the M formed by kl and $b_2 b_1$, and is not useless.

<u>s = 2</u>: With only two ij edges, we may use reversal and rotation to assume that kl is a normal type C edge, and $j_1 = j_2 = a_1$.

If $k < a_2$, then G mimics MIN.79. (See Figure 6.41.)



If $i_1 > b_2$, then G mimics MIN.18 (See Figure 6.42.)

If $k = a_2$ and $i_1 = b_2$ then a reduction of G is mimicked by MAX.21. (See Figure 6.43.)

<u>s = 1</u>: Here a reduction of G is mimicked by MAX.20. (See Figure 6.44.)

This concludes the case when C'_1 has only one extra-skeletal edge.

Subcase- C' has two extra-skeletal edges: Let the extra-skeletal edges of C' be k_1l_1 , k_2l_2 . By the analysis of the previous chapter, we make the following case division.

<u>C' falls under Cl(a)</u>: (Thus C' is as depicted in Figure 5.59.) Here G mimics MIN.80. (See Figure 6.45.)

<u>C' falls under C1(b)</u>: (Thus C' is as depicted in Figure 5.65.) Here G mimics MIN.81. (See Figure 6.46.)

<u>C' falls under C2(a)</u>: (Thus C' is as depicted in Figure 5.63.) Here G mimics MIN.82. (See Figure 6.47.)







Figure 6.43





Figure_6.45



Figure 6.46



Figure 6.47



Figure 6.48

<u>C' falls under C2(b)</u>: (Thus C' is as depicted in Figure 5.66.) We have two possibilities. (i) $i_8 \neq b_2$. Here G mimics MIN.83. (See Figure 6.48.)

(ii) $i_s = b_2$. By rotation we may assume that this means s = 1. Here a reduction of G is mimicked by MAX.19. (See Figure 6.49.)

C' falls under C3(a): (Thus C' is as depicted in Figure 5.73.) Here G mimics MIN.84. (See Figure 6.50.)

<u>C' falls under C3(b)</u>: (Thus C' is as depicted in Figure 5.75.) We have two possibilities. (i) $i_s = b_2$. By rotation we may assume that this means s = 1. Here a reduction of G is mimicked by MAX.18. (See Figure 6.51.)

(ii) i_s ≠ b₂. Here G mimics MIN.85. (See Figure 6.52.)

<u>C' falls under C4</u>: (Thus C' is as depicted in Figure 5.57.) Here G mimics MIN.86. (See Figure 6.53.)

<u>C' falls under C5</u>: (Thus C' is as depicted in Figure 5.60.) We have two possibilities.





Figure 6.50







Figure 6.52

(i) $i_s \neq b_2$. Here G mimics MIN.87. (See Figure 6.54.)

(ii) $i_s = b_2$. By rotation we may assume that this means s = 1. Here a reduction of G is mimicked by MAX.17. (See Figure 6.55.)

Subcase- C' has three extra-skeletal

edges:

We may again fall back on the classification of the previous chapter. Also, by the foregoing section, assume that $i_1 = b_2$ and that s = 1.

<u>Subcase- C' falls under case C3(b) + C3(b)</u>: (Thus C'_1 is as depicted in Figure 5.104.) Here G mimics MIN.88. (See Figure 6.56.)

<u>Subcase- C' falls under case C3(b) + C2(b)</u>: (Thus C'_1 is as depicted in Figure 5.107.) Here G mimics MIN.89. (See Figure 6.57.)

<u>Subcase- C' falls under case C5 + C3(b)</u>: (Thus C' is as depicted in Figure 5.95.) Here G mimics MIN.49. (See Figure 6.58.)

This concludes our proof.




Chapter 7: Lemmas On Substitutions

Let S = { x_1 , x_2 , x_3 }, T be alphabets. Let g: S^{*} \rightarrow T^{*} be a substitution. We produce certain conditions on g which are sufficient to show that g(h^{ω}(x_2)) is non-repetitive where h is substitution 2.1. That is,

 $h(x_1) = x_3$ $h(x_2) = x_2 x_3 x_1$ (Sub 2.1) $h(x_3) = x_2 x_1$.

First we note that if the $g(x_i)$ each start in a distinctive way, but have sufficiently different endings, then g works.

<u>Different Endings Lemma:</u> Let A, B be alphabets, T = $A \cup B$, $A \cap B = \bullet$. Suppose that for each i, we can write $g(x_i) = mb_i$, $m \in A$, $b_i \in B^*$ so that the following conditions hold:

(1) If for $1 \le i$, j, $k \le 3$ we can write $b_k = b'_i b''_j$ where $b_i = b'_i b''_i$, $b_j = b'_j b''_j$, then either $b'_i = \epsilon$, j = k, or $b''_j = \epsilon$, i = k. Thus we cannot glue together b_i from a prefix of b_j and a suffix of b_k .

(2) The word b_i is non-repetitive for each i. Then $g(h^n(x_2))$ is non-repetitive for all n. <u>Proof:</u> By Lemma 2.5, it suffices to show that the following conditions hold:

1') If g(x) is a subword of g(y), where x, $y \in S$, then x = y.

3') If $w \in S^*$ is a non-repetitive word, |w| = 3, then g(w) is non-repetitive.

Clearly condition 1') holds: If mb_i is a subword of mb_j we must have b_i a prefix of b_j , whence i = j, by condition 1).

It remains to show that condition 3') is fulfilled. Suppose $g(x_i x_j x_k)$ contains a repetition for some i, j, k, $i \neq j, j \neq k$. Thus $mb_i mb_j mb_k$ contains a repetition vv, $v \neq \epsilon$. The word vv must contain exactly zero or two m's. If vv contains no m, then vv is a subword of b_j for some j, contradicting 2). On the other hand, if vv contains the first two m's of $mb_i mb_j mb_k$, then b_i is a prefix of b_j , impossible since $i \neq j$.

Finally, if vv contains the last two m's of $mb_imb_jmb_k$, then we can write $v = b''_imb'_j = b''_jmb'_k$, where $b_i = b'_ib''_i$, $b_j = b'_jb''_j$, $b_k = b'_kb''_k$. But then lining up the m's, we get $b''_i = b''_j$, $b'_j = b'_k$, so that $b_j = b'_kb''_i$, contradicting 1). We conclude that $g(x_ix_jx_k)$ is non-repetitive whenever $i \neq j$, $j \neq k$, so that g fulfills condition 3¹ Block/Separator Lemma: Suppose that we can write

 $g(x_i) = nb_0 nb_{i+1} nb_i$, i = 1, 2, 3

where $b_i \in B^*$, each i, some alphabet B, $n_i \in A$, some alphabet A, such that $A \cap B = \phi$. Suppose further that the following conditions are fulfilled:

(1) If for $0 \le i$, j, k ≤ 4 we can write $b_k = b'_i b''_j$ where $b_i = b'_i b''_i$, $b_j = b'_j b''_j$, then either $b'_i = \varepsilon$, j = k, or $b''_i = \varepsilon$, i = k.

(2) If $1 \leq i \leq j \leq 4$, then $|b_i| \leq |b_j|$.

(3) The word b_i is non-repetitive, $0 \le i \le 4$. Then $g(h^n(x_2))$ is non-repetitive for all n.

<u>Proof:</u> Our proof is analogous to the previous proof, but somewhat more involved. Again condition 1') of Lemma 2.5 will hold. It remains to show that condition 3') holds.

Suppose $g(x_i x_j x_k)$ contains a repetition for some i,j,k, i \neq j, j \neq k. Then we get $nb_0 nb_p nb_q nb_0 nb_r nb_s nb_0 nb_t nb_u$ containing a repetition vv, $y \neq \epsilon$.

<u>Case A</u>: The word v contains nb_0n as a subword. Examining g, we see that vv must contain this subword exactly twice. If vv contains the first two occurrences of this subword in $g(x_i x_j x_k)$ then b_p is a prefix of b_r . By condition (1), p = r, so that i = j, a contradiction.

Thus it must be the second two occurrences of nbon

which are in vv, and lining things up using these matching subwords in vv, we write

 $(b_p n b_q)^{"} = (b_r n b_s)^{"}, (b_r n b_s)' = (b_t n b_u)',$ where as usual x' (x") stands for a prefix (suffix) of x, and $b_r n b_s = (b_r n b_s)'(b_r n b_s)^{"}$. But if n is in $(b_r n b_s)^{"},$ then $b_s = b_q$, so that s = q, and i = j, a contradiction. However, then n must be in $(b_r n b_s)'$, whence $b_r = b_t$, again giving a contradiction. We conclude that $n b_0 n$ is not a subword of v.

<u>Case B</u>: The word vv contains a subword nb_0n , but v does not.

Thus nb_0n "straddles the border" between the two v's of vv, and we write $v = Znb_0' = b_0$ "nY, where $b_0'b_0" = b_0$, Z, Y \in (A \cup B)^{*}. Thus $w = g(x_i x_j x_k)$ contains a subword of the form b_0 "nXnb_0nXb_0', X \in (A \cup B)^{*}. However, now by condition (1), we may assume that w contains either nb_0nXnb_0nX or Xnb_0nXnb_0n as a subword, and we are back in Case A, which has already been dealt with.

<u>Case C</u>: The repetition vv does not contain nb_0n . Thus without loss of generality, up to reindexing, assume that vv is a subword of $b_0nb_nb_snb_0$.

By condition (3), vv contains at least one n. Thus vv contains exactly two n's. If the first two n's here are in vv, then b_r is a prefix of b_s , contradicting (1). If the second two n's are in vv, then we write $b_s = b'_0 b''_r$ and get the usual contradiction.

We conclude that $g(x_i x_j x_k)$ is non-repetitive. Long/Short Lemma: Suppose that we can write

 $g(x_1) = mb_1e_1$ $g(x_2) = mb_2e_1b_1e_2$ $g(x_3) = mb_2e_2$

where for each i, b_i , $e_i \in A^*$, some alphabet A, $m \in B$, some alphabet B, such that $A \cap B = \phi$. Then if

(1) Each of $g(x_1)$, $g(x_2)$, $g(x_3)$ is non-repetitive

(2) $| b_1 | < | b_2 |, | e_1 | < | e_2$

(3) If w is a common prefix of b_2 , b_1 , y a common suffix of e_1 , e_2 , then $| wv | < | b_1 e_1 |$

(4) Any common prefix of b_1e_i , b_2e_j is of length $\leq |b_1|$ (5) Any common suffix of b_ie_1 , b_je_2 is of length $\leq |e_1|$ then g is suitable.

<u>Proof:</u> We show that g is suitable, i.e.

1) $| g(x_i) | \leq | g(x_j) | + | g(x_k) |$ for $1 \leq i$, j, k ≤ 3 , i, j, k distinct

2) For $1 \le i \le 3$, one cannot write $g(x_i) = uw = wz$, u, w, z non-empty words over T.

(3) If $w \in S^*$ is a non-repetitive word with $|w| \leq 3$, and $w \neq x_1x_3x_1$, $x_2x_3x_2$, then g(w) is non-repetitive.

Conditions 1) and 2) are easily checked. It remains to show that condition 3) holds. It will be useful to first consider the case when |w| = 2.

 $w = x_1 x_2$: Here $g(w) = mb_1 e_1 mb_2 e_1 mb_1 e_2$. Suppose that vv is a subword of g(w) for some $v \neq \epsilon$. By condition (1), repetition v must include the second m of g(w); any other repetition would be entirely inside $g(x_1)$ or $g(x_2)$. There are two possibilities:

Case 1: The first two m's are in vv. In this case we must have m for a prefix of v, so that $v = mb_1e_1$. Therefore, $mb_1e_1 = v$ is a prefix of mb_2e_1 . Thus b_1e_1 is a prefix of b_2e_1 . This is a contradiction of condition (4), as $| b_1e_1 | > | b_1 |$. Thus g(w) is non-repetitive in this case.

Case 2: The second two m's are in vv. Thus vv is contained in the word $b_1e_1mb_2e_1mb_1e_2$. Using the m's to line up the pieces v, we have $(b_1e_1)^{"} = (b_2e_1)^{"}$, $(b_2e_1)^{"} = (b_1e_2)^{"}$ where $(b_ie_j)^{"}$ stands for a non-empty prefix of b_ie_j , $(b_ie_j)^{"}$ stands for a non-empty suffix of b_ie_j , and $(b_2e_1)^{"}(b_2e_1)^{"} = b_2e_1$. However, by condition (4), $|(b_2e_1)^{"}| \leq |b_1|$. Lining up the e_1 's, we can therefore write $b_2 = b_1'b_1^{"}$, where $b_1 = xb_1^{"} = \phi_1'y$ for some x,y. Since $|b_2| > |b_1|$, we can write $b_1^{"} = zy$, b_1' = xz for some $z \neq \epsilon$. But then b_2 contains the repetition zz, a contradiction. (We call this an overlap argument.). Thus g(w) can have no repetition.

 $w = x_1 x_3$: In this case $g(w) = mb_1 e_1 mb_2 e_2$. Any repetition vv involves both m's, and lining things up using the m's, we find that $b_1 e_1$ is a prefix of $b_2 e_2$, contradicting condition (4).

 $\underline{w} = \underline{x}_{2}\underline{x}_{1}$ Here $g(w) = mb_{2}e_{1}mb_{1}e_{2}mb_{1}e_{1}$. Any repetition vv must involve the second two m's, as the first two are contained in $g(\underline{x}_{2})$. Then we get $(b_{2}e_{1})^{"} = (b_{1}e_{2})^{"}$, $(b_{1}e_{2})^{'} = (b_{1}e_{1})^{'}$, and $b_{1}e_{2} = (b_{1}e_{2})^{'}(b_{1}e_{2})^{"}$. Now by condition (5), $(b_{1}e_{2})^{"}$ must actually be a suffix of e_{1} alone. Lining up b_{1} 's, we get $e_{2} = e_{1}'e_{1}^{"}$ and we use an overlap argument as in a previous case.

<u>w= x_2x_3</u>: We get $g(w) = mb_2e_1mb_1e_2mb_2e_2$. Any repetition involves the last two m's. We argue similarly to the previous case, except now we get $|(b_1e_2)'| \leq |b_1|$, $|(b_1e_2)'' - | \leq |e_1|$. This forces $|b_1e_2| \leq |b_1e_1|$, a contradiction.

 $w = x_3 x_1$: Here $g(w) = mb_2 e_2 mb_1 e_1$. If there were a



repetition, we would have mb_2e_2 a prefix of mb_1e_1 , which is absurd because of the respective lengths.

 $w = x_3 x_2$: We have $g(w) = mb_2 e_2 mb_2 e_1 mb_1 e_2$. Any repetition must match the first two m's, forcing $b_2 e_2$ to be a prefix of $mb_2 e_1$, which is absurd.

We have thus established that g behaves well on the two letter words. It remains to consider the cases when |w| = 3:

 $\underline{w} = \underline{x}_1 \underline{x}_2 \underline{x}_1$: Here $g(w) = mb_1 e_1 mb_2 e_1 mb_1 e_2 mb_1 e_1$. Since the g behaves well on two letter words, any repetition vv in g(w) must straddle the images of all three letters here, thus containing at least the last three m's. We conclude that (since repetitions contain an even number of m's) all four m's are in vv. This implies that $mb_1e_1 \stackrel{?}{=} mb_1e_2$, which is absurd, as the lengths differ. $\underline{w} = \underline{x}_1\underline{x}_2\underline{x}_3$: Here $g(w) = mb_1e_1mb_2e_1mb_1e_2mb_2e_2$, and we get the same contradiction as in the previous case. This contradiction will similarly occur for each w of form $x_1x_2x_3$.

 $\underline{w} = \underline{x}_1 \underline{x}_3 \underline{x}_2$:

Here $g(w) = mb_1e_1mb_2e_2mb_2e_1mb_1e_2$. Here either all four

m's get matched up, or only the central two. If all four m's get matched, we get an absurdity involving lengths. We therefore assume that the second two m's are matched, and write $(b_1e_1)^{"} = (b_2e_2)^{"}$, $(b_2e_2)^{'} = (b_2e_1)^{'}$ where $(b_ie_j)^{'}$ stands for a non-empty prefix of b_ie_j , $(b_ie_j)^{"}$ stands for a non-empty suffix of b_ie_j , and $(b_2e_2)^{'}(b_2e_2)^{"}$ $= b_2e_2$. However, by condition (5), $|(b_2e_2)^{"}| \leq |e_1|$. Lining up the b_2 's we get a contradiction by an overlap argument.

 $w = x_2 x_1 x_2$: Here $g(w) = mb_2 e_1 mb_1 e_2 mb_1 e_1 mb_2 e_1 mb_1 e_2$ We match either the third and fourth m's, the first four m's, or the last four m's.

If the third and fourth m's are matched, after our usual argument we end up with b_1 a prefix of b_2 , e_1 a suffix of e_2 . This is forbidden by condition (3).

Matching the first four m's gives our standard absurdity involving length. Thus suppose the last four m's are matched. This forces $b_1e_2 = b_2e_1$, contradicting the non-repetitiveness of $g(x_2) = mb_2e_1mb_1e_2$. $w = x_2x_1x_3$: Here $g(w) = mb_2e_1mb_1e_2mb_1e_1mb_2e_2$. We cannot have all four m's in a repetition, as this gives the usual contradiction concerning lengths. The alternative is that the last two m's are matched by a repetition and here we get the same contradiction as in the previous

case.

 $w = x_2 x_3 x_1$: Here $g(w) = mb_2 e_1 mb_1 e_2 mb_2 e_2 mb_1 e_1$. Involving all four m's in a repetition is impossible, as usual. However, matching the last two m's gives a contradiction by the overlap argument.

 $\underline{w} = \underline{x}_{3}\underline{x}_{1}\underline{x}_{2}$: Here $g(w) = mb_{2}e_{2}mb_{1}e_{1}mb_{2}e_{1}mb_{1}e_{2}$. Here either all four m's get matched up, or only the middle two. If all four m's get matched, we get an absurdity involving lengths. If the center two m's are matched, then after our usual argument we end up with b_{1} a prefix of b_{2} , e_{1} a suffix of e_{2} . This is forbidden by condition (3). $\underline{w} = \underline{x}_{3}\underline{x}_{1}\underline{x}_{3}$: Here $g(w) = mb_{2}e_{2}mb_{1}e_{1}mb_{2}e_{2}$. The first two m's cannot be paired. However matching the last two we end up with b_{1} a prefix of b_{2} , e_{1} a suffix of e_{2} . This is forbidden by condition (3).

 $mb_1e_1 = mb_1e_2$, which is absurd, as the lengths differ. $w = x_3x_2x_1$: As we remarked earlier the contradiction of the cases $w = x_1x_2x_1$, $x_1x_2x_3$ carries over to this case and the next.

 $w = x_3 x_2 x_3$: See above.

Having looked at all the short words and finding g to be well-behaved, we are finished our proof.

MIN.71: To deal with MIN.71, we use some substitutions on a five letter alphabet. Let 1:{ 1, 2, 3, 4, 5 } \rightarrow { 1, 2,

- 3, 4 } be given by
 - 1(1) = 43 1(2) = 4321 1(3) = 432153 1(4) = 415211(5) = 4153

Let k: $\{1, 2, 3, 4, 5\} \rightarrow \{1, 2, 3, 4, 5\}$ be given by

k(1) = 512343212345123212343234

k(2) = 512343212345123212343234512321234

k(3) = 512343212345123212343234 -

5123212345123432345123212343234

k(4) = 51234321234512343234512321234

k(5) = 512343212345123432345123212343234

We wish to show that $k(l^{\omega}(4))$ is non-repetitive. Consider the following simplified substitution lemma.

Lemma: Let $g:A^* \rightarrow B^*$ be a substitution so that (1) If $g(a_1...a_n) = X g(e) Y$, then for some j, X = $g(a_1...a_j)$, $a_{j+1} = e$.

(2) If we can write $g(x_i)'' = g(x_j)''$, $g(x_j)' = g(x_k)'$, $g(x_j) = g(x_j)'g(x_j)''$ with x' (x") a prefix (suffix) of x, then w = $x_i x_j x_k$ is among w_1 , w_2 , ..., w_n .

Then if v is a non-repetitive word never containing $x_i X x_j X x_k$ where $x_i x_j x_k = w_r$, some r, $1 \le r \le n$, any word X, then g(v) is non-repetitive. $w_3, g(x_i x_j x_k) = |w| = 3$, then g(w) is non-repetitive unless $w = w_1, w_2, \dots, w_m$.

This result follows from the proof of the substitution lemma. The " line-up " condition (1), will clearly be true of k and l. The following list may be checked to suffice for condition (2+ for substitutions k and 1: 123, 145, 154, 212, 213, 241, 242, 243, 245, 312, 313, 314, 315, 323, 345, 351, 352, 353, 354, 412, 413, 421, 423, 512, 513, 514, 515, 523, 532, 545

Suppose that $x_i X x_j X x_k$ never appears in $1^m(4)$ for any m. Then $1^m(4)$ is non-repetitive for each m, by the simplified substitution lemma, and so is $k(1^{\omega}(4))$. Thus to show that $k(1^{\overline{\omega}}(4))$ is non-repetitive it suffices to show that none of the listed triples occurs in this way in $1^n(4)$. We now do this:

Suppose that for some m, one of the listed triples occurs in the above manner in $1^{m}(4)$. Choose m as small as

possible. In particular, $l^{m-1}(4)$ contains none of the above listed triples, so that $l^{m}(4)$ is non-repetitive. <u>Triple 123</u>: Suppose that there exists a word $X = a_{1}a_{2}..a_{r}$ so that 1X2X3 appears in $l^{m}(4)$. Then a_{1} follows 2 in $l^{m}(4)$, so by examining 1, we conclude that a_{1} is 1. However, then $l^{m}(4)$ contains 11, which, again examining 1, we see is impossible.

<u>Triple 254:</u> Suppose that there exists a word $X = a_1 a_2 .. a_r$ and a letter y, so that yX5X4 appears in $1^m(4)$. Then a_1 follows 2, and must be a 1. Then $1^m(4)$ contains 51, which is impossible.

<u>Triples 212, 312, 412, 512:</u> Suppose that there exists a word $X = a_1 a_2 .. a_r$ and a letter y, so that yX1X2 appears in $1^m(4)$. Then a_r precedes 1, and must be a 2 or a 4. However a_r precedes 2, and so must be a 3 or a 5. This is a contradiction.

<u>Triples 213, 313, 413, 513</u>: Suppose that there exists a word $X = a_1 a_2 ... a_r$ and a letter y, y = 2, 3, 4, or 5, so that yX1X3 appears in $1^m(4)$. Then a_r is a 4, because it precedes both 3 and 1 in pieces from 1. Then a_1 follows 41, and must be a 5. However, y precedes a_1 and thus must be a 1, contradicting our choice of y.

<u>Triple 314</u>: Suppose that there exists a word $X = a_{1}a_{2} \cdot a_{r}$ so that 3X1X4 appears in $1^{m}(4)$. Then a_{r} precedes 4, and is a 1 or 3. However neither 31 nor 11 can appear, so we have a contradiction.

<u>Triple 315</u>: Suppose that there exists a word $X = a_1 a_2 .. a_r$ so that 3X1X5 appears in $1^m(4)$. Then a_r precedes 5, and must be a 1. Then $1^m(4)$ contains 11, which is impossible. <u>Triples 323</u>: Suppose that there exists a word $X = a_1 a_2 .. a_r$ so that 3X2X3 appears in $1^m(4)$. Then a_1 follows 2 and must be a 1. But then $1^m(4)$ contains 31, which is impossible.

<u>Triples 351, 352, 353</u>: Suppose that there exists a word $X = a_1 a_2 .. a_r$ and a letter y, y = 1, 2 or 3, so that 3X5Xy appears in $1^m(4)$. Then a_r precedes 5, and must be a 1. This forces y to be a 4 or a 5, contradicting our choice of y.

<u>Triple 421:</u> Suppose that there exists a word $X = a_1 a_2 \cdots a_r$ so that 4X2X1 appears in $1^m(4)$. Then a_r precedes 2, and is a 3 or a 5. However, as a_r^- precedes 1, it must be a 4 or a 2. This is a contradiction.

<u>Triple 423:</u> Suppose that there exists a word $X = a_1 a_2 .. a_r$ so that 4X2X3 appears in $1^m(4)$. Then a_1 precedes 2, and must be a 1. Now a_2 is preceded by 41, and must be a 5. Thus a_r is followed by 215, and is a 3. But then $1^m(4)$ contains 33, which is a contradiction.

Triples 513, 514, 515: Suppose that there exists a word

 $X = a_1 a_2 .. a_r$ and a letter y, so that 5X1Xy appears in $1^m(4)$. Then a_1 follows 1 and must be 5 or 4. However, a_1 follows 5, and must be 3 or 2. This is a contradiction. <u>Triples 523</u>: Suppose that there exists a word $X = a_1 a_2 .. a_r$ so that 5X2X3 appears in $1^m(4)$. Then a_1 follows 2 and must be 1, leaving $1^m(4)$ to contain 51, which is impossible.

<u>Triple 532:</u> Suppose that there exists a word $X = a_1 a_2 .. a_r$ so that 5X3X2 appears in $1^m(4)$. Then a_1 follows 5 and 3, and is forced to be a 2. Thus a_r must be a 4, as it is followed by 32. But then $1^m(4)$ contains 42, which is impossible.

<u>Triples 145, 245, 345, 545</u>: Suppose that there exists a word $X = a_1 a_2 ... a_r$ and a letter y, so that yX4X5 appears in $1^m(4)$. Then a_r precedes 5, and must be a 1. If r = 1, then $1^m(4)$ contains 141, so that $1^{m-1}(4)$ contains one of 24, 25, 44, 45, which is impossible, since 1 never produces these words. Thus r > 1. Since a_1 follows 4, a_1 is 3 or 1. However a_1 cannot be 1, or $1^m(4)$ contains 141.

Now y precedes 3, and must be 4 or 5. However, if y = 4, then $1^{m}(4)$ contains the repetiton 4X4X, contradicting the minimality of m. Thus y = 5. Since a_{2} follows 53, $a_{2} = 4$.

Continuing these kinds of arguments, it may be shown

that in fact $l^{m}(4)$ contains a block of the form

53 41521 Z $1^{2}(4)$ 41521 Z $1^{2}(5)$. However the block 41521 Z is of the form $1^{2}(Q)$. We thus deduce that $1^{m-2}(4)$ contains Q4 Q⁵. This contradicts the minimality of m.

<u>Triples 241, 242, 243</u>: Suppose that there exists a word $X = a_1 a_2 ... a_r$ and a letter y, $y \neq 4$, 5, so that 2X4Xy appears in $1^m(4)$. Then a_1 must be a 1 as it follows 2 and 4. Then a_2 follows 41, and is a 5, a_3 follows 215, and is a 3. Thus a_3 follows 2153, and is a 4.

As a_r is followed by a 4, a_r is 3 or 1. But a_r is not 1, otherwise $1^{m-1}(4)$ would contain one of 24, 25, 44, 45, which is impossible. Thus a_r is a 3. Then y is a 4 or 2. However, y cannot be a 4, or $1^m(4)$ contains the repetition X4X4, which contradicts the minimality of m.

Continuing these kinds of arguments, it may be shown that in fact $l^{m}(4)$ contains a block of the form

 $1^{2}(2)$ 41521 Z $1^{2}(4)$ 41521 Z 41521432. However the block 41521 Z is of the form $1^{2}(Q)$. We thus deduce that $1^{m-2}(4)$ contains 2Q 4Q. This contradicts the minimality of m.

Since none of the bad triples occur, $k(1^{m}(4))$ is non-repetitive for every m.D

Chapter 8: Substitutions

We wish to show that each of the graphs of MIN is versatile. We saw at the end of Chapter 2 that MIN.1 -MIN.4 are versatile. MIN.71 is treated separately in Chapter 7. For each other digraph G of MIN, we give a substitution f meeting the demands of the Substitution Lemma (Lemma 2.4), such that $f(g^{\omega}(2))$ is a non-repetitive walk of type ω on G, where g is substitution 2.1.

The conditions of the Substitution Lemma are such that they are easily verified for each of these substitutions by computer. However, to aid the understanding of the reader, we label substitutions with the labels 'D/E', 'B/S', 'L/S' standing for 'Different/Endings', 'Block/Separator' and 'Long/Short' respectively. A substitution with such a label falls into the corresponding category of substitutions as discussed at the end of Chapter 3, and can usually be shown to be suitable using the corresponding theorem of Chapter 7.

MIN.5: x1: 12342

 x_2 : 1234542123423 x_3 : 12345423

	MIN.6:	x ₁ :	1234543	
-		x2:	1234323454312345432343	L/S
	· · ·	×3:	123432345432343	
	MIN.7:	x ₁ :	12345423	
		×2:	1234543423 234542343	L/S
	· · ·	×3:	123454342343	
	MIN.8:	x ₁ :	1234523	÷
*		×2:	123456523123452343	L/S
5		×3:	12345652343	
	MIN.9:	×1:	1343 .	
	2	x2:	12343134543	L/S
		×3:	1234543	
	MIN.10:	×1:	13423	
		×2:	1234231345423	
		×3:	12345423	5 I)
	MIN.11:	×1:	1434543	
•		x2	1234314543	
		×3:	1231431234543	×.
	MIN.12:	x ₁ :	14542	
		×2:	1234542142342	
		×3:	1234542342	
	MIN.13:-	×1,:	12343	
्यः जन्म जन्म		×2:	164345643	*
		×3:	1645643	

	MIN.14:	×1:	123454	
•		×2:	123212345412345654	L/S
		×3:	123212345654	
	MIN.15.	×1:	12345234	
		x2:	123432345234123452343234	L/S
		×3:	1234323452343234	
	MIN.16:	x1:	123454	
		x2:	12343234541234543234	L/S
		- x ₃ :	12343234543234	
	MIN. 17:	x,:	1234565452	
	j.	x ₀ :	123456523452123456545232	L/S
		2 x_:	12345652345232	
	MIN.18:	3 x.:	12345	
		1 * :	12/3432345123456	L/S
	• . (² .	1234323456	
	MTN 10.	^3·	12245674	
	MIN. 19	×1.	12345074	
		×2:	123212345674123456765674	L/S
		×3:	1232123456765674	
	MIN.20:	×1:	12321234567	
	*	×2:	123456545671232123456787	L/S
		x.3:	1234565456787	
*	MIN.21:-	x,:1	23212345	4.14
		x,:1	2343234512321234565	L/S
a,		x.:1	2343234565	
		3		

	MIN.22:	\mathbf{x}_1 :	123534		
,		×2:	1234534123534234	L/S	
		×3:	1234534234		6
	MIN.23:	×1:	1234212345		
		x2:	12342312345123421234565	L/S	
ñ		×3:	1234231234565		
	MIN.24:	x1:	123432345		
		x2:	123431234512343234565	L/S	
		x_3:	123431234565		
	MIN.25:	x1:	123212345676123456		
		x.,:	123212345612345	B/S	q
•		x ₂ :	1232123451234	de:	
	MIN.26:	x,:	1232123451234		
		·x.:	1232123456412345		
		2 X_:	123212345645		æ
	MIN.27:	x.:	1234565		
	A	~1·	1232123456512345645	1./5	
	.,	**2·	123212345645		
	MTN 20.	^ 3·	123212343043		
	MIN.28:	×1:	123453		i a
		×2:	12345231234534	L/S	
		×3;	12345234		
-	MIN-29:	×1:	12345621234565	L/S	
		×2:	123456231234565123456212345	645	
		x .:	1234562312345645		

MIN.30; x_1 : adacb x_2 : adc x_3 : aeb where a = 1234565 where b =1234562 where c =12345623 where d =123456234 where e =1234562345

MIN.31: x1:12342 x2:12345342123423 L/S x3:123453423 MIN.32: x1: 12342312345342345323123453423123453 x2: 12342312345342345312345323423123453423453 x₃: 12342312345342345323423123453 MIN.33: x1: 12342312345342341234534 B/S x_2 : 1234231234534234531234534234 . x3: 1234231234534123453 MIN.34: x₁: 1234534 x2: 12321234534123453234 L/S xa: 1232123453234 MIN.35: x1: 123423123453423453 L/S x2: 12342312345342345123453123423-12345342345312345

	18		-	
		×3:	123423123453423451234531234	5
	MIN.36:	`x ₁ :	12345634	
		×2:	1234563234123456345	L/S
	2	×3:	12345632345	
	MIN.37:	×1:	12345643452345645	L/S
		×2:	123456452345643452345645123	456434523456452345
•	*	×3:	123456452345643452345645234	5
	MIN.38:	×1:	123454234	
		×2:	1234534234123454234534	
		×3:	123453423454	
	MIN.39:	×1:	12345434	
		×2:	123454234123454342	L/S
		×3:	1234542342	
	MIN.40:	×1:	1234567345	
		×2:	123456732345123456734565	L/S
	-	×3:	12345673234565	
	MIN.41:	×1:	2123454345	
		×2:	234123454345212345412345	-l ,
	÷.,	×3:	2341234543412345	
	MIN.42:	x ₁ :	1232123456765612345676	
е. н. К. н.		×2:	123212345676123456	B/S
		×3:	12321234561234	
	MIN.43:	x` ₁ :	1232123454	1
		x2:	123421234541232123454234	

	×3:	12342123454234	
MIN.44:	×1:	1234564541234563234	L/S
	×2:	123456345412345632341234564	541234563234564
	×3:	12345634541234563234564	
MIN.45:	×1:	12345631234565	
	×2:	123456323123456345	
	×3:	12345632345	
MIN.46:	×1:	123456434	
	×2:	12345642341234564345	L/S
	×3:	12345642345	
MIN.47:	×1:	12342	
	×2:	123432123423	L/S
•	×3:	1234323	
MIN.48:	×1:	123456234512345643456234564	345-
	1234	45643456234565	B/S
	×2:	123456234512345643456234565	123456434565
	×3:	123456234512345643456512345	64345
MIN. 49:	×1:	12345634	
	x2:	1234562341234563454	L/S
	×3:	12345623454	
MIN.50:	×1:	1234564345	
	×2:	12345642345123456434565	L/S
	×3:	1234564234565	
MTN . 51 .	× ·	12345632345	

	x.:1	2345	634234	45123	456323	4565	L/S
	2 x.:1	2345	634234	1565			
MTN 52.	3	1001	50041				
MIN. 52.	×1.	1234	523417	23454	345234	54341234	5434534
	×2:	1234	523413	23454	345234	54123453	4
	×3:	1234	523413	23454	345234	53412345	434 .
MIN.53:	\mathbf{x}_1 :	1234	54342	3454			
	×2:	1234	54345	23454	123454	34234542	34
	×3:	1234	54345	23454	34	1 2	
MIN.54:	×1:	1234	56534	52345	6545		
	×2:	1234	56545	34565	2345		D/E
	×3;	1234	56545	23456	534,5		
MIN.55:	×1:	1234	534				
	×2:	1234	32345	34123	453234		L/S
	×3:	1234	32345	3234	1		
MIN.56:	×1:	1234	54				
	×2:	1232	12345	41234	564	1	L/S
	× 3 :	1232	12345	64			
MIN.57:	×1:	1234	56732	34567	612345	67456	
	×2:	1234	56732	34567	561234	567456,-	
12345673	32345	6761	23456	73456		· · · · ·	L/S
	×3:	1234	56732	34567	561234	5673456	
MIN.58:	x ₁ :	1234	56451	23456	323456	23451234	563234565
	×2:	1234	56345	12345	632345	62345123	4563234565-
	1234	5645	12345	63234	562345	12345632	345645-

x3: 123456345123456323456234512345632345645-

L/S

L/S

12345623451234563234565

MIN.59: x1: 123456342345632345

x₂: 1234563452345634234562345 D/E

x3: 123456345234563234562345

MIN.60: x1: 2345612345673456234567345

x₂: 23456123456734523456734 B/S

x₃: 234561234567342345673

MIN.61: x₁:1232123456789

x2:123456545678912321234567898789 L/S

x3:12345654567898789

MIN.62: x1: 12321234567

x2: 1234321234567123212345676567 L/S

x₃: 12343212345676567

MIN.63: x₁: 23456781

x₂: 234323456781234567876781 L/S

*x₃: 2343234567876781

MIN.64: x1: 123421234567

x2: 12342312345671234212345676567

x3: 12342312345676567

MIN.65: x₁: 123431234567 L/S x₂: 123432312345671234312345676567

x3: 123432312345676567

that we have a second

x .:	123454	D/E

x3: 123456

MIN.67: x1: 1234567876785678

x2: 1232123456787678567812345678767845678

L/S

x3: 123212345678767845678

MIN.68: x₁: 12345678

x₂: 1232123456787678

x3: 123412321234567876785678

MIN.69: x1: 123456

x₂: 123212345654561234567

x₃: 123212345654567

MIN.70: x1: 123212345

x2: 123421234512321234542345 L/S.

x₃: 123421234542345

MIN.72: x1: 1232123451234

x₂: 123212345612345 B/S

.x3: 12321234567123456

MIN.73: x1: 12321234565123456

x₂: 123212345612345

x3: 1232123451234

MIN.74: x1: 12345671234562345123456234

x₂: 123456712345623412345623 B/S

x₃: 1234567123456231234562

MIN.75:	x ₁ : 1234212345	, `
	x ₂ : 1234231234512342123456	L/S
	x ₃ : 123423123456	
MIN.76:	x ₁ : 12342	
	x ₂ : 12343 D/E	
. '	x ₃ : 12345	
MIN.77:	x ₁ : 123453	
	x ₂ : 12343234531234532343	L/S
	x ₃ : 12343234532343	×. *
MIN.78:	x ₁ : 1234212345	
	x2: 12342321234512342123456	L/S
	$x_3: 1234232123456$	
MIN.79:	x ₁ : 1234534	к Қ
	x ₂ : 123456	D/E
×	x ₃ : 1234532345	3.
MIN.80:	x ₁ : 12345434	
~	x ₂ : 123454234123454345	L/S
1. m. 1.	x ₃ : 1234542345	
MIN.81:	x ₁ :123453234	
1	x2:12345342341234532345	L/S
	x3:12345342345	-
MIN.82:	x1: aec	
	x2: afcaecb	L/S
	x ₃ : afcb	

Ð.

	where	a	= 13	234523	4				
		b	= 13	234543	4			•	
		с	= 13	234543	45 *				
		е	= 13	234543	4523454				
		£	- 1	004540	15001510				
		I	= 13	234543	45234543	4 -	-		
	MIN.83	:	×1:	12345					
			×2;	12343	23451234	23		L/S	1
			×3:	12343	23423	*			•
	MIN.84	:	x1:	12345	6123454			* .	
			x.:	12345	63234123	4563454		* *	
				10045		0045000			•
			×3.	12345	03234501	2345632	3454	1	
	MIN.85	:	×1:	12345	4			•	
			×2:	12345	32345412	3456		L/S	
			×3:	12345	323456				
	MIN.86	:	×1:	12321	23432341	234323			
			x2:	12321	23432312	343		B/S	
		e	×3:	12321	23431234	•			*
	MIN.87	:	x,:	12345	6				
	. •		x.:	12345	34			D/E	
			2						
	1.		×3:	12345	323		Sec.		
	MIN.88	•	x ₁ :	12345	63234561	2345645	and the second	L/S	
		*	×2:	12345	63234565	1234564	51234563	23456123	456345
·			x.;:	12345	63234565	1234563	45		
	44		3						N. J.

MIN.89	9:	×1	:	ai	fd								
		×2	:	bf	fd	a	f	e	cd			L/	/S
		×3	:	bi	fe	c	d						
where	a	=	12	34	15	4							
	b	=	12	34	15	3	4						
	с	=	12	34	15	2	3	4	•				
	d	=	12	34	15	3	2:	34	15			8	
	е	=	12	34	15	3	2:	34	15	4			
	f	=	12	34	15	3	2:	34	15	2:	34		

Chapter 9: Non-versatility of MAX

It is the purpose of the present chapter to show that none of the digraphs of MAX are versatile. We commence by proving a useful theorem. First we make a definition:

<u>Definition:</u> Suppose that v is a non-repetitive word of type ω , on alphabet $\Sigma = \{a_1, a_2, \dots, a_n\}$. An $\underline{a_1 - block}$ is a subword w of v so that $\underline{a_1}$ is a prefix of w, w contains exactly one $\underline{a_1}$, and w appears in the context wa₁ in v.

<u>Block Theorem:</u> Let a, b, c, d be words over some alphabet Σ such that b is a prefix of c, which is a prefix of d. Then a, b, c, d cannot be concatenated to form a non-repetitive word of type ω .

<u>Proof:</u> Suppose that a, b, c, d could be concatenated to form a non-repetitive word v of type ω . Suppose that the word a does not appear in v infinitely often; then word b never occurs, as bc and cd contain repetitions. But then g never occurs, for c cannot be followed by d in a

non-repetitive word. This leaves the single word d, which of sourse cannot be concatenated with itself to form any non-repetitive words.

Thus we may assume that v contains the word a. Since v is an ω word, assume without loss of generality that v commences with the word a. In fact assume without loss of generality that every one of the words a, b, c, d appearing in v occurs infinitely often in v. We may think of v as a "meta-word", whose letters are a, b, c, d. If we parse v, chopping it into pieces at each occurrence of a, the possible a-blocks are:

ab

ac

adc

B: ad

A: acb

.

D:

C: adb

E: adcb

We never, of course, find subwords bc, bd, cd in v, as these contain repetitions. Moreover, of these a-blocks, only A, B, C, D, and E can appear infinitely often in v; the piece ab is a prefix of the other pieces, and thus never appears in v. (What would follow it in v?) Again, once we have disposed of piece ab, piece ac is a prefix of all the other pieces and cannot be used either. Thus v is concatenated from pieces A, B, C, D, E. We assume without loss of generality that each of these pieces appearing in v does so infinitely often.

The eccentric lettering of these pieces (B, A, D,

C, E) simply makes note of the fact that B is a prefix of C, which is a prefix of D, a prefix of E. We now take our argument one level deeper; as B is a prefix of C, which is a prefix of D, a prefix of E, v must contain block A. Parse v by chopping it up wherever the piece A appears followed by an a. Offhand, we get several pieces. However some of these A-blocks can only appear finitely often in v, and can hence without loss of generality, be assumed not to occur in v.

<u>AB</u> (1), <u>AC</u> (1), <u>AD</u> (4), AE

<u>AED</u> (2), <u>AEC</u> (2), <u>AEB</u> (2), ADC, ADB, <u>ACB</u> (3)

AEDC (2), AEDB (2), AECB (2), ADCB

AEDCB (2)

A.

<u>Notes</u>:(1) As the block AB is a prefix of all the other blocks, it cannot appear in v. However, the block AC is a prefix of every block but AB, and hence AC cannot appear in v either.

(2) Here AEX (where X is B, C or D) will contain cb adcb ad, a repetition. Thus no block containing such a

word can appear in v.

(3) Here ACB contains the repetition badbad.

(4) After the eliminations of (1) and (3), AD is a prefix of the remaining blocks, and must be discarded.

We are left with four A-blocks to concatenate to form v: α : AE

β: ADB

v: ADC

S: ADCB

We have almost come full circle; here β is a prefix of γ , a prefix of 5. Again a must appear in v. However, we now have quite a lot of conditions on α , β , γ , 5. By our examination of a-blocks, we know that the blocks resulting when v is chopped into pieces at α are:

αδ <u>ατρ</u> αδτ αδρ αδτρ

However here $\alpha \gamma \beta \supset E$ ADC ADB \supset bADadbADad, a repetition, so that the block $\alpha \gamma \beta$ can never appear in v. However, once $\alpha \gamma \beta$ has been discarded, $\alpha \delta$ is a prefix of the four remaining blocks and must also be discarded. This leaves? three blocks, $\alpha \delta \beta$, $\alpha \delta \gamma$, $\alpha \delta \gamma \beta$, with the first a prefix of the other two. One checks that there are no non-repetitive words of length greater than three on two letters. Since v therefore could not be formed from two blocks, we have a contradiction. Thus words a, b, c, d cannot be concatenated to form a non-repetitive word of type w.D

Using this Block Theorem, and similar arguments, we show that none of the digraphs of MAX is versatile.

MAX.1

Suppose that we could walk some non-repetitive word v of type ω on MAX.1. If v contains no 2, then v can be walked on one of the strongly connected components of MAX.1 \ { 2 }. However none of these components has more than two vertices, so that this is impossible.

Parse v by chopping it into pieces commencing with 2. The possible 2-blocks on MAX.1 are:

a:	21
b :	23
c:	2345
d: 234565

By the Block Theorem, these words cannot be concatenated to form v. This is a contradiction and we conclude that MAX.1 is not versatile.

MAX.2

The proof that MAX.2 is not versatile is more involved. Suppose that MAX.2 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.2. If v contains no 3, then v is walked on one of the strongly connected components of MAX.2 \ { 3 }, which is impossible, as each of these components consists of a single vertex. By analyzing the 3-blocks of v which could be walked on MAX.2, we can conclude that MAX.2 is not versatile.

Level 1: 3-blocks:

a: 34567
b: 345612
c: 3452
d: 342
e: 32

One checks that these are all the 3-blocks on MAX.2.

Next, looking at v as composed of letters a, b, c, d, e, we look at b-blocks. (Note that v must contain a b; otherwise v is composed of blocks a, c, d, e. This possibility is not excluded by the Block Theorem above, however the proof that it cannot occur follows almost exactly the proof of the Block Theorem, and is therefore here omitted.) Here are the b-blocks of which v could be composed:

Level 2: b-blocks:

ba, <u>bc</u> (1), <u>bd</u> (1), <u>be</u> (1)

The underlined two-letter words never appear in v, as will be shown in note (1) of the comments below. We therefore omit looking at any blocks on three or more letters that contain these words. Call a word which cannot appear in v more than finitely often <u>illegal</u>. Clearly no block containing an illegal word can appear in v more than finitely often, so that such blocks may be discarded without loss.

bac, bad, bae

baca, bacd (1), bace (1), bada, badc (1),

bade (1), baea (2), baec (1), baed (1)

Again, the underlined blocks are illegal, and this decreases the number of blocks on five or more letters we need to examine.

bacac (3), bacad, bacae, <u>badac</u> (4), <u>badad</u> (5), badae

bacada, <u>bacadc</u> (1), <u>bacade</u> (1), <u>bacaea</u> (2), <u>bacaec</u> (1), <u>bacaed</u> (1), <u>badaea</u> (2), <u>badaec</u> (1), <u>badaed</u> (1)

bacadac (4), bacadad (5), bacadae

bacadaea (2), bacadaec (1), bacadaed (1)

Notes on the b-blocks

(1) The words 2e3, 2d34, 2c345 are repetitions, hence illegal. Since each b-block starts with 3, we therefore see that b-blocks containing the piece 2e may be discarded. Thus the words de, ce, be are illegal. This means in particular that d, whenever it appears in v, is always followed by a b-block commencing 34.

It follows that ed, cd, bd are illegal.

As cd and ce are illegal, c is always followed by 345 in any b-block. Thus ec, dc, bc are illegal. (2) The particle aea is always preceded by a 2 and followed by a three, and thus contains 2a3 2a3. Thus aea is illegal.

(3) Here acac is a repetition. We thus discard any block containing acac.

(4) The block contains 2a34 2a34.

(5) Here adad is a repetition.

Summary of usable b-blocks

Let us here list the b-blocks which we have not yet eliminated:

<u>ba</u> (1), bac, <u>bad</u> (6), <u>bae</u> (5), <u>baca</u> (3), <u>bada</u> (2), <u>bacad</u>, <u>bacae</u>, badae, bacada (4), bacadae

Again, many of these blocks must be discarded.

(1) The block ba is a prefix of all the other usefulb-blocks, and thus can't be followed by any of them in a

non-repetitive word.

(2) Leads to bada 34 > 2434 2a34.

(3) Gives baca 345 ⊂ 2a345 2a345

(4) Here this block appears only in the context bacada34 which contains 2ada34 = 2a34 2a34.

(5) After the elimination of ba, baca, bada, bacada, the only context in which this block could appear is 2 bae ba3 = 2ba3 2ba3.

(6) Now this block appears only in context 2badba34 =2ba34 2ba34.

We are left with five b-blocks:

A: bac

B: badae

C: bacad

D: bacae

+: bacadae

After one more level of blocks, we are done. Note that A < C < D < E in the sense that the words AC, AD, AE, CD, CE, DE are illegal, so that v must contain a B. Level 3: B-blocks:

BA, BC, BD, BE,

BAC (1), BAD (1), BAE (1), BCA, BCD, BCE (2), BDA (3), BDC (3),

BDE (3), BEA (4), BEC (4), BED (4)

BCAC (1), BCAD (1), BCAE (1), BCDA, BCDC (5), BCDE (5)

BCDAC (1), BCDAD (1), BCDAE (1)

Notes on the B-blocks

(1) A is a prefix of C, D, E.

(2) C is a prefix of E.

(3) Here BDbac \supset adaebac adaebac, so that BDX is illegal, where X is A, C or E.

(4) BEbac ⊃ adaebac adaebac.

(5) Block contains CDbacad ⊃ 2baca3 2baca3.

Summary of useful B-blocks

BA (1) BC (4) BD (2) BE (3) a: BCA

p: BCD

7: BCDA

Notes: (1) Must be discarded, since it is a prefix of the others.

(2) Once (1) is gone, this block always appears in the context 2BDBbaca > 2Bbaca3 2Bbaca3.

(3) This word EBb is illegal, as it contains adaeb adaeb.

(4) After (1), (2), (3) are gone, this block is a prefix of the remaining blocks.

We are thus left with blocks α , β , τ with which to form a non-repetitive word of type ω . However, as β is a prefix of τ , $\beta\tau$ contains a repetition. Recall from our remarks in Chapter 1 that if α , β , τ can be concatenated to form a non-repetitive ω word, then $\alpha\beta$, $\alpha\tau$, $\beta\alpha$, $\beta\tau$, $\tau\alpha$, $\tau\beta$ must each be non-repetitive, We thus conclude that MAX.2 is not versatile.

Having given some details for MAX.1, MAX.2, we give less detail for the other cases, as there are, after all, 26 digraphs in MAX.

MAX.3

Suppose that v is a non-repetitive ω word on MAX.3 Then v must contain a 3, since MAX.3 \ { 3 } has only trivial strongly connected components.

Level 1: 3-bl'ocks:

a: 32
b: 342
c: 34512
d: 3456
e: 34562

Suppose that v contains no d. Then a occurs only as 2a3, a repetition. Thus v contains no a. With a, d excluded, b must occur as 2b34 , a repetition, so that v contains no d, b or a. This is impossible.

Level 2: d-blocks:

da, db, dc, de (2)

dab (1), dac, dae, dba (1). dbc, dbe, dca (1), dcb (1), dce

daca (1), dacb (1), dace, daea (1), daeb (1), daec, dbca (1), dbcb (1), dbce, dbea (1), dbeb (1), dbec,

dcea (1), dceb (1), dcec

â

dacea (1), daceb (1), dacec, daeca (1), daecb
(1), daece, dbcea (1), dbceb (1), dbcec, dbeca (1), dbecb
(1), dbece, dceca (1), dcecb (1), dcece (3)

daceca (1), dacecb (1), dacece (3), daecea (1), daeceb (1), daecec (4), dbceca (1), dbcecb (1), dbcece (3), dbecea (1), dbeceb (1), dbecec (4)

Notes on the d-blocks

(1) The word 2a3 is a repetition. Since each d-block starts with 3, we therefore see that the combinations ba, ca, da cannot appear. This means that b, whenever it appears in a non-repetitive of type ω , is always followed by a block commencing 34. However, 2 b 34 is a repetition. Thus blocks ab, cb, eb must not be used.

(2) The block d is a prefix of e.

(3) Here ce repeats.

(4) The block contains ec ec.

Summary of useful d-blocks

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da (1), db, dc (4),

dac, dae (2), dbc, dbe (2), dce (2)

dace (2), daec, dbce (2), dbec, dcec (5)

dacec (3), daece (2), dbcec (3), dbece (2),

Notes

- (1) Leads to 2 da d3 = 2d32d3, a repetition.
- (2) As the word 2e d is a repetition.
- (3) Since 2cec d ⊃ 2cd 2cd, a repeat.

(4) After eliminations (1) - (3), all remaining blocks
except for db end in c. Thus dc occurs in context 2 db dc
> 2d342d34, or in context c dc d, both repetitions.

(5) As in elimination (4), this-block is preceded either by db or c, giving rise to word 2 db dc, which contains a repetition, or c dce \supset cdcd.

We are left with five d-blocks:

A: db

- B: dbc
- C: dbec
- D: dac

After one more level of blocks, we are done. If D does not occur in v, then v is concatenated from A, B, C, E, and A \lt B \lt C in the sense that AB, AC, BC are illegal. Here BC is illegal because it must occur in the context cBC \supset cdbcdb. Arguing analogously to the proof of the Block Theorem, we get a contradiction.

Level 3: D-blocks:

DA, DB, DC, DE

DAB (1), DAC (1), DAE, DBA (1), DBC (1), DBE, DCA, DCB, DCE (1), DEA, DEB, DEC (1)

DAÉA, DAEB, DAEC (1), DBEA, DBEB, DBEC (1), DCAB (1), DCAC (1), DCAE, DCBA (1), DCBC (1), DCBE, DEAB (1), DEAC (1), DEAE, DEBA (1), DEBC (1), DEBE

DAEAB (1), DAEAC (1), DAEAE (2), DAEBA (1), DAEBC (1), DAEBE, DBEAB (1), DBEAC (1), DBEAE, DBEBA (1), DBEBC (1), DBEBE (3), DCAEA, DCAEB, DCAEC (1), DCBEA, DCBEB, DCBEC (1), DEAEA (4), DEAEB (4), DEAEC (1), DEBEA (5), DEBEB (6), DEBEC (1) DAEBEA, DAEBEB (6), DAEBEC (1), DBEAEA (4), DBEAEB (4), DBEAEC (1), DCAEAB (1), DCAEAC (1), DCAEAE (2), DCAEBA (1), DCAEBC (1), DCAEBE, DCBEAB (1), DCBEAC (1), DCBEAE, DCBEBA (1), DCBEBC (1), DCBEBE (3)

DAEBEAB (1), DAEBEAC (1), DAEBEAE, DCAEBEA, DCAEBEB (6), DCAEBEC (1), DCBEAEA (4), DCBEAEB (4), DCBEAEC (1)

DAEBEAEA (4), DAEBEAEB (4), DAEBEAEC (1), DCAEBEAB (1), DCAEBEAC (1), DCAEBEAE

DCAEBEAEA (4), DCAEBEAEB (4), DCAEBEAEC (1)

Notes on the D-blocks

(1) A is a prefix of B, C. B is a prefix of C. The word cBA is a repetition, and also appears in cBC. The word CE is illegal as it appears in the context CEd3 >2ecd32ecd3. Also CD is illegal, leading to CDd3 >2cd32cd3. This CE is always followed by A or B, and hence db. The word EC therefore appears in context ECdb >ecdbecdb.

(2) Contains AEAE.

- (3) Contains BEBE.
- (4) Contains EAEA.
- (5) Contains cEBEA = c E db c E db.
- (6) Contains EBEB.

Summary of useful D-blocks

DA, DB (1), DC (1), DE (1)

DAE (1), DBE (1), DCA, DCB (1), DEA, DEB (1)

DAEA, DAEB (1), DBEA, DBEB (1), DCAE (1), DCBE (1), DEAE (1), DEBE (1)

DAEBE (1), DBEAE (1), DCAEA, DCAEB (1), DCBEA, DCBEB (1)

DAEBEA, DCAEBE (1), DCBEAE (1),

DAEBEAE (1), DCAEBEA,

DCAEBEAE (1)

Notes: (1) A combination (d-block other than A) D d3 will contain 2c d32c d3, a repetition. Summary of remaining blocks

- DA (1)
- DCA (3)
- DEA (2)
- DAEA (4)
- DBEA (6)
- DCAEA (5)
- a: DCBEA
- **β**: DAEBEA
- r: DCAEBEA

Notes

(1) Appears as A DA D.

(2) After (1) is gone, appears as 2ecA DEA Dd3, a repetition.

(3) After (2) is gone, this block always appears in the context

EA DCA Ddb \supset ec A D dbec A D db.

(4) After eliminations (1) - (3), this block appears only as EA DAEA Ddb, a repetition.

(5) Here DCAEA D3 ⊃ 2ec A d32ec A d3, a repeat.

(6) After (1) - (5) are eliminated, this block appears

only as

1

BEA DBEA D.

We are thus left with blocks α , β , γ with which to form a non-repetitive word of type ω . However, it follows from our remarks in Chapter 1, in the first open problem, that if ν is a non-repetitive word concatenated from α , β , γ , then ν must contain all of the three letter subwords $\alpha\beta\gamma$, $\alpha\gamma\beta$, $\beta\alpha\gamma$, $\beta\gamma\alpha$, $\gamma\beta\alpha$, $\gamma\alpha\beta$. We conclude that MAX.3 is not versatile.

MAX.4

Suppose that MAX.4 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.4. One checks that MAX.4 \ {1} may be reduced to the three element path, and is not versatile. Therefore v must contain a 4. By analyzing the 1-blocks of MAX.4, we can conclude that MAX.4 is not versatile.

Level 1: 1-blocks:

12345 (1) 1234565 (2) a: 1234562345 b: 123456345 12345632345 (3)

c: 1234563234565

d: 1234563234562345

Notes on the 1-blocks

(1) This block is a prefix of the others, and hence must be discarded.

(2) After (1) is gone, this block always appears in the context 5 1234565 123456, which is a 'repetition.

(3) This block is a prefix of c and d, and hence cannot be followed by them in a non-repetitive word. However, 2345 a 123456 is a repetition, as is 345 b 123456, so that the block must be discarded once (1) and (2) are gone.

We must include block c in v; otherwise block b can only occur in the context 345 b 123456, which is impossible. We are then left with only the two blocks a and d.

Level 2: c-blocks:

ca, cb, cd (1)

cab (2), cad (3), cba, cbd

cbab (2), cbad (3), cbda (3), cbdb (4)

Notes on the c-blocks

(1) The word 5cd is a repetition.

(2) Since ab123456 contains a repetition.

(3) The word da is illegal. Thus ad is illegal as ada is illegal and adb, adc contain repetitions.

(4) The word db is illegal as it appears in the context db123456 > 345b123456, which is a repetition.

Summary of useful c-blocks

a: ca

cb (1)

β: cba

7: cbd

(1) 345 cb c123456 = 345 c 123456 345 c 123456

The three blocks α , β , γ cannot be concatenated to form a non-repetitive word of type ω , since $\alpha\beta\gamma$ contains a repetition. Thus MAX.4 is not versatile.

MAX.5

Suppose that MAX.5 is versatile, and let v be a

non-repetitive word of type ω walkable on MAX.5. One checks as in the previous case that 1 occurs in v.

Level 1: 1-blocks:

- 123456 (1)
- 12345676 (2)
- a: 123456756
- b: 12345673456
 - 1234567323456 (3)
- c: 123456732345676
- d: 1234567323456756

Notes on the 1-blocks

(1) This block is a prefix of the others, and is discarded.

(2) After (1) is gone this block always appears in context 6 12345676 1234567, a repetition.

(3) This block is a prefix of c and d, and hence cannot be followed by them in a non-repetitive word. However, 56 a 1234567 is a repetition, as is 3456 b 1234567, so that the block is discarded.

The word bac contains a repetition, so that we cannot concatenate v from a, b, c alone.

Level 2: d-blocks:

da (1), db, dc (2)

dba (1), dbc

dbca, dbcb

dbcab, dbcac (4), dbcba (1), dbcbc (3)

dbcaba (1), dbcabc (5)

Notes on the d-blocks

5

(1) The word 56 a 1234567 is a repetition so that ba and da are illegal..

1

(2) Since 56dc is a repetition.

(3) Here bcbc is a repetition.

(4) Since dbcac $1234567 \Rightarrow 56c1234567 56c1234567$, a repetition.

(5) Here dbcabc 1234567 will contain the repetition 56bc1234567 56bc1234567.

Summary of useful d-blocks

- db (1)
- dbc (2)
- a: dbca
- β: dbcb
- v: dbcab

(1) A prefix of the remaining blocks.
(2) A prefix to the blocks remaining after the elimination of (1).

The three blocks α , β , γ cannot be concatenated to form a non-repetitive word of type ω , since $\alpha\gamma$ contains a repetition. Thus MAX.5 is not versatile.

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MAX.6

Suppose that MAX.6 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.6. As in the previous two cases, v must contain a 1.

Level 1: 1-blocks:

123 (1)

1234	(2)
------	-----

a: 123454

- b: 123453
- c: 1234534
- d: 12345323
- e: 123453234

f: 12345323454 (3)

Notes on the 1-blocks

(1) This block is a prefix of the others.

(2) After (1) is gone, this block is a prefix of the others.

(3) The words bf, cf, df, ef, af are illegal. This last, af, is illegal since fa is illegal and af123453 ⊃ 23454123453123454123453.

Suppose that c does not occur in v. Then v is formed from a, b, d, e, and b is a prefix of d, a prefix of e, a prefix of f. This is impossible by the Block Theorem.

To show that MAX.6 is not versatile, we consider blocks <u>ending</u> in c. Note that since cf contains a repetition

Level 2: reverse c-blocks:

ac (2), bc (1), dc, ec (2),

adc, bdc (1), edc (3),

badc, dadc (4), eadc (5), abadc (6), dbadc (7), ebadc,

aebadc, bebadc (1), debadc (1)

baebadc (8), daebadc, eaebadc (5)

adaebadc (9), bdaebadc (1), edaebadc (3)

Notes on the reverse c-blocks

(1) The words bc, bd, be, bf, de, df, ef contain repetitions.

(2) Here 4c gives either 4 c 123453, a repetition, or 4 c a 12345 \Rightarrow 4 a 12345, a repetition.

(3) Here ed leads to one of be, de, or'4 ed, each repetitions.

(4) Leads to bd or 4dad12345.

(5) As 4 a 12345 is a repetition.

(6) Since ab is repeated.

(7) Contains 312345312345.

We get 3b12345 or 4 bae 4 bad. (8)

(9) Since ad is repeated.

Summary of useful reverse c-blocks

dc (1) adc (2) badc (3) ebadc (4) aebadc (2) daebadc

(1) A suffix of the remaining blocks.

(2) As ca leads to 4 123454 12345.

5

(3) After (1) - (2) are gone, this block is a suffix of the remaining blocks.

(4) Appears in the context 4badc ebadc d, a repetition.

MAX.6 is not versatile.

MAX.7.

Suppose that MAX.7 is versatile, and let v be a non-repetitive word of type w walkable on MAX.7. Again, MAX.7 \ [1] reduces to a three element path. The 1-blocks

of MAX.7 are

a: 1232

b: 123456

c: 12345676

d: 1234567656

Thus by the Block Theorem, MAX.7 is not versatile.

MAX.8

Suppose that MAX.8 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.8. We check that MAX.8 \ {4} reduces to a three element path. The 4-blocks of MAX.8 are

a: 4123 b: 45

c: 4563

d: 456323

Thus by the Block Theorem, MAX.8 is not, versatile.

MAX.9

Suppose that MAX.9 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.9. We check that MAX.9 \ {1} is mimicked by MAX.7, which has been shown not to be versatile. One may cherefore assume that

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v contains a 1.

Level 1: 1-blocks:

12345 (1) a: 1234562345 12345645 (2) b: 1234564345 c: 123456434562345 (3) d: 123456434562345645 e: 12345643456234564345

Notes on the 1-blocks

(1) This block is a prefix of the others.

(2) After (1) is gone, this block always appears in the context 45 12345645 123456, which is a repetition.

Level 2: a-blocks:

ab, ac, ad, ae

abc (1), abd (1), abe (1), acb (2), acd (1), ace (1), adb, ado, ade (1), aeb (3), aec, aed (4)

adbc (1), adbd (1), adbe (1), adcb, adcd (1), adce (1), aecb, aecd (1), aece (1) adcbe (1), adcbd (1), adcbe (1), aecbc (1), aecbd (1),

aecbe (1)

Notes on the a-blocks

(1) The words bc, bd, be, cd, ce, de are illegal.

(2) Since acb ⊃ 62345b 62345b.

(3) The word aeb1 contains 2345643451 2345643451.

(4) The word aed contains a repetition of

34512345643456234564.

Summary of useful a-blocks

-	- 4					ě.	
	ab	(2)			
	ac	(1)			
	ad	(3)	. *		
*	ae	(4)		*	
•	add	•	(1)		
	adt * aec	,	(1)		
	add	eb	,				
	aec	eb	,		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		

α:

(1) ca $123456 \Rightarrow 2345 \ 1234562345 \ 123456$.

(2) Prefix of other blocks.

(3) After elimination (2), the a-block ad must occur in the context 5adae, which contains a repetition..

(4) Among the remaining blocks, as appears either in the context asas, or as b as ad $> (345 \text{ a } 12345643456234564)^2$. The three blocks α , β , τ cannot be concatenated to form a non-repetitive word of type ω , since $\alpha\beta$ contains a repetition. Thus MAX.9 is not versatile

Suppose that MAX.10 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.10. One checks that vertex 4 must occur in v. However, MAX.10 is versatile if and ony if its reverse is. The 4-blocks of the reverse of MAX.10 are

a: 43 b: 432 c: 43215

d: 465

ÁX.1

Invoking the Block Theorem, MAX.10 is not versatile.

MAX.11

One checks that 4 cannot be discarded from MAX.11. The 4-blocks of the reverse of MAX.11 are

- a: 432
- b: 4321
- c: 43212
- d: 45

This is impossible by the Block Theorem. Thus MAX.11 is not versatile.

MAX.12

are

Suppose that MAX.12 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.12. One checks that 1 must appear in v. The 1-blocks of MAX.12

The block 12 is not useful, as it is a prefix of the other blocks. Similarly, the blocks 123, 12345 are eliminated from consideration. However, by the Block Theorem, the remaining blocks cannot be concatenated to form a non-repetitive word of type ω . Thus MAX.12 is not versatile.

MAX.13

4

Suppose MAX.13 is versatile for some q. Let v be a non-repetitive word of type ω walkable on MAX.13. One checks that we may assume that v contains a 1. The 1-blocks of MAX.13 are:

12 123 1234

1234...(q-1) 123...q2 123...q23 123...q23

123...q234...(q-1)

We see that the block 12 is a prefix of all the other blocks, and hence cannot appear in v. Again, the block 123 is a prefix of all the other blocks excluding 12, and hence can never be used in v. Continuing in this way, we can eliminate all the blocks in order, showing that none of them can be used in v, which is a contradiction. Thus MAX.13 is not versatile for any q.

MAX.14

Suppose that MAX.14 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.14. One checks that v may be assumed to commence with 1. The 1-blocks of MAX.14 are

12, which is discarded

a: 1232

· b: 1234

c: 123456

d: 12345676

By the Block Theorem, these blocks cannot be concatenated to form a non-repetitive word of type ω . Thus MAX.14 is not versatile.

MAX.15

Suppose that MAX.15 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.15. One checks that v may be assumed to contain a 5. The 5-blocks of MAX.15 are

a: 56

b: 51234

c: 512343234

Since bc contains a repetition, these blocks cannot be concatenated to form a non-repetitive word of type ω . Thus MAX.15 is not versatile.

MAX.16

Suppose that MAX.16 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.16. One checks that v may be assumed to contain a 3 .The 3-blocks of MAX.16 are

a: 312

b: 342

c: 345

Since abc contains a repetition, these blocks cannot be concatenated to form a non-repetitive word of type ω . Thus MAX.16 is not versatile.

MAX.17

Suppose that MAX.17 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.17. One checks that v may be assumed to contain a 3.

Level 1: 3-blocks:

a: 32
b: 312
c: 3412
d: 345
e: 34512

One checks that v may be assumed to contain a d.

Level 2: d-blocks:

da, dbs dc, de (1)

dab, dac, dae. dba (2), dbc (3), dbe (5), dca

(2), dcb (4), dce (5)

daba (2), dabc (3), dabe (5), daca (2), dacb (4),

dace (5), daea (2), daeb (4), daec (3) Notes on the d-blocks

(1) The word de contains a repetition.

(2) Since 2a3 is a repetition.

(3) Here 12c will either appear in context12c34, a repetition,

12cb3 > 12b3, a repetition,

or $12ca3 \supset 2a3$, a repetition.

(4) As noted above, 12b3 is a repetition.

(5) Here 12e will appear in one of the following contexts:

12 e 345 , a repetition,

12 e c > 12 c, leading to a repetition as in (3) above,

12 e a > 2 a, leading to repetition as in (2) or 12 e b > 12 b, leading to the repetition of (4). Summary of useful d-blocks

da (1)

db (2)

dae 2

A: dc

B: dab C: dac

D:

(1) 2dad3 is a repetition.

(2) After the elimination (1), this block appears in context

12 db d3, a repetition

Thus if MAX.17 is versatile, a non-repetitive word can be composed of blocks A,B,C,D. However this would imply that the following blocks could be concatenated to form a non-repetitive word of type ω :

- A': 12d34
- B': 12da3
- C': 12da34
- D': 12da345

As this is impossible by the Block Theorem, MAX.17 is not versatile.

MAX.18

Suppose that MAX.18 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.18. One checks that v may be assumed to contain a 1.

Level 1: 1-blocks:

12345 (1)

123456 (2)

a: 1234565

b: 123456345

12345632345 (3)

c: 123456323456

d: 1234563234565

Noteston the 1-blocks

(1) This block is a prefix of the others.

(2) After (1) is gone, this block is a prefix of the remaining blocks.

(3) This block is a prefix of c and d, and hence cannot be followed by them in a non-repetitive word. However, 5 a 123456 is a repetition, as is 345 b 123456, so that this block is also is discarded.

One checks that v may be assumed to contain a c.

Level 2: c-blocks:

ca, cb, cd (1)

1

cab, cad (2a), cba (1), cbd

caba (1), cabd, cbda (1), cbdb

cabda (1), cabdb, cbdba (1), cbdbd (3)

cabdba (1), cabdbd (3)

Notes on the c-blocks

(1) The word 5a leads to a repetition 5 1234565 123456, so that words ba, da are not useful. The word cd contains a repetition.

(2) Since da is illegal, so is ad, which must occur in the context adx, x = b or c, hence ad1234563 \supset 23456512345632345651234563.

(3) Repeats bd.

Summary of useful c-blocks

ca (2)
cb (3) cab (4) cbd (1) cabd (1) cbdb cabdb

(1) As $5dc \supset 5 1234563234565 123456323456$.

(2) For, 5 cac $123456 \Rightarrow 5c1234565c123456$.

(3) After (1), (2) are gone, cb always appears in context b cb c.

(4) Here db cab c 1 ⊃ 234565 b c 1234565 b c 1.

We are left with only two blocks. Thus MAX.18 is not versatile.

MAX.19

Suppose that MAX.19 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.19. One checks that v may be assumed to contain a 1.

s.

Level 1: 1-blocks:

1234	(1)

12345 (2)

a: 12345234

b: 1234534

123453234 (3)

c: 1234532345

d: 1234532345234

Notes on the 1-blocks

(1) This block is a prefix of the others.

(2) After (1) is gone, this block is a prefix of the remainder.

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(3) This block is a prefix of c and d, and hence cannot be followed by them in a non-repetitive word. However,
234 a 12345 is a repetition, as is 34 b 12345, so that the block is discarded.

One checks that v may be assumed to contain a c.

Level 2: c-blocks:

ca, cb, cd (1)

cab (2), cad (3), cba (1), cbd

cbab (2), cbad (3), cbda (4), cbdb (2) Notes on the c-blocks

(1) The word cd contains a repetition.
(2) Since 34 b 12345 is a repetition.
(3) Here ad leads to ad123453, a repetition, or adb12345, a repetition. (See (2).)
(4) Since 234 a 12345 is a repetition.

Summary of useful c-blocks

cb (1)

a: ca

 β : cba

v: cbd

(1) As 34 cb c 12345 is a repetition.

The three blocks α , β , γ cannot be concatenated to form a non-repetitive word of type ω , since $\beta \alpha \gamma$ contains a repetition. Thus MAX.19 is not versatile.

MAX.20

Suppose that MAX.20 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.20. One checks that v may be assumed to contain a 6, since MAX.20 \ {6} is mimicked by MAX.19.

Level 1: 6-blocks

612345 (1)

61234532345 (2)

- a: 612345323412345
- b: 6123453412345
- c: 612345341234532345
- d: 6123453412345323412345
- e: 61234534123453234123453412345

Notes on 6-blocks

(1) This block is a prefix of the others.

(2) After elimination (1), this block always occurs in the context 23456 12345323456 1234563, which is a repetition.

One checks that v may be assumed to contain an a. Level 2: a-blocks:

ab (1), ac, ad (2), ae

acb, acd (2), ace (2), aed (2), aec, aeb (2)

aecb, aecd (2), aece (2), aebc (2), aebd (2), aebe (2)

aecbc (2), aecbd (2), aecbe (2)

Notes on the a-blocks

(1) The word ab always occurs in the context

ab 612345 > 3412345 612345 3412345 612345, a repetition.

(2) The following words contain repetitions:

da6, ada6, ad61234534

(Thus ad is "illegal.)

bc, bd, be, cd, ce, de eb6, aed.

Summary of useful a-blocks

ac (1) B: ae A: acb C: aec D: aecb

(1) As 5acae is illegal.

By the Block Theorem, MAX.20 is not versatile.

32.13

MAX.21

Suppose that MAX.21 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.21. One checks that v may be assumed to contain a 1. The 1-blocks of MAX.21 are

	123 (1)
	1234 (2)
a:	12345
ь:	12343
c:	1234323
d:	12343234

123432345 (3)

Notes: Blocks (1) and (2) are eliminated as prefixes. Block (3) cannot be preceded by any block but a, and thus must appear in one of two contexts: a 123432345 12343 > 234512343 234512343 a 123432345 12345 1 > 23451 23451.

By the Block Theorem, the remaining blocks cannot be

concatenated to form a non-repetitive word of type ω . Thus MAX.21 is not versatile.

MAX.22

Suppose that MAX.22 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.22. One checks that v may be assumed to contain a 1. The 1-blocks of MAX.22 are

12

123

1234

a: 123456

b: 123452

c: 1234523

d: 12345234

The first three blocks are eliminated in turn, as prefixes. By the Block Theorem, the remaining blocks cannot be concatenated to form a non-repetitive word of type ω . Thus MAX.22 is not versatile.

MAX.23

Suppose that MAX.23 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.23. One checks that v may be assumed to contain a 1. The 1-blocks of MAX.23 are

12, which is discarded

- a: 1232
- b: 12345
- c: 123456
- d: 1234565

By the BLock Theorem, these blocks cannot be concatenated to form a non-repetitive word of type ω . Thus MAX.23 is not versatile.

MAX.24

a:

Suppose that MAX.24 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.24. One checks that v may be assumed to contain a 1. The 1-blocks of MAX.24 are

12, which is discarded 1232

b: 1234

c: 12345

d: 123456

By the Block Theorem, these blocks cannot be concatenated to form a non-repetitive word of type ω . Thus MAX.24 is not versatile.

MAX.25

Suppose that MAX.25 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.25. One checks that v may be assumed to contain a 2. By analyzing the 2-blocks of MAX.25, we can conclude that MAX-25 is not versatile.

Level 1: 2-blocks:

a: 21 b: 234 c: 23454 d: 23451

One checks that v may be assumed to contain an a.

Level 2: a-blocks:

ab, ac, ad

abc (1), abd (1), acb, acd, adb, adc (2)

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acbc (1), acbd (2), acdb, acdc, adbc (1), adbd (2)

acdbc (1), acdbd (1), acdcb, acdcd (3)

acdcbc (1), acdcbd (1)

Notes on the a-blocks

The word b is a prefix of c and d.(2) This block
 followed by 3 contains 2a3 2a3.

(2) Here adc ⊃ 1234512345.

(3) The word cd repeats.

Summary of useful a-blocks

ab (2) F: ac ad (1)

Α:	adb
	acd (1)
в:	acb
c :	acdb
D:	acdc

E: acdcb

Notes

(1) The block da2 \Rightarrow 1212.

(2) A prefix of the other blocks.We are left with five a-blocks:

After one more level of blocks, we are done.

Level 3: A-blocks:

AB, AC, AD, AE, AF (1)

ABC (2), ABD (2), ABE (2), ACB, ACD (3), ACE (3), ADB, ADC (6),

ADE (4), AEB (5), AEC, AED (7)

ACBC (2), ACBD (2), ACBE (2), ADBC (2), ADBD (2), ADBE (2), AECB,

AECD (3), AECE (3),

AECBC (2), AECBD (2), AECBE (2).

Notes on the A-blocks

A prefix of the other blocks.
 B is a prefix of C, D, E.
 C is a prefix of D, E.
 D prefix of E.
 Here AEBa ⊃ cb acb a.
 As DC contains dcac2 ⊃ 1c21c2.

(7) As AED > b acdcb acdc.*

Summary of useful A-blocks

AB	(1)	
AC	(2)	
AD	(6)	
AE				
ACB	k	(5)
ADB	1	ĺ	4)
AEC		(3)
AEC	B			

Notes: (1) Prefix.

(2) Once (1) is gone, this block is a prefix.

(3) Leads to CA a > db adb a

(4) DB \supset dcac2 \supset 1c2 1c2.

(5) ACB \supset db acdb ac.

(6) After (1) - (5) are gone, this block is prefix of the remainder.

We are thus left with only two blocks. These blocks cannot be concatenated to form a non-repetitive word of type ω .

MAX.26

Suppose that MAX.26 is versatile, and let v be a non-repetitive word of type ω walkable on MAX.26. One checks that v may be assumed to contain a 6. The 6-blocks of MAX.26 are

67, which is discarded

a: 6787

b: 67812345

c: 678123454345

d: 6781234543452345

By the Block Theorem, these blocks cannot be concatenated to form a non-repetitive word of type ω . Thus MAX.26 is not versatile.

We have now established that none of the digraphs of MAX are versatile.

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Appendix I: Digraphs of MIN





























t



288 "











MIN.18



290















MIN.24









0





MIN.29



MIN.30

A





MIN.32 .





























MIN.42















MIN.47















MIN.53










302















i

Ĩ













D















































MIN.86 -

5)

1





Appendix II: Digraphs of MAX





× ~ .































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